

Chiral and helical Majorana fermions in non-centrosymmetric superconductors on honeycomb lattice



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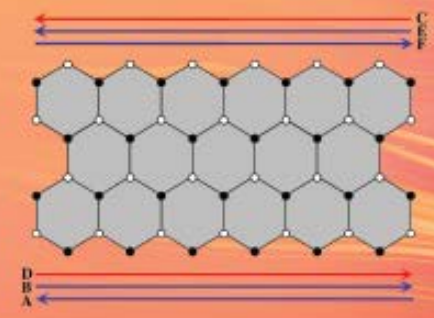
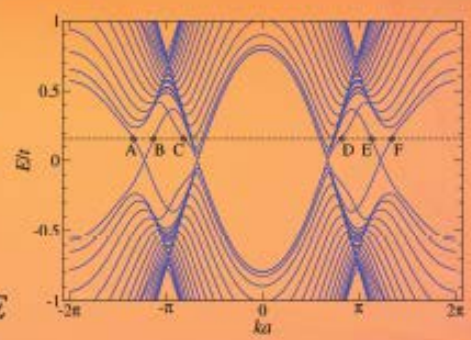
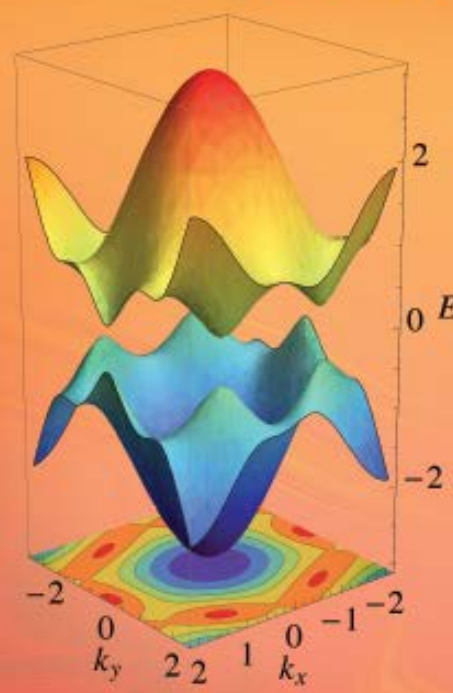
Der-Hau Lee and CH C, Phys. Status Solidi B **2018**, 1800114

Workshop on recent developments in chiral matter and topology, NTU, Dec. 6-9, 2018

Editor's Choice

Non-Centrosymmetric Superconductors on Honeycomb Lattice

Der-Hau Lee and Chung-Hou Chung



Outline

- Introduction to Non-centrosymmetric superconductors

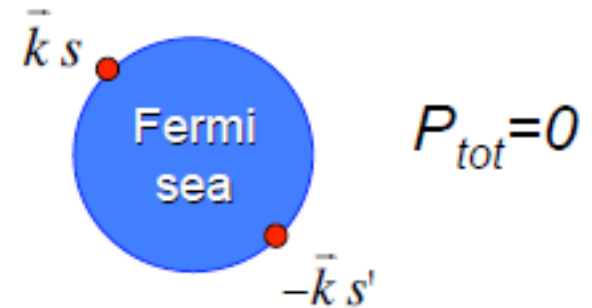
- Topological superconductor on honeycomb lattice:
 - topological phase diagram of the superconducting Kane-Mele t-J model
 - singlet-triplet pairing mixture and coexisting helical-chiral Majorana zero mode

- Summary

Cooper pair symmetry

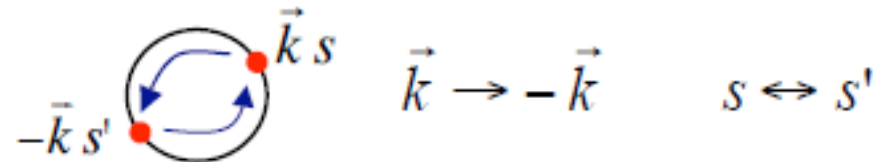
Symmetry of pairs of identical electrons:

$$\Psi_{\vec{k}} = \langle c_{-\vec{k}s'}, c_{\vec{k}s} \rangle = \underbrace{\phi(\vec{k})}_{\text{orbital}} \underbrace{\chi_{ss'}}_{\text{spin}}$$



Pauli principle:

wave function totally antisymmetric
under particle exchange



even parity:

angular
momentum
 $L = 0, 2, 4, \dots,$
even

spin
 $S = 0$
odd

spin singlet

odd parity:

$L = 1, 3, 5, \dots,$
odd

$S = 1$
even

spin triplet

Cooper pair symmetry

Symmetry of pairs of identical electrons:



$$\Psi_{\vec{k}} = \langle c_{-\vec{k}s'} c_{\vec{k}s} \rangle = \phi(\vec{k}) \chi_{ss'}$$

Classification

Pauli p
wave fu
under p

$L = 0, S = 0$: most symmetric „conventional pairing“

$L > 0$: lower symmetry „unconventional pairing“

even parity:

angular
momentum
 $L = 0, 2, 4, \dots,$
even

spin
 $S=0$
odd

spin singlet

odd parity:

$L = 1, 3, 5, \dots,$
odd

$S=1$
even

spin triplet

Cooper pair symmetry

Symmetry of pairs of identical electrons:

$$\Psi_{\vec{k}} = \langle c_{-\vec{k}s}, c_{\vec{k}s} \rangle = \phi(\vec{k}) \chi_{ss'}$$



key symmetries for this classification

time reversal & inversion

Pauli p
wave fu
under p

even parity:

angular
momentum
 $L = 0, 2, 4, \dots,$
even

spin
 $S = 0$
odd

spin singlet

odd parity:

$L = 1, 3, 5, \dots,$
odd

$S = 1$
even

spin triplet

Anderson's Theorems (1959, 1984)

M. Sigrist's Talk "Key symmetries of superconductivity

Inversion and time reversal symmetry"

Cooper pairs with total momentum $\mathbf{P}_{\text{tot}}=0$
form from degenerate quasiparticle states.

$$\begin{array}{l} | + \vec{k} s \rangle \\ | - \vec{k} s' \rangle \end{array} \quad \text{with } \epsilon_{\vec{k} s} = \epsilon_{-\vec{k} s'}$$

How to guarantee existence of degenerate partners?

- Spin singlet pairing: time reversal symmetry

$$|\vec{k} \uparrow\rangle \xrightarrow{\text{red}} \hat{T}|\vec{k} \uparrow\rangle = |-\vec{k} \downarrow\rangle \quad \longleftrightarrow \quad \epsilon_{\vec{k} \uparrow} = \epsilon_{-\vec{k} \downarrow}$$

harmful: magnetic impurities, ferromagnetism,
Zeemann fields (paramagnetic limiting)

- Spin triplet pairing: inversion symmetry

$$|\vec{k} \uparrow\rangle \xrightarrow{\text{red}} \hat{I}|\vec{k} \uparrow\rangle = |-\vec{k} \uparrow\rangle \quad \longleftrightarrow \quad \epsilon_{\vec{k} \uparrow} = \epsilon_{-\vec{k} \uparrow}$$

harmful: crystal structure without inversion center

Key symmetries and band structure

Electron state: $|\vec{k}s\rangle$ $\left\{ \begin{array}{l} \text{time reversal: } \hat{T}|\vec{k}, s\rangle \rightarrow |-\vec{k}, -s\rangle \\ \text{inversion: } \hat{I}|\vec{k}, s\rangle \rightarrow |-\vec{k}, s\rangle \end{array} \right.$

➔ orbital and spin part distinctly treated

Electron spectrum:

$$\mathcal{H} = \sum_{\vec{k}, s} (\epsilon_{\vec{k}} - \mu) c_{\vec{k}s}^\dagger c_{\vec{k}s} + \alpha \sum_{\vec{k}, s, s'} \vec{\lambda}_{\vec{k}} \cdot \{ c_{\vec{k}s}^\dagger \vec{\sigma}_{ss'} c_{\vec{k}s'} \}$$

charge density spin density

conserved $\left\{ \begin{array}{ll} \text{time reversal} & \epsilon_{\vec{k}} = \epsilon_{-\vec{k}} \quad \vec{\lambda}_{\vec{k}} = -\vec{\lambda}_{-\vec{k}} \\ \text{inversion} & \epsilon_{\vec{k}} = \epsilon_{-\vec{k}} \quad \vec{\lambda}_{\vec{k}} = +\vec{\lambda}_{-\vec{k}} \end{array} \right.$

Superconducting phases

pair wave function $\hat{\Psi}_{\vec{k}} = \begin{pmatrix} \Psi_{\vec{k}\uparrow\uparrow} & \Psi_{\vec{k}\uparrow\downarrow} \\ \Psi_{\vec{k}\downarrow\uparrow} & \Psi_{\vec{k}\downarrow\downarrow} \end{pmatrix}$

spin singlet, even parity

$$\hat{\Psi}_{\vec{k}} = \begin{pmatrix} 0 & \psi(\vec{k}) \\ -\psi(\vec{k}) & 0 \end{pmatrix}$$

$$= i\hat{\sigma}^y \psi(\vec{k})$$

1 configuration $\frac{\psi(\vec{k})}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$

$$\psi(-\vec{k}) = \psi(\vec{k})$$

spin triplet, odd parity

$$\hat{\Psi}_{\vec{k}} = \begin{pmatrix} -d_x + id_y & d_z \\ d_z & d_x + id_y \end{pmatrix}$$

$$= i\vec{d}(\vec{k}) \cdot \hat{\sigma} \hat{\sigma}^y$$

3 configurations $\begin{cases} (-d_x(\vec{k}) + id_y(\vec{k}))|\uparrow\uparrow\rangle \\ \frac{d_z(\vec{k})}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \\ (d_x(\vec{k}) + id_y(\vec{k}))|\downarrow\downarrow\rangle \end{cases}$

$$\vec{d}(-\vec{k}) = -\vec{d}(\vec{k})$$

Anderson theorem for perturbations

$$\mathcal{H} = \sum_{\vec{k}, s} (\epsilon_{\vec{k}} - \mu) c_{\vec{k}s}^\dagger c_{\vec{k}s} + \alpha \sum_{\vec{k}, s, s'} \vec{\lambda}_{\vec{k}} \cdot \{c_{\vec{k}s}^\dagger \vec{\sigma}_{ss'} c_{\vec{k}s'}\}$$

time reversal symmetry breaking

$$\alpha \vec{\lambda}_{\vec{k}} = -\mu_B \vec{H}$$

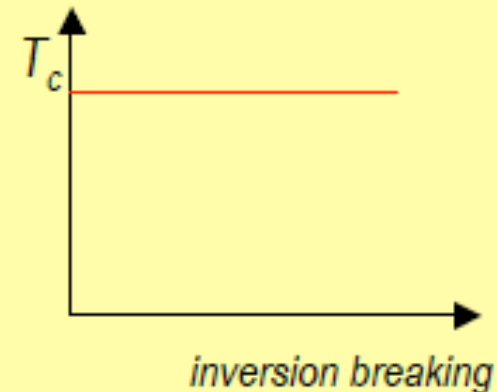
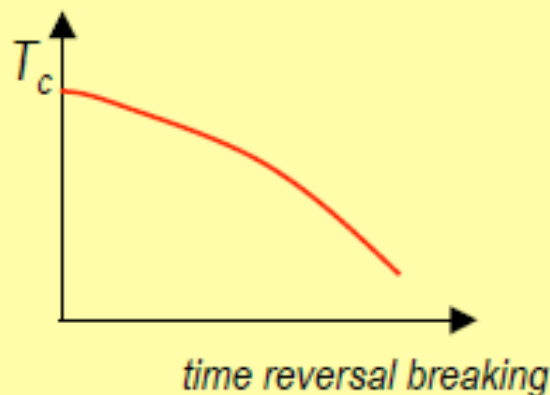
Zeeman coupling

inversion symmetry breaking

$$\alpha \vec{\lambda}_{\vec{k}} = \alpha (\vec{k} \times \hat{z})$$

Rashba spin-orbit coupling

spin-singlet even-parity pairing



Anderson theorem for small perturbation

superconducting phase: bare $T_c = T_{c0}$ and $|\alpha \vec{\lambda}_{\vec{k}}| \sim k_B T_{c0}$

singlet pairing

$$\ln \left(\frac{T_c}{T_{c0}} \right) = \left\langle |\psi(\vec{k})|^2 f(\rho_{\vec{k}}) \left\{ 1 + \hat{\lambda}_{\vec{k}} \cdot \hat{\lambda}_{-\vec{k}} \right\} \right\rangle_{\vec{k}}$$

$$T_c = T_{c0}$$

$$\vec{\lambda}_{\vec{k}} = -\vec{\lambda}_{-\vec{k}}$$

no inversion

$$T_c \neq T_{c0}$$

$$\vec{\lambda}_{\vec{k}} = +\vec{\lambda}_{-\vec{k}}$$

no time reversal

$$f(\rho) = \text{Re} \sum_{n=1}^{\infty} \left(\frac{1}{2n-1+i\rho} - \frac{1}{2n-1} \right) \quad \rho_{\vec{k}} = \frac{|\vec{\lambda}_{\vec{k}}|}{\pi k_B T_c} \quad \hat{\lambda}_{\vec{k}} = \frac{\vec{\lambda}_{\vec{k}}}{|\vec{\lambda}_{\vec{k}}|}$$

Anderson theorem for perturbations

$$\mathcal{H} = \sum_{\vec{k}, s} (\epsilon_{\vec{k}} - \mu) c_{\vec{k}s}^\dagger c_{\vec{k}s} + \alpha \sum_{\vec{k}, s, s'} \vec{\lambda}_{\vec{k}} \cdot \{c_{\vec{k}s}^\dagger \vec{\sigma}_{ss'} c_{\vec{k}s'}\}$$

time reversal symmetry breaking

$$\alpha \vec{\lambda}_{\vec{k}} = -\mu_B \vec{H}$$

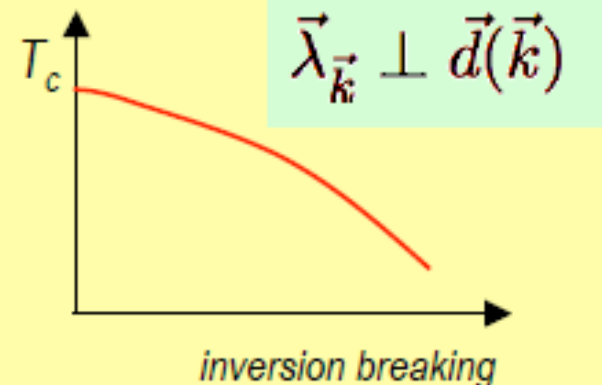
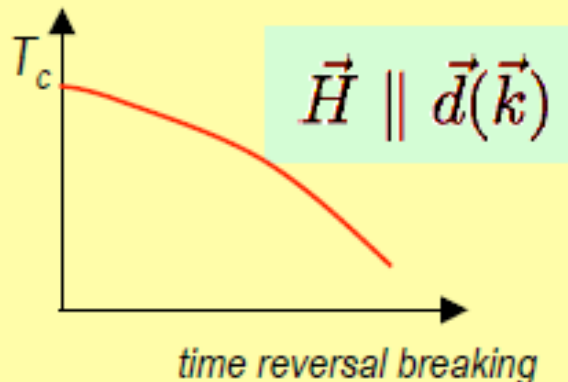
Zeeman coupling

inversion symmetry breaking

$$\alpha \vec{\lambda}_{\vec{k}} = \alpha (\vec{k} \times \hat{z})$$

Rashba spin-orbit coupling

spin-triplet odd-parity pairing



Anderson theorem for perturbations

$$\mathcal{H} = \sum_{\vec{k}, s} (\epsilon_{\vec{k}} - \mu) c_{\vec{k}s}^\dagger c_{\vec{k}s} + \alpha \sum_{\vec{k}, s, s'} \vec{\lambda}_{\vec{k}} \cdot \{c_{\vec{k}s}^\dagger \vec{\sigma}_{ss'} c_{\vec{k}s'}\}$$

time reversal symmetry breaking

$$\alpha \vec{\lambda}_{\vec{k}} = -\mu_B \vec{H}$$

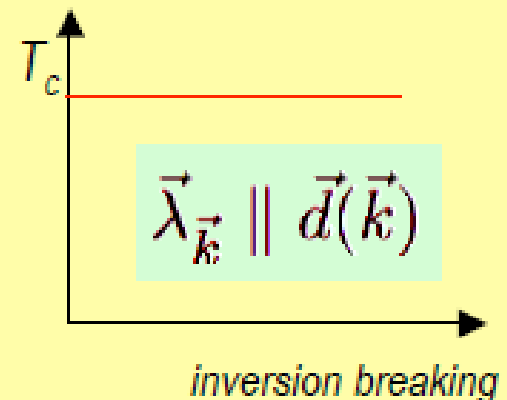
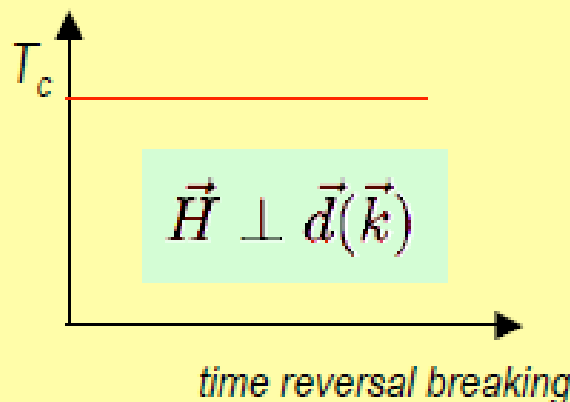
Zeeman coupling

inversion symmetry breaking

$$\alpha \vec{\lambda}_{\vec{k}} = \alpha (\vec{k} \times \hat{z})$$

Rashba spin-orbit coupling

spin-triplet odd-parity pairing



Anderson theorem for small perturbation

superconducting phase: bare $T_c = T_{c0}$ and $|\alpha \vec{\lambda}_{\vec{k}}| \sim k_B T_{c0}$

triplet pairing

$$\ln \left(\frac{T_c}{T_{c0}} \right) = \left\langle 2f(\rho_{\vec{k}}) \left\{ (\hat{\lambda}_{\vec{k}} \cdot \vec{d}^*(\vec{k}))(\hat{\lambda}_{-\vec{k}} \cdot \vec{d}(\vec{k})) + |\vec{d}(\vec{k})|^2 [1 - \hat{\lambda}_{\vec{k}} \cdot \hat{\lambda}_{-\vec{k}}] \right\} \right\rangle_{\vec{k}}$$

$$T_c = T_{c0}$$

$$T_c \neq T_{c0}$$

$$\vec{\lambda}_{\vec{k}} = -\vec{\lambda}_{-\vec{k}}$$

$$\vec{\lambda}_{\vec{k}} = +\vec{\lambda}_{-\vec{k}}$$

otherwise

no inversion

no time reversal

$$\vec{\lambda}_{\vec{k}} \parallel \vec{d}(\vec{k})$$

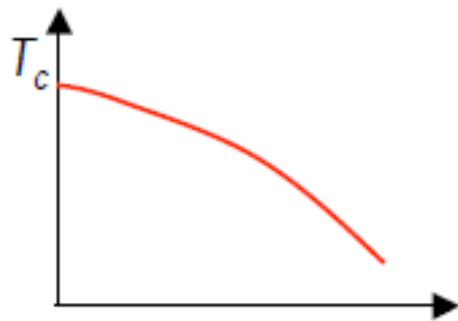
$$\vec{\lambda}_{\vec{k}} \perp \vec{d}(\vec{k})$$

spin structure adapted to perturbation

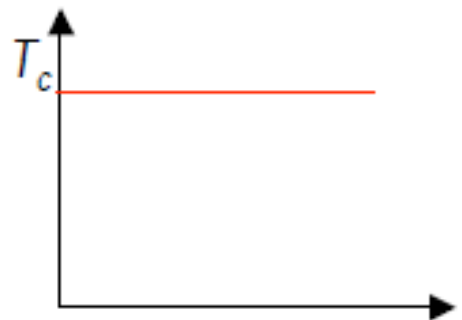
$$f(\rho) = \text{Re} \sum_{n=1}^{\infty} \left(\frac{1}{2n-1+i\rho} - \frac{1}{2n-1} \right) \quad \rho_{\vec{k}} = \frac{|\vec{\lambda}_{\vec{k}}|}{\pi k_B T_c} \quad \hat{\lambda}_{\vec{k}} = \frac{\vec{\lambda}_{\vec{k}}}{|\vec{\lambda}_{\vec{k}}|}$$

Anderson theorem for perturbations - summary

spin singlet
even parity

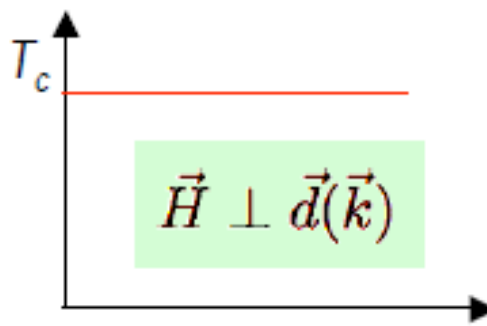


time reversal breaking

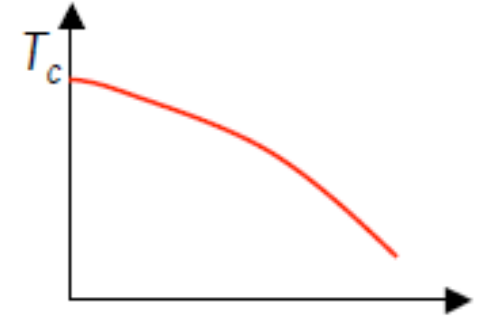


inversion breaking

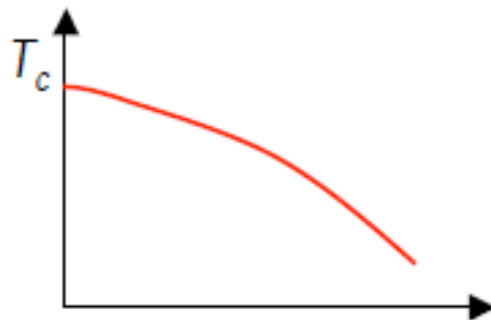
spin triplet odd parity



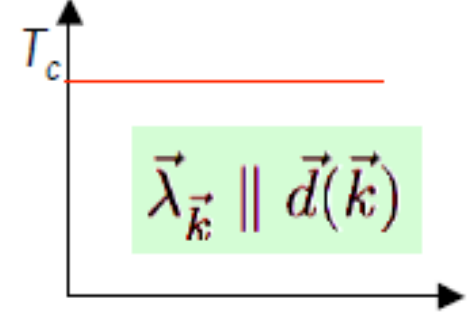
time reversal breaking



time reversal breaking

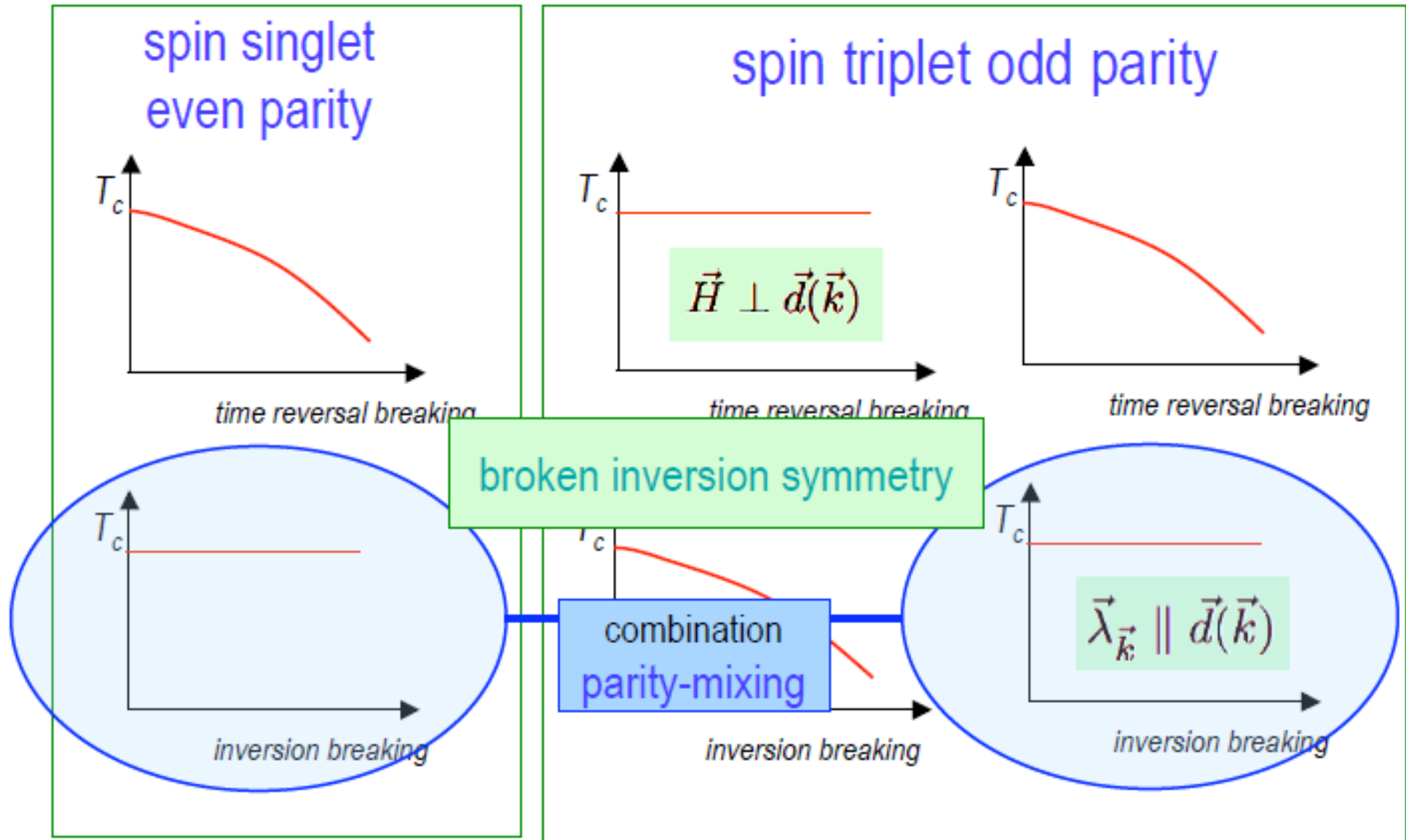


inversion breaking



inversion breaking

Anderson theorem for perturbations - summary



Mixed parity states are *non-unitary*

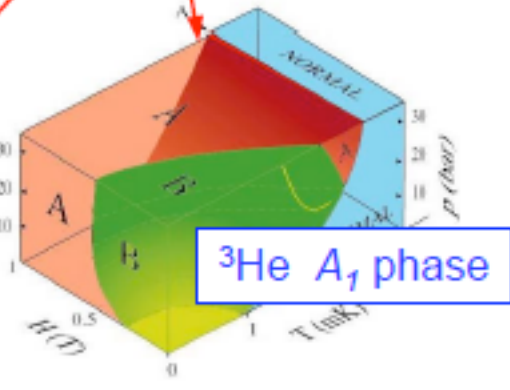
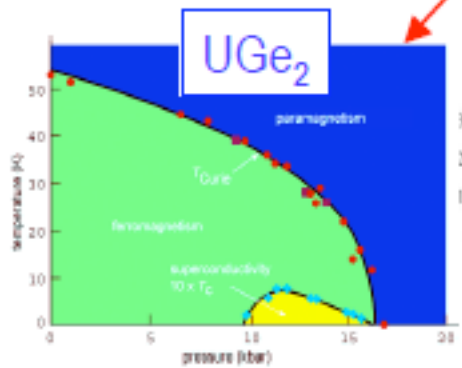
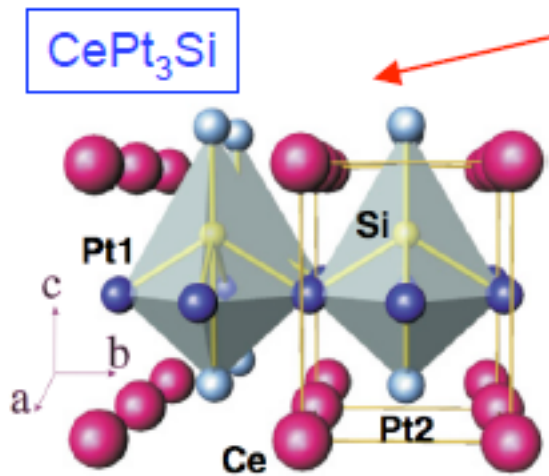
unitary superconducting states: $\hat{\Psi}_{\vec{k}} \hat{\Psi}_{\vec{k}}^\dagger = |\Psi_{\vec{k}}|^2 \hat{\sigma}_0 \propto 2 \times 2 \text{ unit matrix}$

$$\hat{\Psi}_{\vec{k}} = \left\{ \psi(\vec{k}) + \vec{d}(\vec{k}) \cdot \hat{\sigma} \right\} i \hat{\sigma}^y$$

$$\hat{\Psi}_{\vec{k}} \hat{\Psi}_{\vec{k}}^\dagger = (|\psi|^2 + |\vec{d}|^2) \hat{\sigma}_0 + \underbrace{\left\{ \psi^* \vec{d} + \psi \vec{d}^* \right\}}_{\propto \vec{\lambda}_{\vec{k}}} \cdot \hat{\sigma} + \underbrace{i \left\{ \vec{d} \times \vec{d}^* \right\}}_{\propto \vec{H}} \cdot \hat{\sigma}$$

*inversion symmetry
violated*

*time reversal
symmetry
violated*



Non-centrosymmetric superconductor on honeycomb lattice



Topological superconductor on honeycomb lattice
with mixed chiral and helical Majorana zero modes

3D Topological insulators

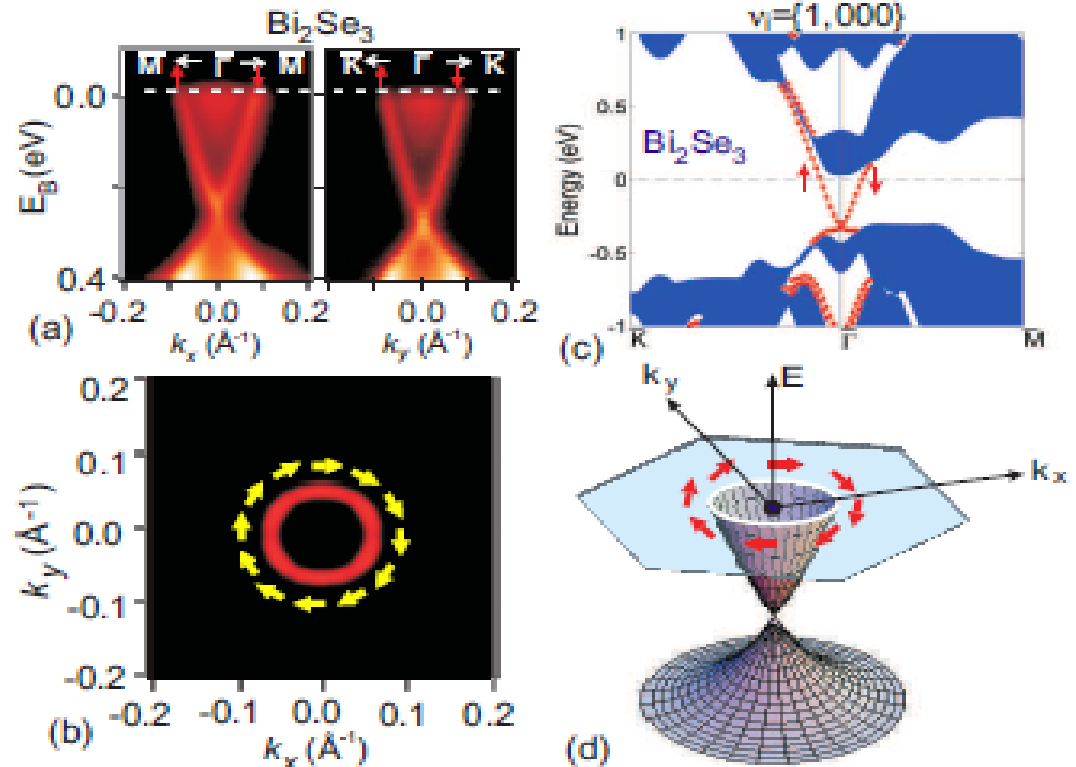
Gapless surface states due to Spin-orbit coupling

Chern invariant odd integer

$$n_m = \frac{1}{2\pi} \int d^2\mathbf{k} \mathcal{F}_m.$$

$$\mathcal{F}_m = \nabla \times \mathcal{A}_m$$

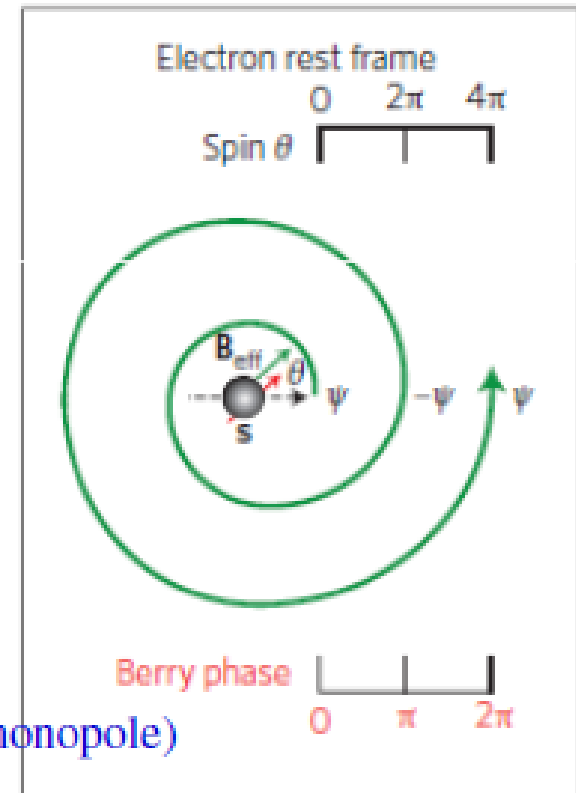
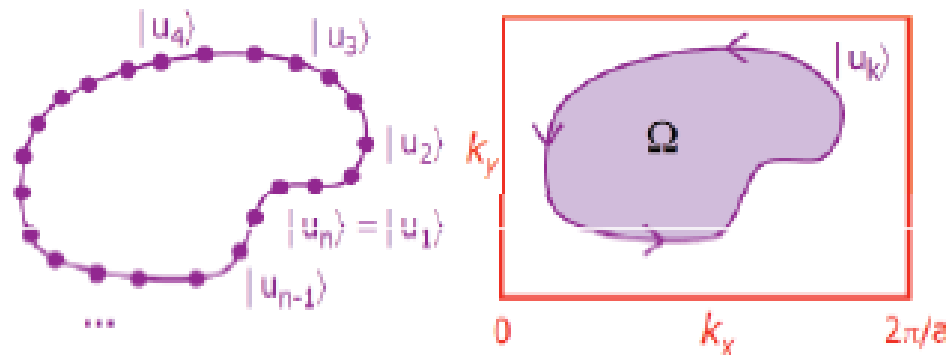
$$\mathcal{A}_m = i \langle u_m | \nabla_k | u_m \rangle$$



Berry phase, Hall conductivity and Chern number

Geometrical properties of the Hamiltonian
 → a phase difference acquired over the course of a cycle

Berry phase of π electrons
 in Graphene



Berry curvature $\Omega = -2\text{Im} \left\langle \frac{\partial u}{\partial k_x} \left| \frac{\partial u}{\partial k_y} \right. \right\rangle$

Berry phase $\phi = \int_{BZ} \Omega dk$ (→ magnetic field)
 (→ magnetic flux)

Hall conductivity (non-trivial topology → magnetic monopole)

$$\sigma_{xy} = -\frac{e^2}{4\pi^2\hbar} \int_{BZ} \Omega dk = -\frac{e^2}{4\pi^2\hbar} \phi = -\frac{e^2}{4\pi^2\hbar} (2\pi C)$$

σ_{xy} is protected by C .

C : TKNN or charge Chern number (number of edge modes)

2D symmetry protected Topological insulator—
Quantum Spin Hall (Helical) Edge States in Kane-Mele model

QSHE: the Kane-Mele model

PRL 95, 226801 (2005)

PHYSICAL REVIEW LETTERS

week ending
25 NOVEMBER 2005

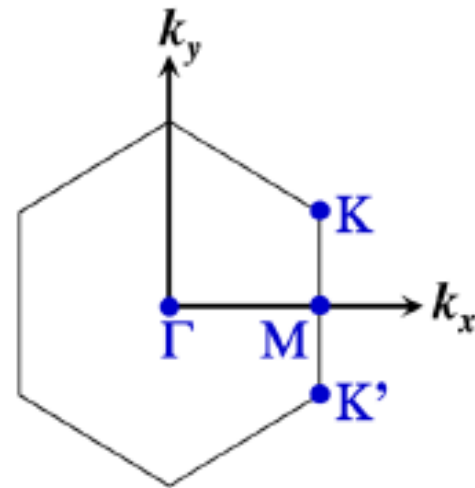
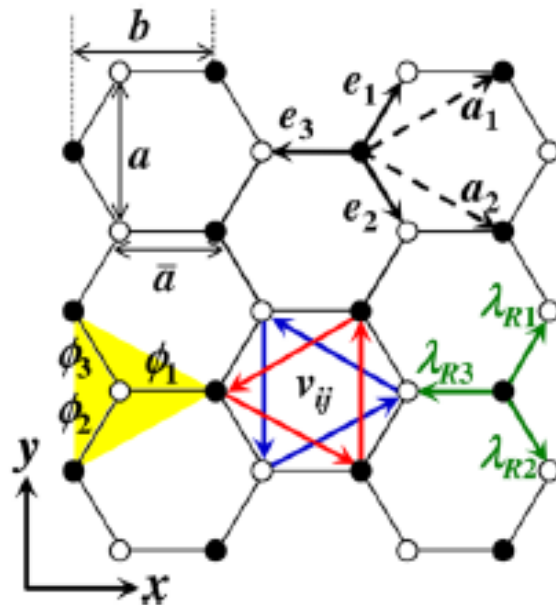
Quantum Spin Hall Effect in Graphene

C. L. Kane and E. J. Mele

Dept. of Physics and Astronomy, University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA

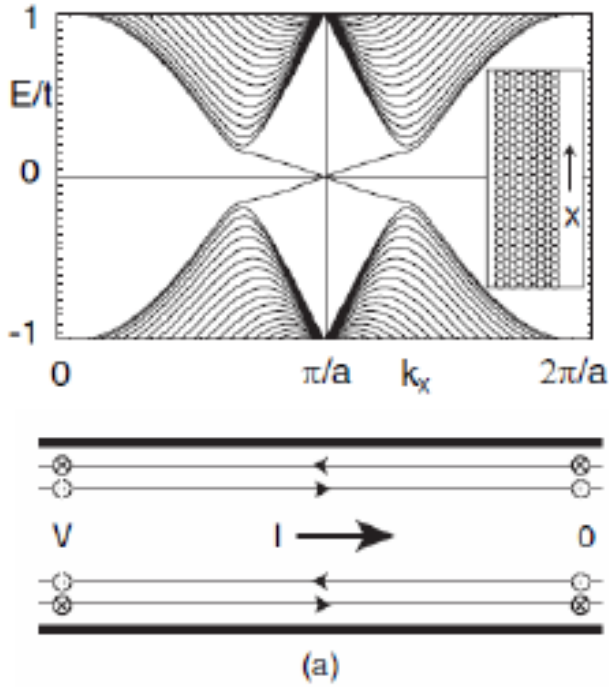
(Received 29 November 2004; published 23 November 2005)

$$H_{KM} = -t \sum_{\langle ij \rangle} c_i^\dagger c_j + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} v_{ij} \sigma_{\sigma\sigma'}^z c_{i\sigma}^\dagger c_{j\sigma'}$$



2D symmetry protected Topological insulator
 --Quantum Spin Hall (Helical) Edge States in KM model

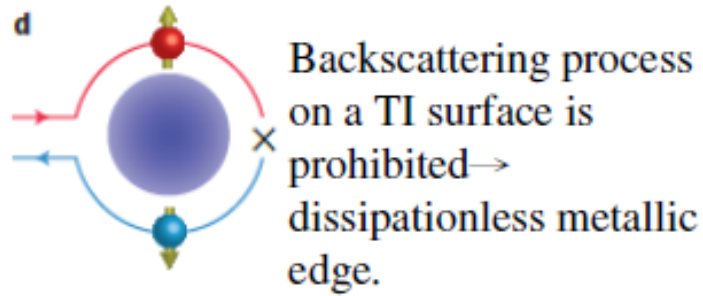
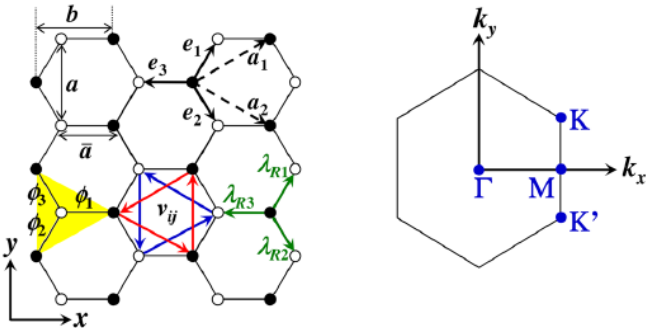
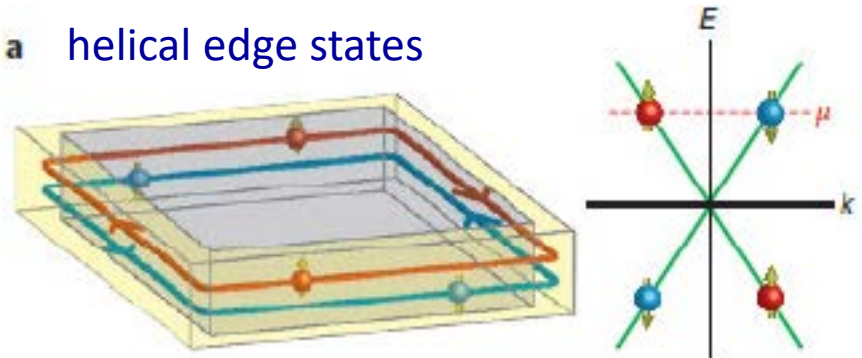
Kane, Mele, PRL 2005



Time-reversal invariant \rightarrow

Pair of counter-propagating edge states

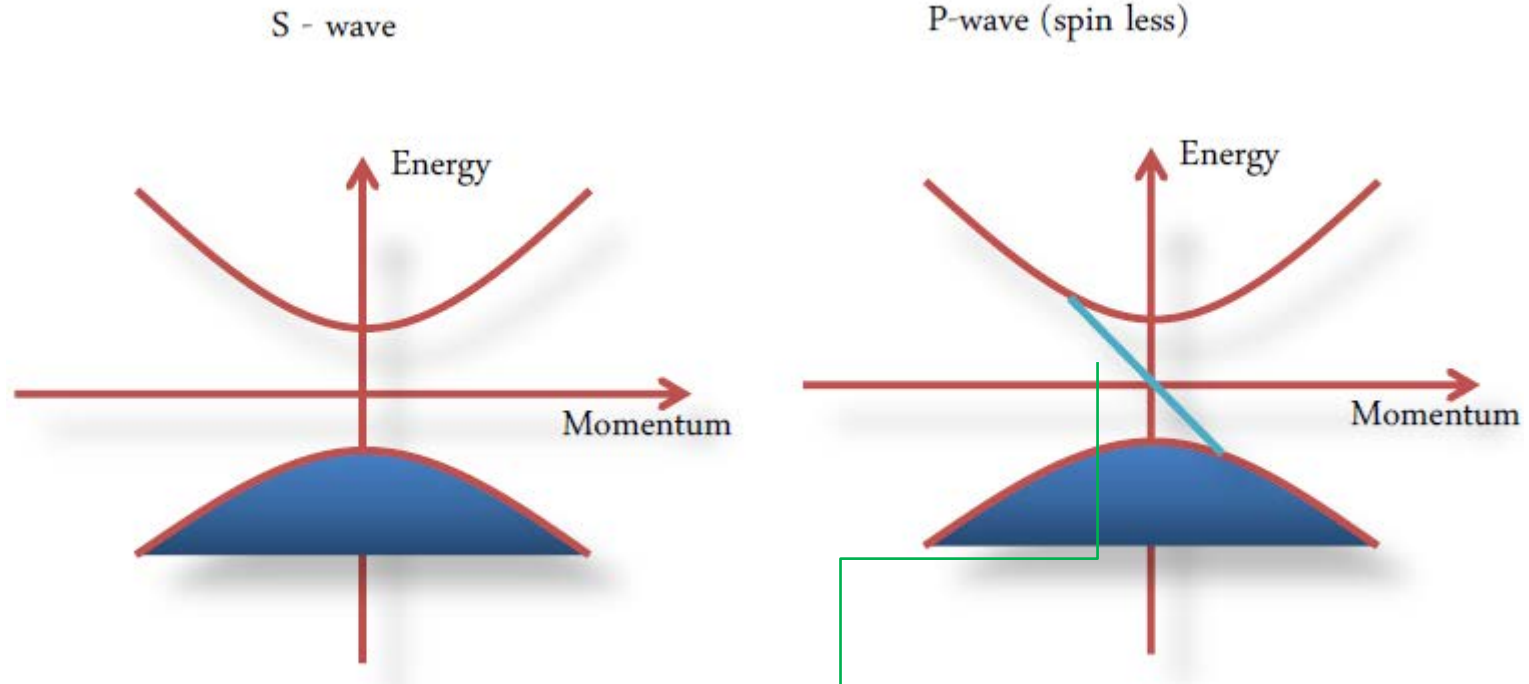
a helical edge states



Desheng Kong and Yi Cui, Nature Chemistry 3, 845 (2011)

Topological superconductors : Superconductors with large SO coupling

M.Z. Hasan, C.Kane, RMP 2010,
X.L. Qi, S.C. Zhang, RMP 2011



Majorana fermions:

Gapless quasi-particle edge states with Dirac spectrum
Particle-hole symmetry

What is Majorana fermion

Majorana Fermion



Real Dirac fermion

1. Dirac Hamiltonian

$$\mathcal{H}(\mathbf{k}) = \boldsymbol{\sigma} \cdot \mathbf{k}, \text{ or } \mathcal{H}(k_x) = ck_x$$

2. Reality condition

$$\Psi = C\Psi^*$$

particle = antiparticle



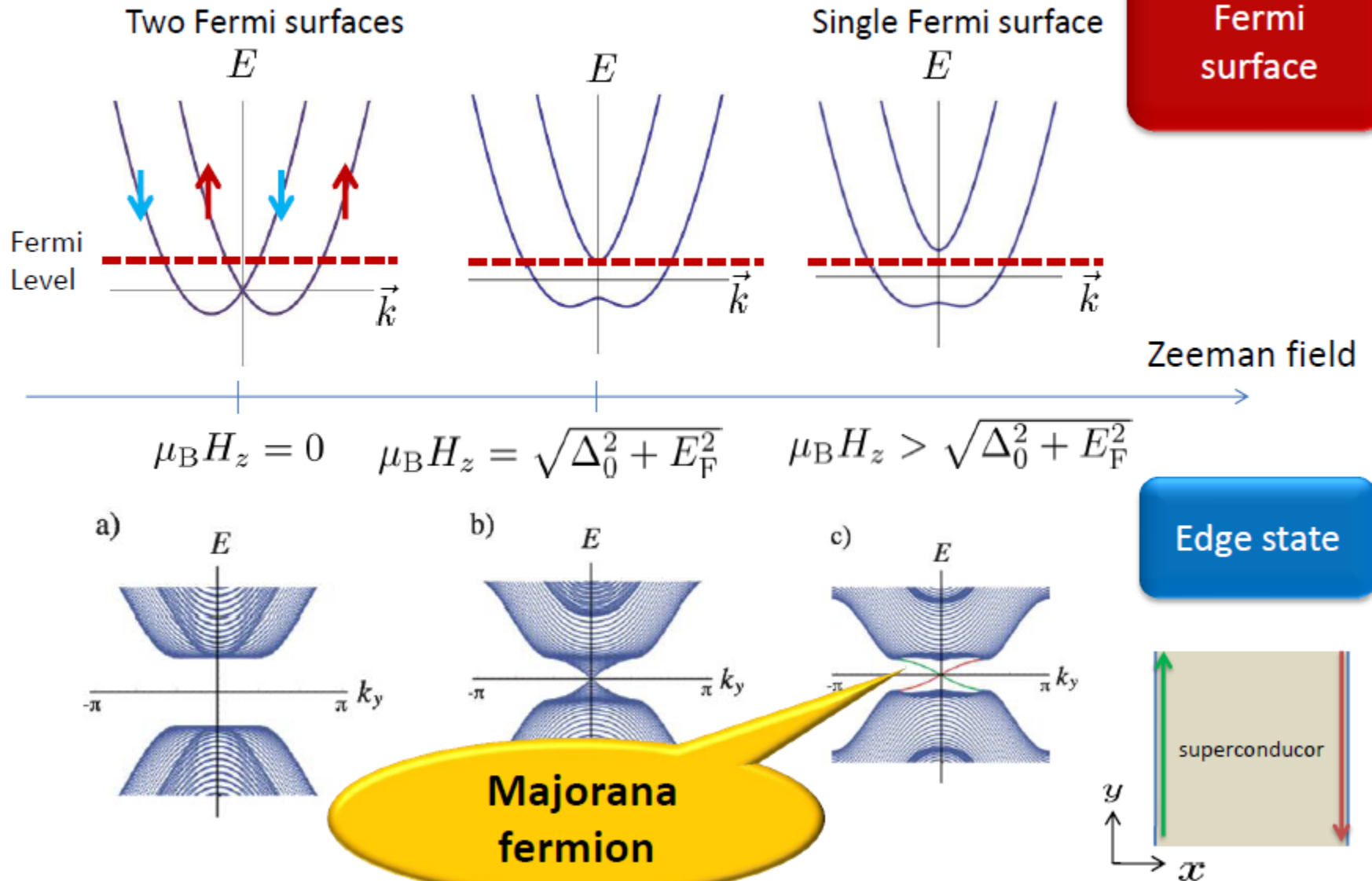
- It was introduced as elementary particles.
- But now, it can be realized in topological superconductors.

Read-Green(00), Stone-Roy(04), Qi et al (09), Schnyder et al (08), MS(09), MS-Fujimoto(09,10), Tanaka et al (09), Law-Ng-Lee(09), Akhmerov et al(09), J. Sau et al(10), Y. Oreg et al(10)

Superconducting state with SO interaction

Topological Edge state

Sato-Takahashi-Fujimoto (09, 10)

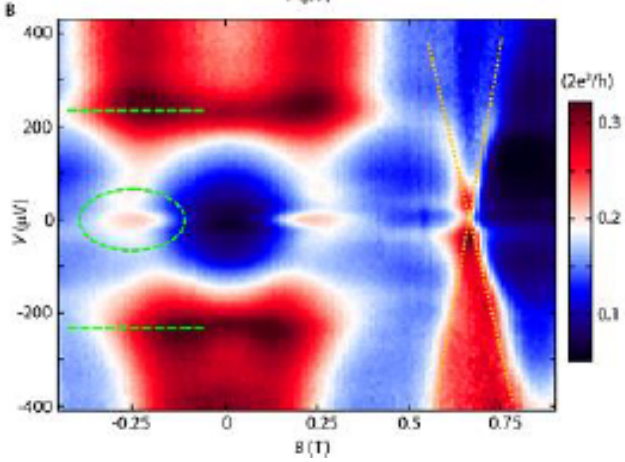
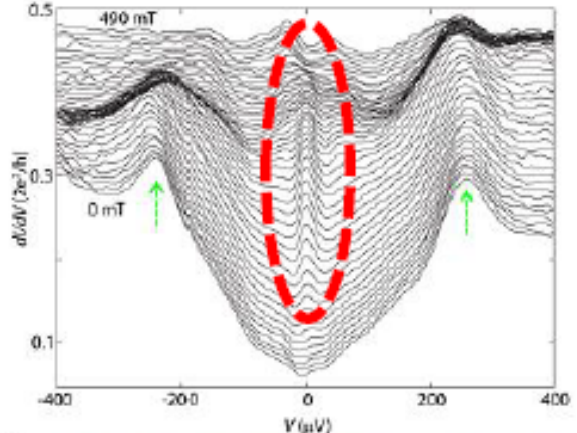
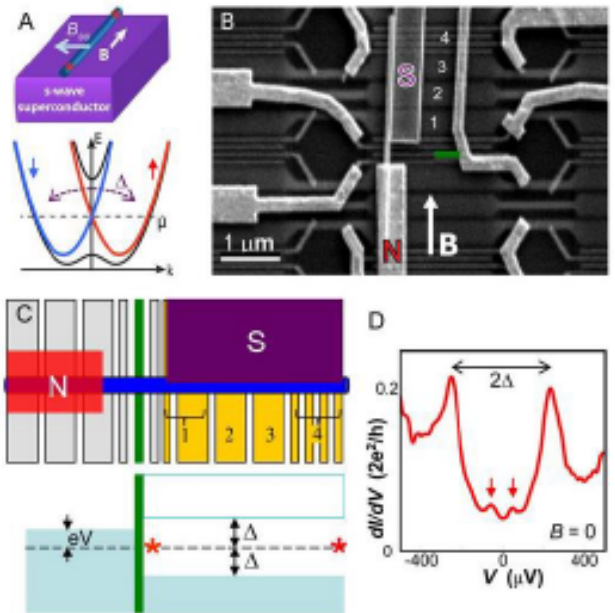
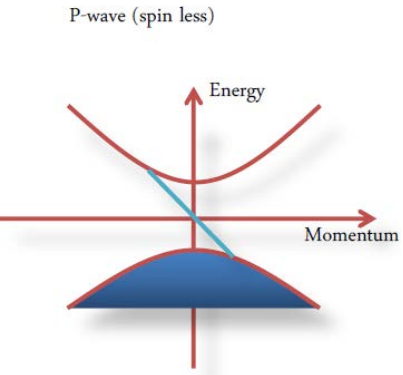


Scienceexpress In Sb

Scienceexpress / <http://www.sciencemag.org/content/early/2012/04/12> / 12 April 2012 / Page 3 / 10.1126/science.1222360

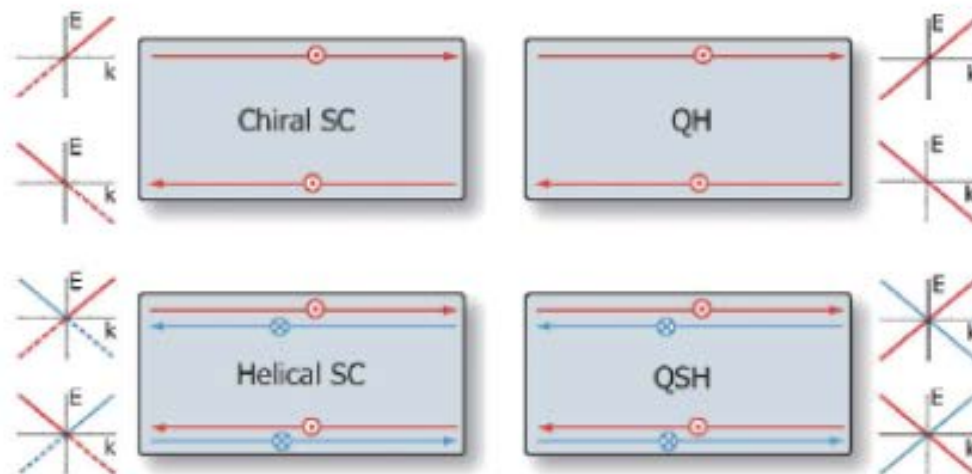
Signatures of Majorana Fermions in Hybrid Superconductor-Semiconductor Nanowire Devices

V. Mourik,^{1*} K. Zuo,^{1*} S. M. Frolov,¹ S. R. Plissard,² E. P. A. M. Bakkers, Kouwenhoven^{1†}



Topological Numbers in Two Dimensions

	Time-reversal-symmetric insulators/ superconductors	Time-reversal-breaking insulators/ superconductors
topological number	\mathbb{Z}_2 number (Kane-Mele)	1 st Chern number (TKNN)
1D fermionic edge modes	Helical Dirac fermions/ Helical Majorana fermions	Chiral Dirac fermions/ Chiral Majorana fermions

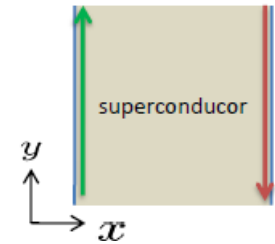


Proposals for Majorana fermions

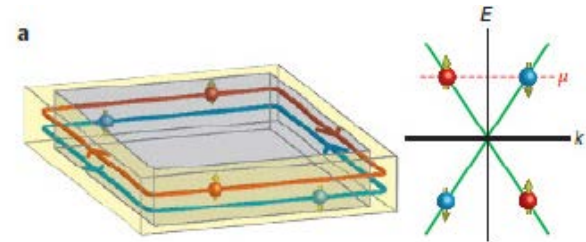
Chiral Majorana fermions

Spin triplet, p-wave superconductor in a magnetic field

Time-reversal broken topological superconductors



Can helical Majorana fermions exist?



● TRITOPs: Time-Reversal-Invariant-Topological-Superconductors

1. Spin singlet, d-wave, s-wave + SO coupling via proximity effect

Oreg 2014, Flensberg 2014, Law 2012

2. spin-triplet $p_x \pm ip_y$ superconductors

S.C. Zhang 2009

● New route: Helical Majorana fermions **without TRS**

Via doping the Mott insulator with SO coupling

Helical Majorana fermions exist in TRS breaking chiral d-wave superconductors in doped Kane-Mele t-J model

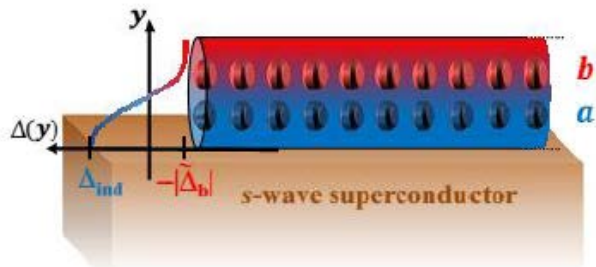
Helical MFs in Time-Reversal-Topological-Superconductors (TRITOPs)



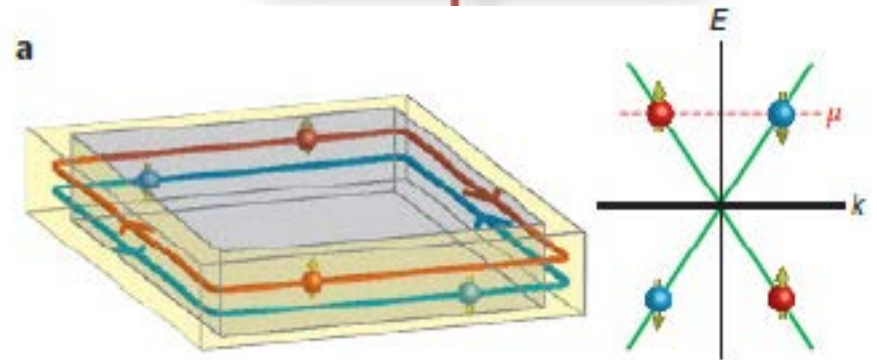
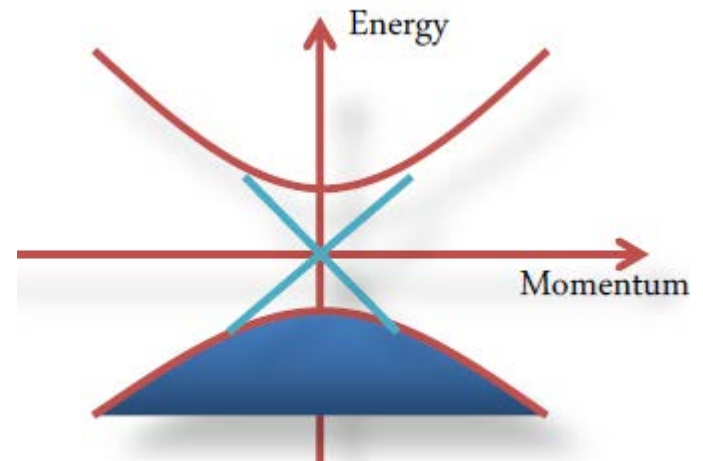
K-T Law et al PRB 2013

X-L Qi et al PRL 2009

2D spin-triplet $p_x \pm ip_y$ superconductors



Y. Oreg et. al PRB 2014



TRITOPs

Classification of topological superconductors

AZ	Symmetry			Dimension							
	T	C	S	1	2	3	4	5	6	7	8
A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0

TRITOPs

A	Unitary
AIII	
AI	Orthogonal
BDI	Kitaev
D	P wave
DIII	Tritops
AII	Symplectic
CII	Singlet SC
C	
CI	

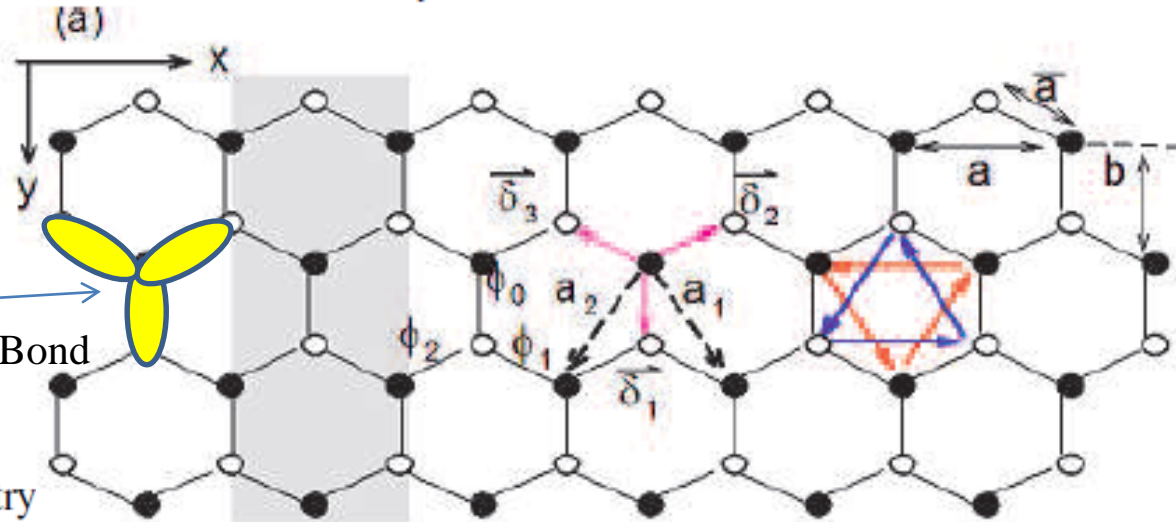
Chiral d-wave superconductor in graphene and doped KM t-J model

Schnyder et al (08)

Teo-Kane (10)

Pairing symmetry of superconductivity on correlated honeycomb lattice

nearest-neighbour pairing
$$\Delta_{\eta}(i) = \sum_l f_{\eta}(\boldsymbol{\delta}_l) (\hat{c}_{i\uparrow}\hat{c}_{i+\boldsymbol{\delta}_l\downarrow} \pm \hat{c}_{i\downarrow}\hat{c}_{i+\boldsymbol{\delta}_l\uparrow})$$



$$t \quad c_i^{\dagger\alpha} c_j^{\alpha}$$

$$J \quad \vec{S}_i \cdot \vec{S}_j$$

graphene

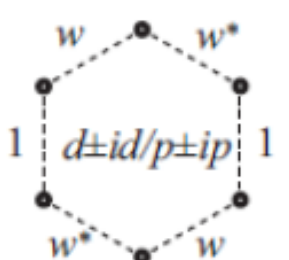
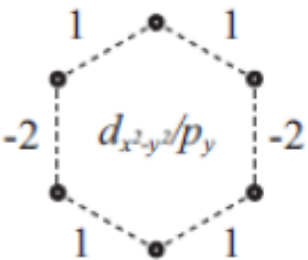
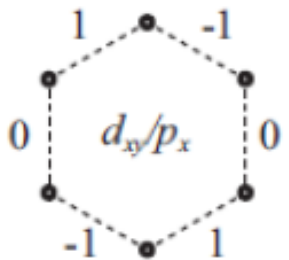
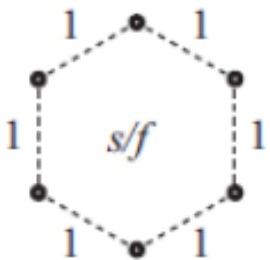
$$t \sim J$$

correlated regime

$$w = \exp(\pm i 2\pi/3)$$

$$U = 3.3t$$

Coulomb U



Stefan Wessel et al., PRB 94, 115105 (2016)

$s, d_{xy}, d_{x^2-y^2}$ and $d \pm id$

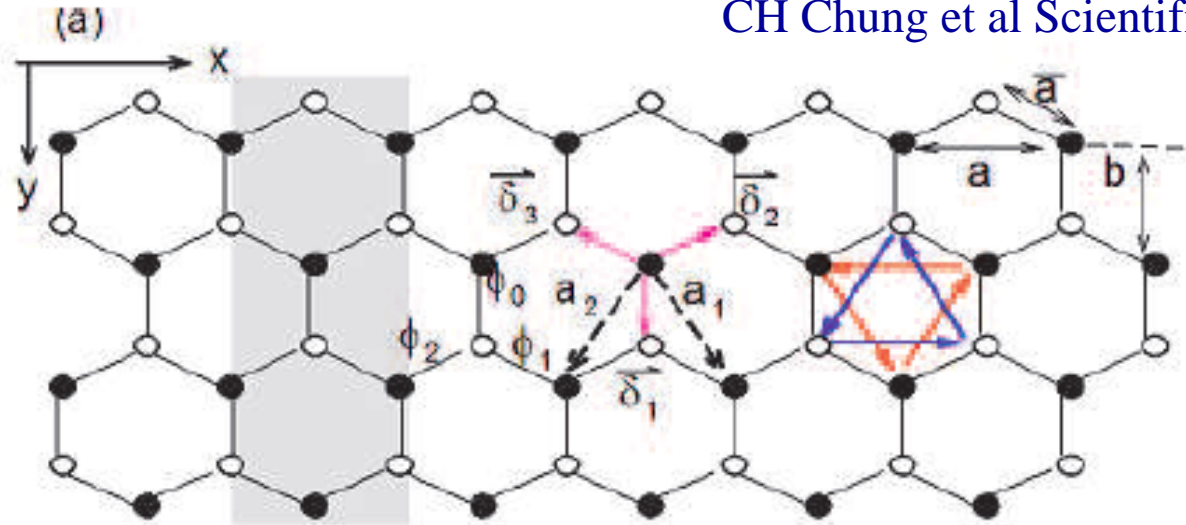
singlet pairing

$p_x, p_y, p \pm ip$ and f

triplet

Helical Majorana fermions in chiral-d-wave superconductors in doped KM t-J model

CH Chung et al Scientific Reports 2016

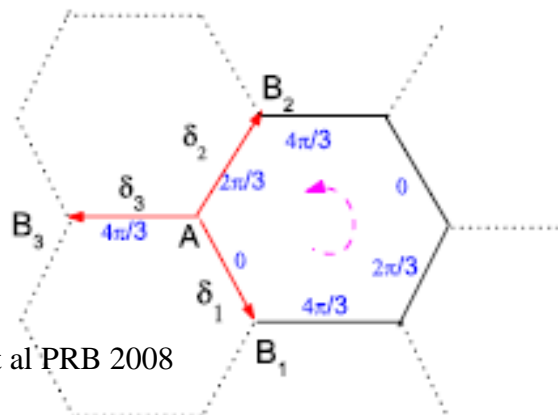


N=8

$$\phi_0 = 0, \phi_{1(2)} = -(+)2\pi/3$$

Superconducting pairing order parameter

$d_{x^2-y^2} + id'_{xy}$ wave pairing



$$\Delta(\vec{k}) = \sum_a \Delta_{\vec{\delta}_a} e^{i\vec{k} \cdot \vec{\delta}_a}$$

s-wave pairing

$$\Delta_{\vec{\delta}_a} = \Delta, a=1,2,3$$

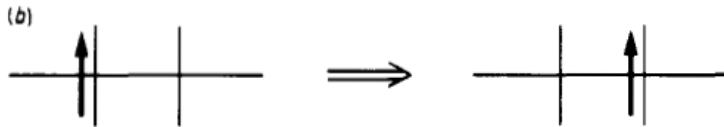
$d_{x^2-y^2} + id'_{xy}$ wave pairing

$$\Delta_{\vec{\delta}_i} = \Delta e^{2ia\pi/3}, a=1,2,3$$

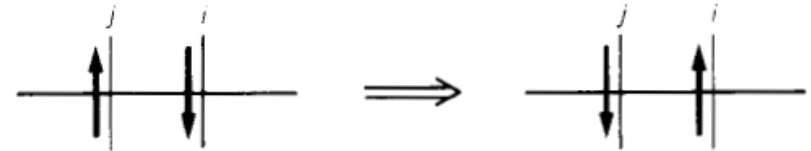
Renormalized Mean-Field Theory (RMFT)

$$|\varphi\rangle = P_d |\varphi_0\rangle$$

$$|\varphi_0\rangle = \prod_{\mathbf{k}} (u_{\mathbf{k}} + v_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger) |0\rangle$$



Gutzwiller projected BCS wave function



$$\begin{aligned}
 H &= H_{KM} + H_J, \\
 H_{KM} &= t g_t \sum_{\langle ij \rangle, \sigma} c_{i\sigma}^\dagger c_{j\sigma} - \mu \sum_i c_i^\dagger c_i \\
 &\quad + i g_t \lambda_{SO} \sum_{\langle\langle ij \rangle\rangle, \sigma} \nu_{ij} c_{i\sigma}^\dagger s^z c_{j\sigma} + h.c. \\
 H_J &= J g_s \sum_{\langle i, j \rangle} S_i S_j
 \end{aligned}$$

$$\begin{aligned}
 H_J &= H_\chi + H_\Delta + H_{const} \\
 H_\chi &= \sum_{i, \alpha, a} \chi_{\vec{\delta}_a} c_{A, i}^{\dagger \alpha} c_{B, i + \vec{\delta}_a}^\alpha + h.c. \\
 H_\Delta &= \sum_{i, a} \Delta_{\vec{\delta}_a} [c_{A, i}^{\dagger \uparrow} c_{B, i + \vec{\delta}_a}^{\dagger \downarrow} - c_{A, i}^{\dagger \downarrow} c_{B, i + \vec{\delta}_a}^{\dagger \uparrow}] + h.c. \\
 H_{const} &= N_s \sum_{a=1,2,3} \left[\frac{|\chi_{\vec{\delta}_a}|^2}{\frac{3}{4} g_s J} + \frac{|\Delta_{\vec{\delta}_a}|^2}{\frac{3}{4} g_s J} \right] - 2 N_s \mu \delta
 \end{aligned}$$

RMFT: $\begin{cases} t \rightarrow t g_t \\ J \rightarrow g_s J \end{cases}$

$$\begin{aligned}
 g_t &= 2\delta / (1 + \delta) \\
 g_s &= 4 / (1 + \delta)^2
 \end{aligned}$$

$$H_k = \psi_k^\dagger \mathcal{M}_k \psi_k$$

$$\psi_k = (c_{A,k}^\dagger, c_{B,k}^\dagger, c_{A,-k}^{\dagger\downarrow}, c_{B,-k}^{\dagger\downarrow})$$

$$\mathcal{M}_k = \begin{pmatrix} \hat{h}_k & \hat{\Delta}_k \\ \hat{\Delta}_k^\dagger & -\hat{h}_{-k}^* \end{pmatrix}$$

$$E_k = \pm \sqrt{(\sqrt{|\gamma_k|^2 + |\epsilon_k|^2} \pm \mu)^2 + |\Delta_k|^2}$$

$$\Delta(\vec{k}) = \sum_{a=1,2,3} \Delta_{\vec{\delta}_a} e^{i\vec{k}\cdot\vec{\delta}_a}$$

$$\hat{h}_k = \begin{pmatrix} h_k^+ & \\ & \hat{h}_k^- \end{pmatrix}, \hat{\Delta}_k = \begin{pmatrix} 0 & \bar{\Delta}_k \\ -\bar{\Delta}_k & 0 \end{pmatrix}$$

$$\gamma_k = 2g_t \lambda_{SO} [-\sin(\sqrt{3}k_y) + 2 \cos(3k_x/2) \sin(\sqrt{3}k_y/2)]$$

$$\epsilon_k = -(tg_t + \chi) \sum_{a=1,2,3} e^{i\vec{k}\cdot\vec{\delta}_a}$$

$$h_k^\pm = \begin{pmatrix} \pm\gamma_k - \mu & \epsilon_k \\ \epsilon_k^* & \mp\gamma_k - \mu \end{pmatrix}, \bar{\Delta}_k = \begin{pmatrix} 0 & \Delta_k \\ \Delta_{-k} & 0 \end{pmatrix}$$

Symmetries of H_k

- Particle-Hole Symmetry C:

$$C: C_k \rightarrow C_k^\dagger$$

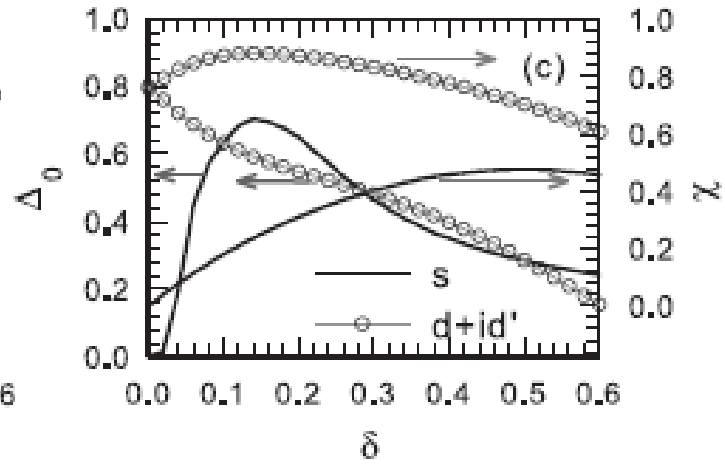
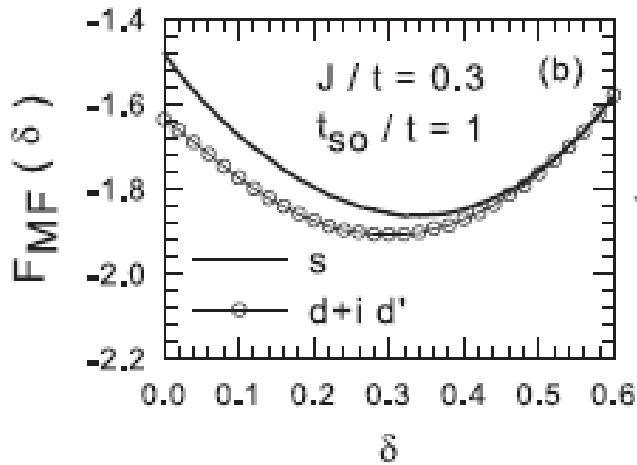
$$C^{-1} H_k C = -H_{-k}$$

- Sub-lattice symmetry S:

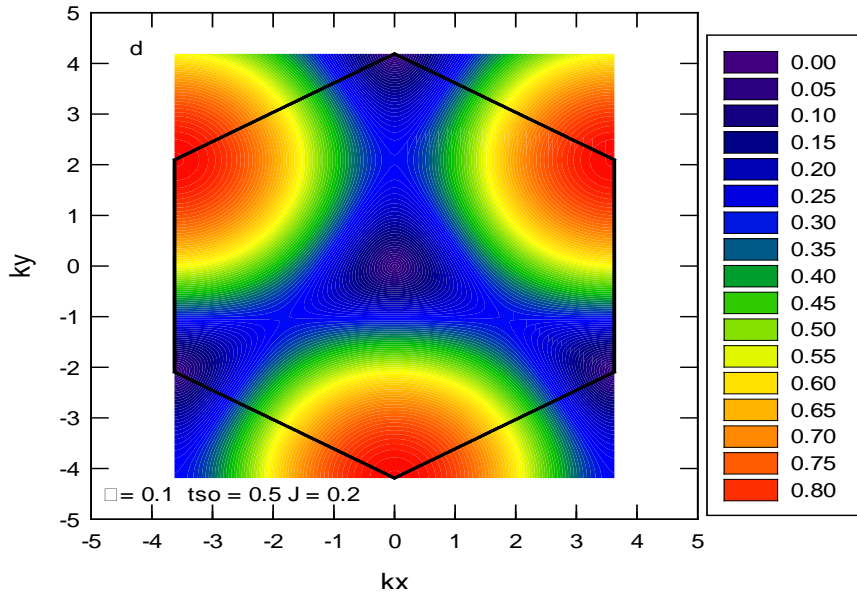
$$S: C_A(k) \rightarrow C_B(k)$$

$$S^{-1} H_k S = H_{-k}$$

d+id'-wave superconductivity

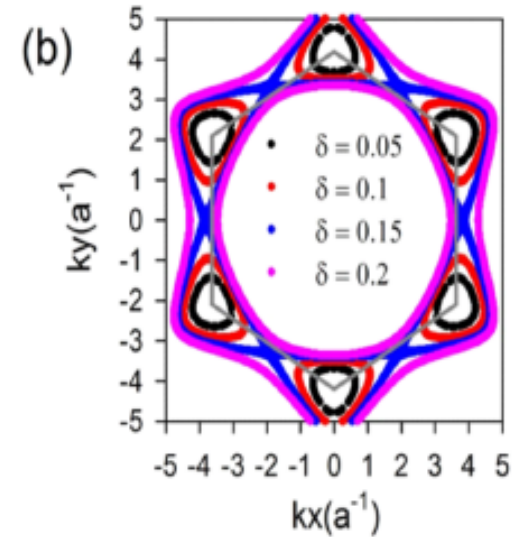


TRS breaking $\Delta_k^{d\pm id'}$



CH Chung et al Scientific Reports 2016

Normal state Fermi surface



$$\Delta_k^{d+id'} = \cos(\pi/3)\Delta_{dx^2-y^2}(k) + i \sin(\pi/3)\Delta_{dxy}(k)$$

$$\Delta_{dx^2-y^2}(k) = \Delta_0(2e^{ik_x/2}e^{-i\sqrt{3}k_y/2} - e^{ik_x/2}e^{i\sqrt{3}k_y/2} - e^{-ik_x})$$

$$\Delta_{dxy}(k) = \Delta_0(e^{ik_x/2}e^{i\sqrt{3}k_y/2} - e^{-ik_x})$$

Time-reversal symmetric (TRS) v.s. Time-reversal broken (TRB) superconductors

TRS: $T^{-1} H_k T = H_{-k}$

$T = i s^y K$

$\Delta^*(-k) = \Delta(k)$

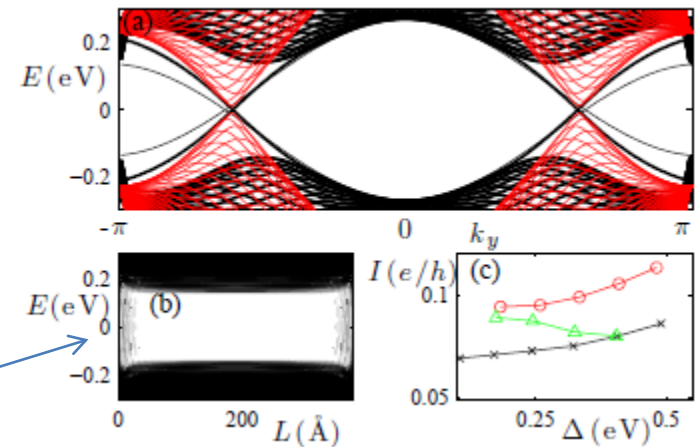
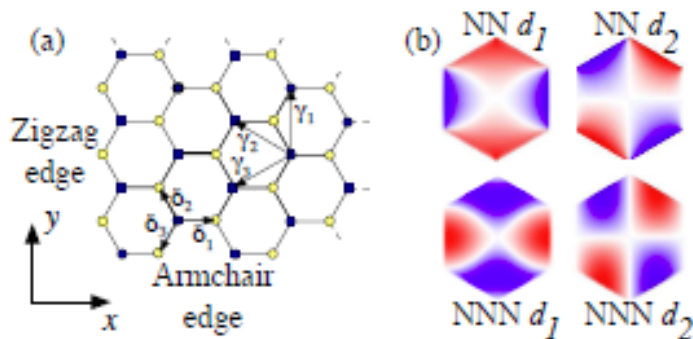
$$\left\{ \begin{aligned} \Delta_{dx^2-y^2}(k) &= \Delta_0(e^{-i\frac{k_y}{\sqrt{3}}} - e^{i\frac{k_y}{2\sqrt{3}}}\cos(\frac{k_x}{2})) \\ \Delta_{dxy}(k) &= i\Delta_0 e^{i\frac{k_y}{2\sqrt{3}}}\sin(\frac{k_x}{2}). \end{aligned} \right.$$

TRB: $\Delta_k^{d \pm id'} = \cos(\pi/3)\Delta_{dx^2-y^2}(k) \pm i \sin(\pi/3)\Delta_{xy}(k)$

$t_{so} \ll \Delta_0$ chiral edge state

$d_{x^2-y^2} + id'_{xy}$ wave pairing in graphene superconductivity

Black-Schaffer PRL 2013



chiral edge state

TKNN number in spin-singlet chiral-d-wave superconductors in graphene ($t_{s0} \rightarrow 0$)

$$\Delta_k^{d \pm id'} = \cos(\pi/3) \Delta_{d_{x^2-y^2}}(k) \pm i \sin(\pi/3) \Delta_{d_{xy}}(k)$$

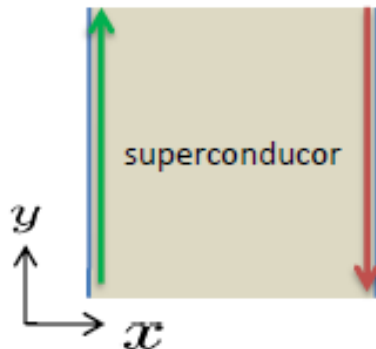
d + i d-wave pairing breaks time-reversal symmetry (TRS) and parity (P) symmetry

TKNN number:
$$\mathcal{N} = \frac{1}{4\pi} \int_{\text{BZ}} d^2k \hat{\mathbf{m}} \cdot \left(\frac{\partial \hat{\mathbf{m}}}{\partial k_x} \times \frac{\partial \hat{\mathbf{m}}}{\partial k_y} \right)$$

$$\hat{\mathbf{m}} = \frac{1}{\sqrt{\varepsilon(\mathbf{k})^2 + |\Delta(\mathbf{k})|^2}} \begin{pmatrix} \text{Re } \Delta(\mathbf{k}) \\ \text{Im } \Delta(\mathbf{k}) \\ \varepsilon(\mathbf{k}) \end{pmatrix}$$

spin-singlet $d_{x^2-y^2} + id_{xy}$ state with $\Delta(\mathbf{k}) \propto \cos 2\phi \pm i \sin 2\phi$

$$\phi = \arctan(k_y/k_x)$$



$$\mathcal{N} = \pm 2$$

chiral superconductors

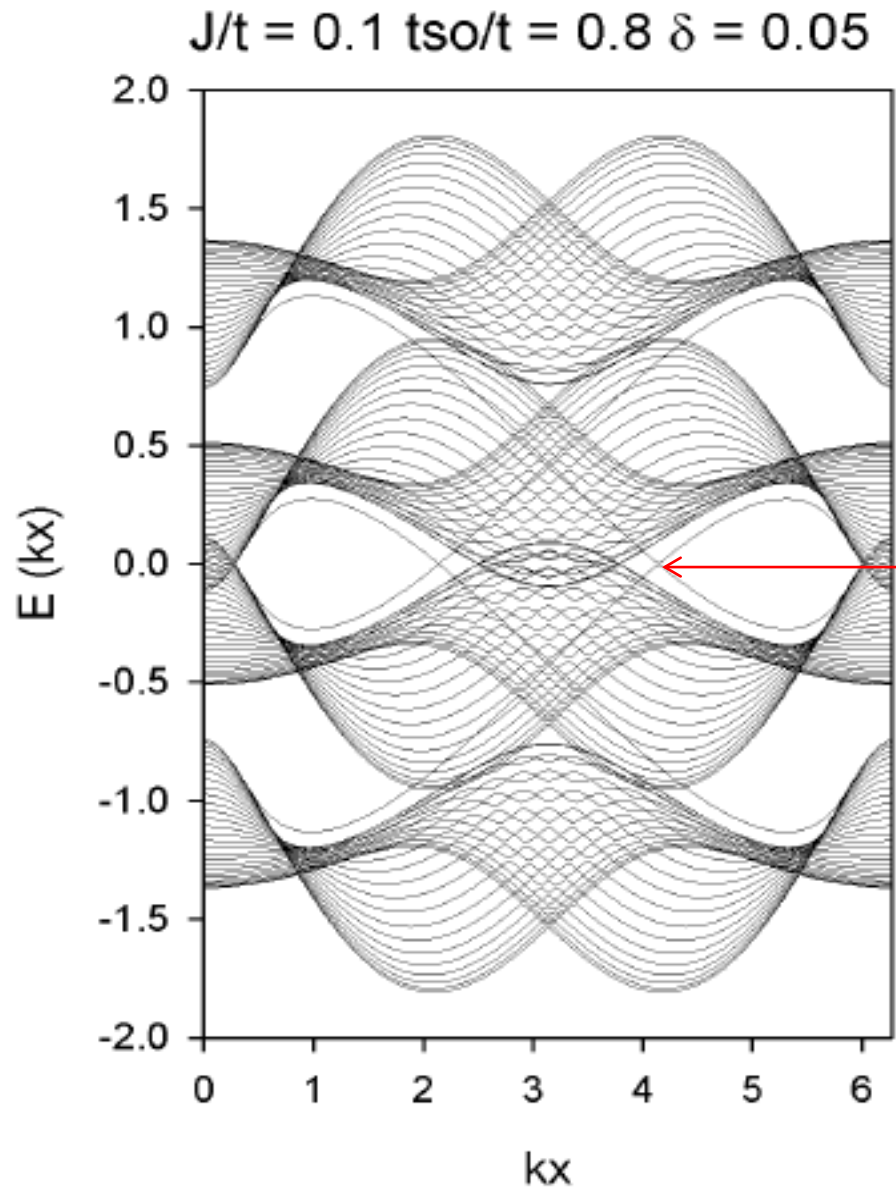
2 co-propagating chiral edge modes

Black-Schaffer et al. J. Phys. C 2014

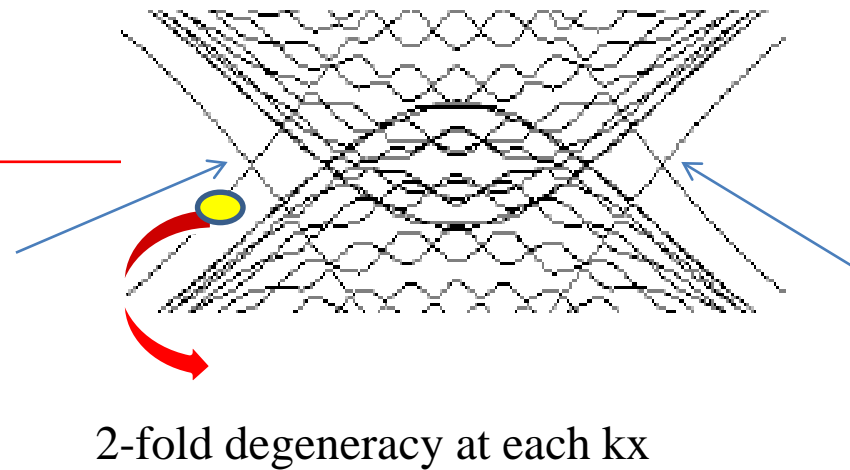
Bogoliubov excitation spectrum at large t_{so}

$$\Delta_0 < t_{so}$$

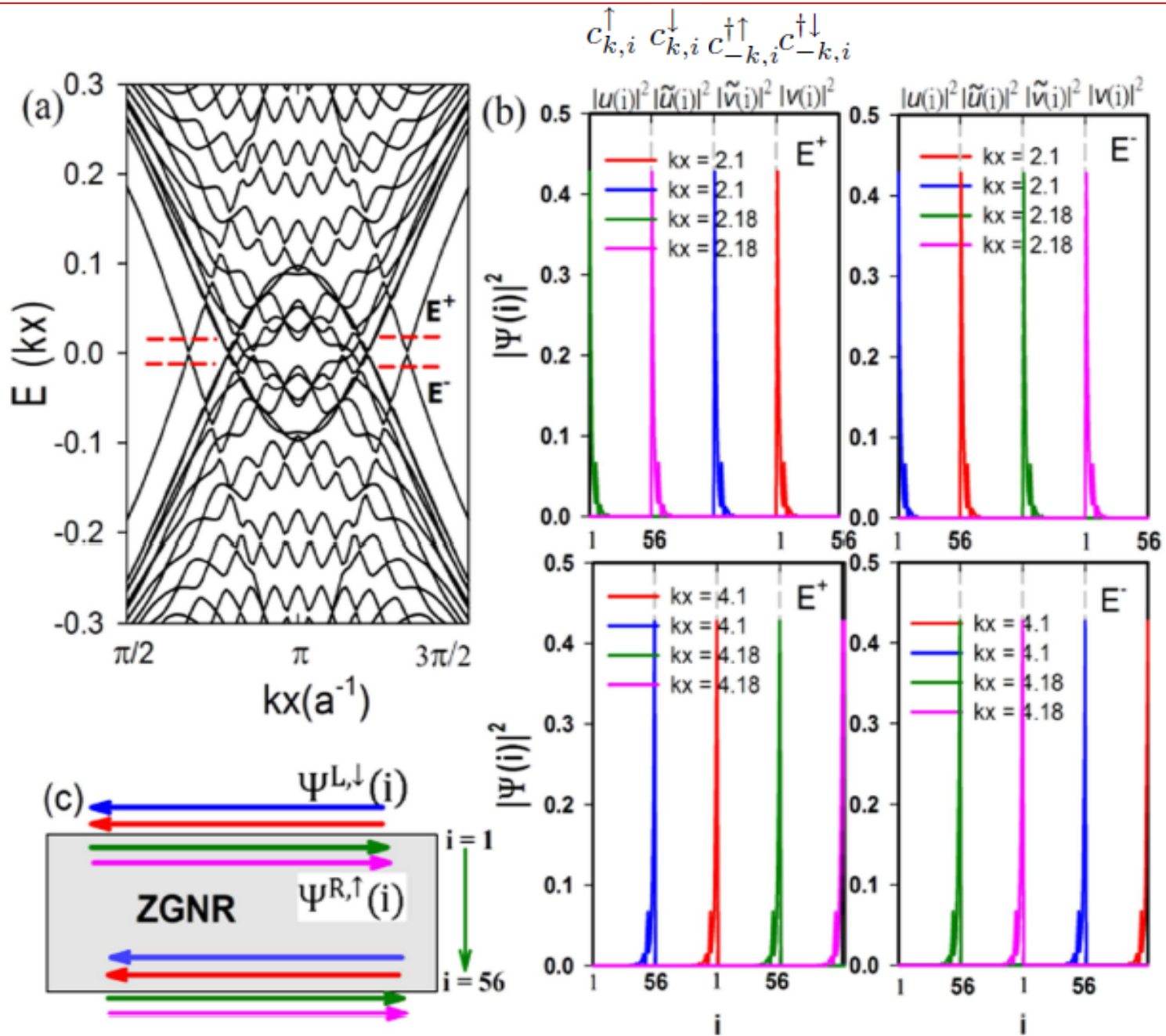
CH Chung et al Scientific Reports 2016



2 Dirac-dispersed lines

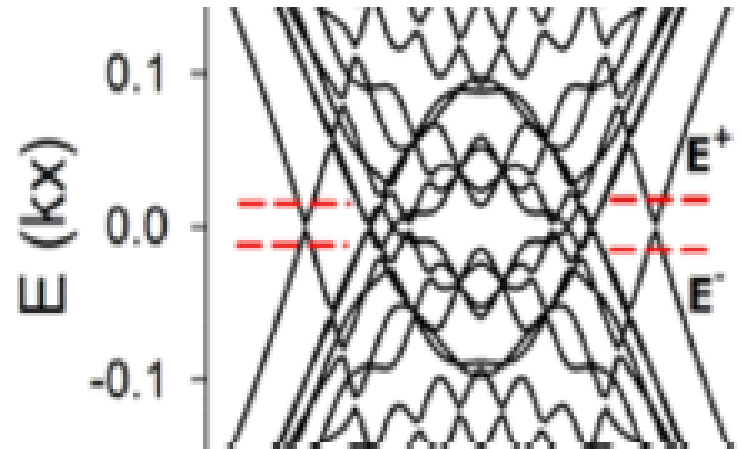


Helical Majorana zero modes in d+id'-wave superconductivity in doped KM t-J ribbon



Bogoliubov quasi-particle operators

CH Chung et al Scientific Reports 2016



$$H_{edge} = \sum_{\bar{k}, \tau=\uparrow, \downarrow} \bar{k} (\gamma_{\bar{k}}^{\dagger R\tau} \gamma_{\bar{k}}^{R\tau} - \gamma_{\bar{k}}^{\dagger L\tau} \gamma_{\bar{k}}^{L\tau}),$$

$$\gamma_{\bar{k}}^{R\tau} = u_{\bar{k},i}^{\tau} c_{\bar{k},i}^{\uparrow} + \tilde{u}_{\bar{k},i}^{\tau} c_{\bar{k},i}^{\downarrow} + \tilde{v}_{\bar{k},i}^{\tau} c_{-\bar{k},i}^{\uparrow\uparrow} + v_{\bar{k},i}^{\tau} c_{-\bar{k},i}^{\uparrow\downarrow},$$

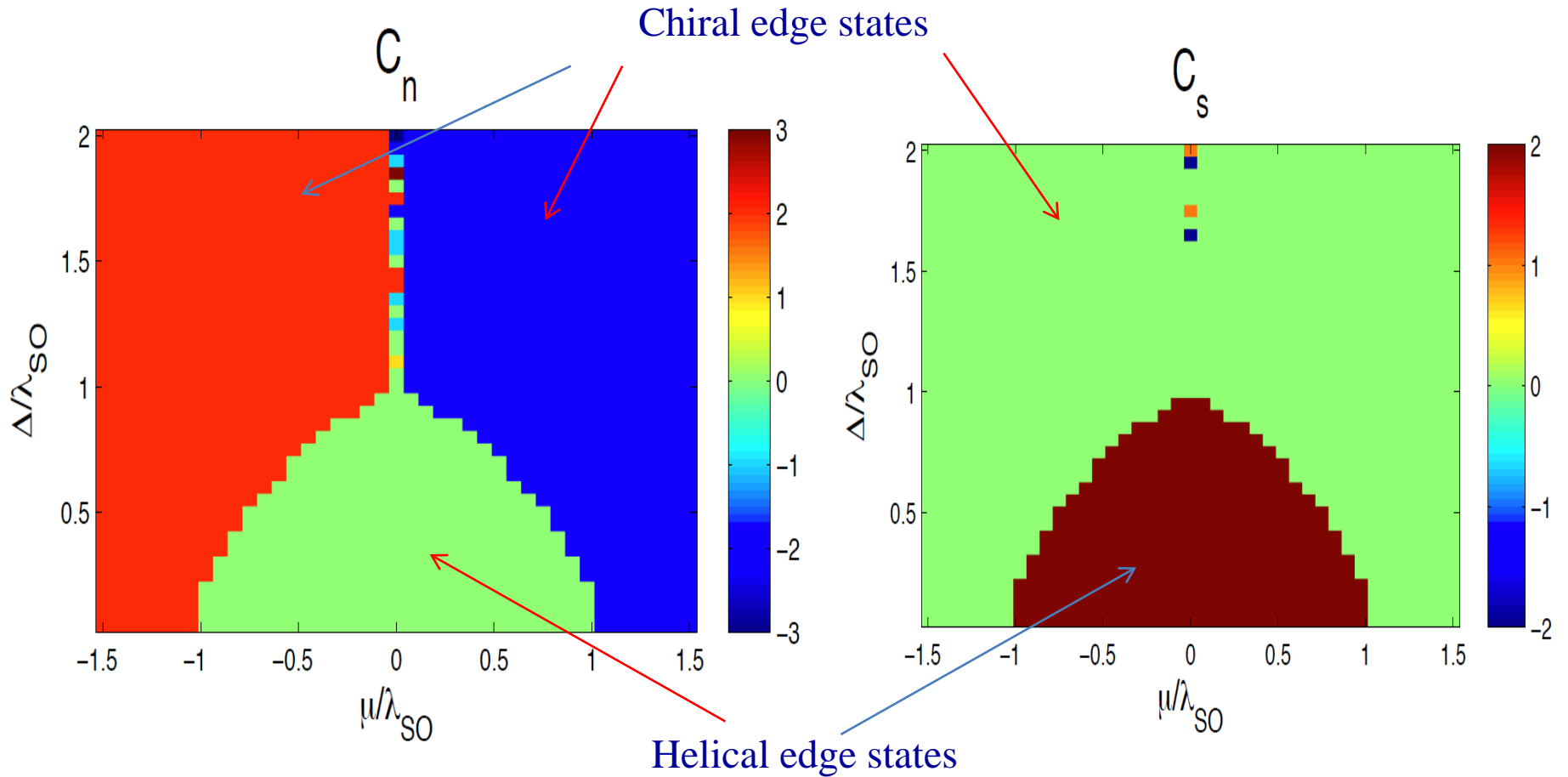
$$\gamma_{\bar{k}}^{L\tau} = -v_{\bar{k},i}^{\tau} c_{-\bar{k},i}^{\uparrow} + \tilde{v}_{\bar{k},i}^{\tau} c_{-\bar{k},i}^{\downarrow} - \tilde{u}_{\bar{k},i}^{\tau} c_{\bar{k},i}^{\uparrow\uparrow} + u_{\bar{k},i}^{\tau} c_{\bar{k},i}^{\uparrow\downarrow},$$

Helical Majorana fermions protected by the additional symmetry

Topological quantum phase diagram

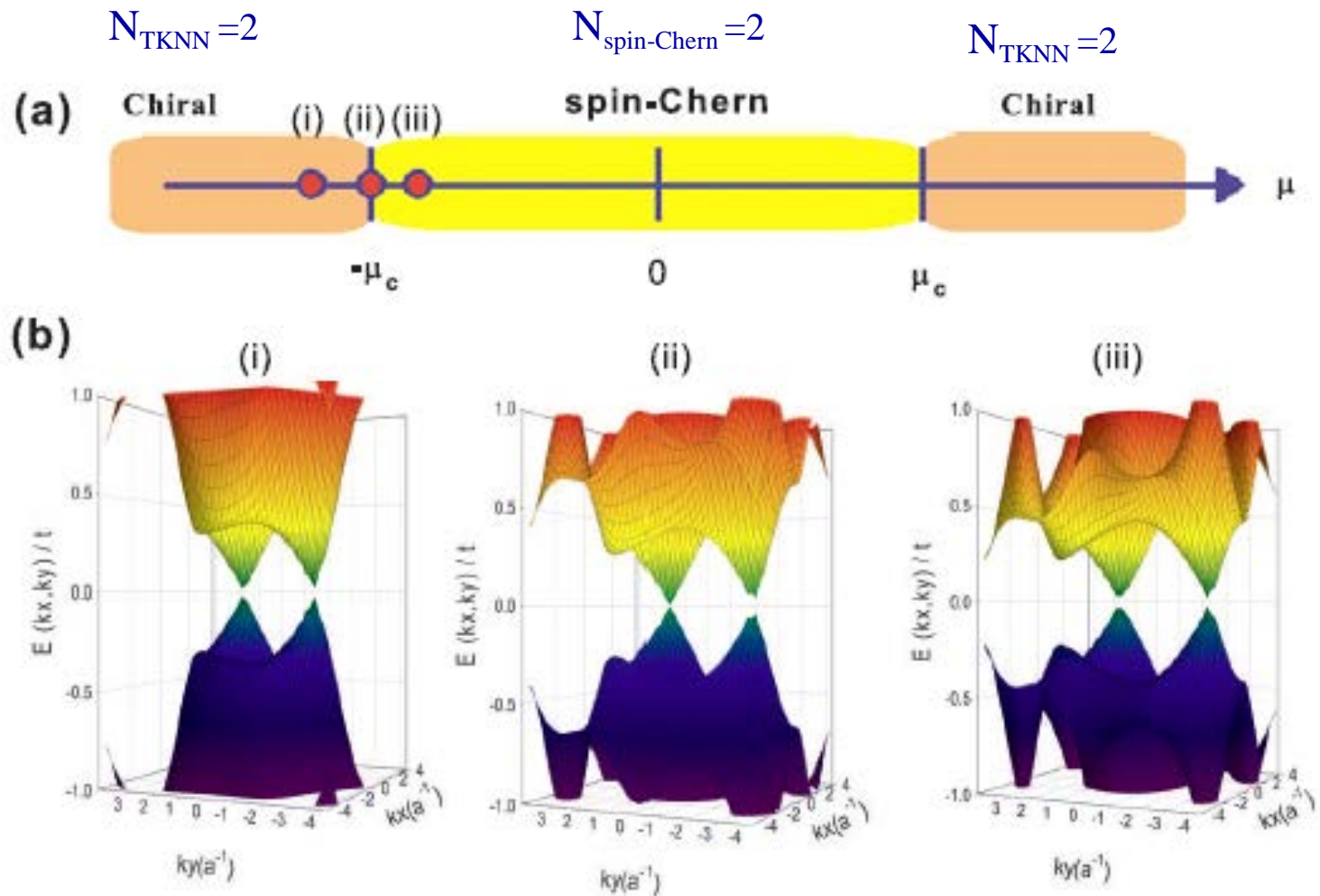
Charge Chern number

Pseudo-Spin Chern number



S M Huang, CY Mou, Wei-Feng-Tsai, CH Chung, PRB, 2016

Topological phase transition between chiral and spin-Chern phases



Bulk band gap closes at the phase transition

CH Chung et al Scientific Reports 2016

Pseudo-spin Symmetry protects helical Majorana

$$c_{SC,k}^\uparrow = (c_{A,k}^\uparrow, c_{B,k}^\uparrow, -c_{A,-k}^{\dagger\downarrow}, c_{B,-k}^{\dagger\downarrow}) \quad c_{SC,k}^\downarrow = (c_{A,k}^\downarrow, c_{B,k}^\downarrow, -c_{A,-k}^{\dagger\uparrow}, c_{B,-k}^{\dagger\uparrow})$$

$$H_{SC}^\uparrow = \begin{pmatrix} \gamma_k - \mu & \epsilon_k & 0 & \Delta_k \\ \epsilon_k^* & -\gamma_k - \mu & -\Delta_{-k} & 0 \\ 0 & -\Delta_{-k}^* & -\gamma_k + \mu & \epsilon_k \\ \Delta_k^* & 0 & \epsilon_k^* & \gamma_k + \mu \end{pmatrix}$$

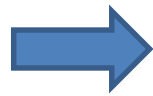
CH Chung et al
Scientific Reports 2016

Pseudo-spin Symmetry

$$\tau_z^{-1} H_{SC}^{\uparrow(\downarrow)} \tau_z = H_{SC}^{\uparrow(\downarrow)}$$

$$H_k = \psi_k^\dagger \mathcal{M}_k \psi_k$$

8×8



$$\begin{bmatrix} 4 \times 4 & \\ H_{SC}^\uparrow & 0 \\ & 0 & H_{SC}^\downarrow \\ & & & 4 \times 4 \end{bmatrix}$$

pseudo-spin Chern number $C_w = (C_n - C_{\bar{n}})/2$

$$C_w = 1$$

n and \bar{n}

class D topological superconductors

$$(c_{A,k}^\uparrow, c_{B,k}^\uparrow) \rightarrow (-c_{A,-k}^{\dagger\downarrow}, c_{B,-k}^{\dagger\downarrow})$$

p -wave pairing state & Rashba SOC

VOLUME 92, NUMBER 9

PHYSICAL REVIEW LETTERS

week ending
5 MARCH 2004

Superconductivity without Inversion Symmetry: MnSi versus CePt₃Si

P. A. Frigeri,¹ D. F. Agterberg,² A. Koga,^{1,3} and M. Sigrist¹

Calculate T_C using gap equation:

The triplet (p -wave) component is aligned with the Rashba coupling.

p wave pairing

$$H_p = \alpha \sum_{k,s,s'} \mathbf{g}_k \cdot \boldsymbol{\sigma}_{ss'} c_{ks}^\dagger c_{ks'}^\dagger,$$

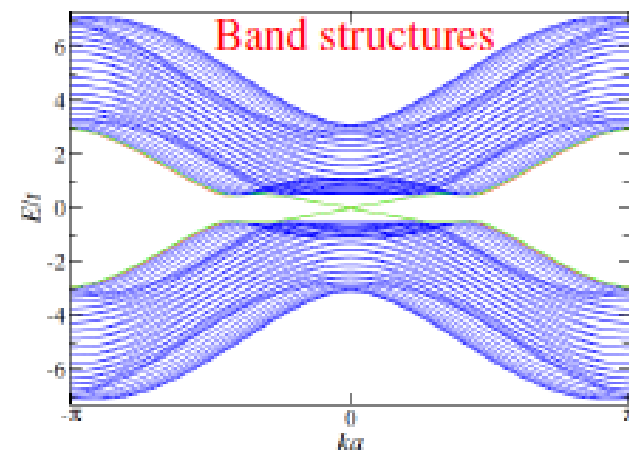
Rashba coupling

$$H_R = \lambda_R \sum_{k\sigma\sigma'} \tilde{R}_k \cdot \tilde{\sigma} a_{k\sigma}^\dagger b_{k\sigma'} + h.c.$$

↑ aligned

Square lattice in stripe geometry

Rashba SOC + Zeeman coupling



The gapless edge states form a Kramers pair.

M. Sato and S. Fujimoto, PRB 79, 094504 (2009)

$p+ip$ -wave triplet pairing and Rashba SOC on honeycomb lattice

Via KM SO, ferromagnetic spin coupling on NNN sites

$p+ip$ wave pairing

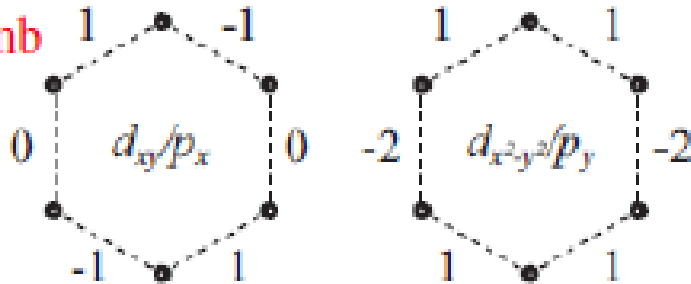
$$H_p = \alpha \sum_{k,s,s'} \mathbf{g}_k \cdot \boldsymbol{\sigma}_{ss'} c_{ks}^\dagger c_{ks'}^\dagger$$

Rashba coupling

$$H_R = \lambda_R \sum_{k\sigma\sigma'} \tilde{R}_k \cdot \tilde{\sigma} a_{k\sigma}^\dagger b_{k\sigma'} + h.c.$$

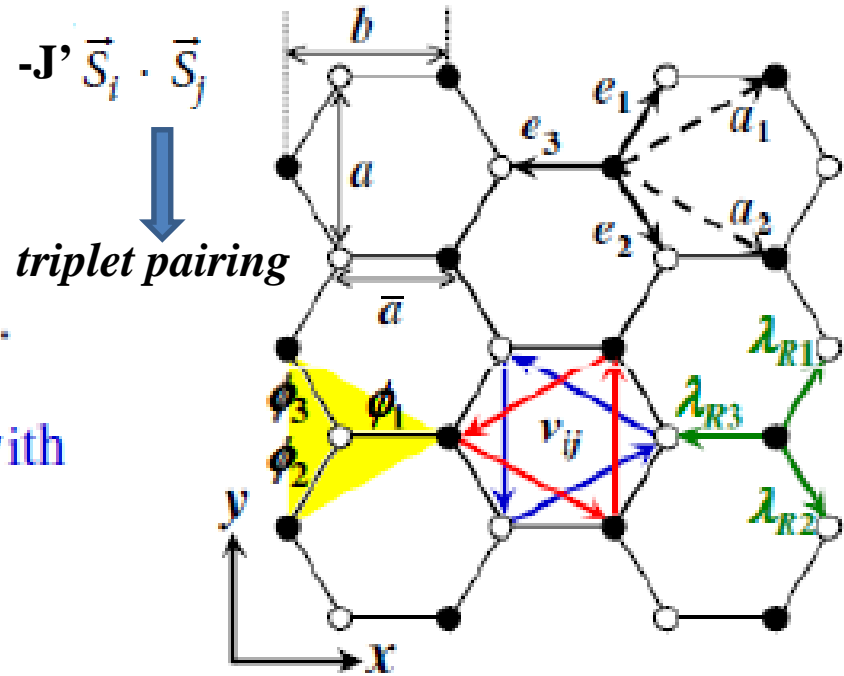
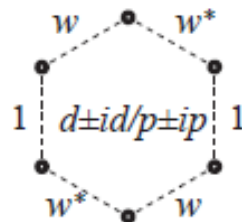
The triplet component is aligned with the Rashba coupling.

Honeycomb lattice



pairing amplitude for NN pairing channels

$p+ip$ -wave triplet pairing



triplet pairing

Rashba terms: $(\tilde{\sigma} \times e_{ij})_z$

$$\begin{aligned} \lambda_{R1} &= (\sigma_y - \sqrt{3}\sigma_x)/2, \\ \lambda_{R2} &= (\sigma_y + \sqrt{3}\sigma_x)/2, \\ \lambda_{R3} &= -\sigma_y, \end{aligned}$$

NCS on *honeycomb* lattice

CH Chung et al pssb 2018

$$H = H_t + H_{KM} + H_R + H_\mu + H_B + H_\Delta,$$

$$H_t = t \sum_{k\sigma} g_k a_{k\sigma}^\dagger b_{k\sigma} + \text{h.c.},$$

$$H_{KM} = \lambda_{SO} \sum_{k\sigma} \gamma_k \sigma_z (a_{k\sigma}^\dagger a_{k\sigma} - b_{k\sigma}^\dagger b_{k\sigma}),$$

$$H_R = \lambda_R \sum_{k\sigma\sigma'} \tilde{R}_k \cdot \tilde{\sigma} a_{k\sigma}^\dagger b_{k\sigma'} + \text{h.c.},$$

$$H_\mu = \mu \sum_{k\sigma} (a_{k\sigma}^\dagger a_{k\sigma} + b_{k\sigma}^\dagger b_{k\sigma}),$$

$$H_B = \mu_B B_z \sum_{k\sigma} \sigma_z (a_{k\sigma}^\dagger a_{k\sigma} + b_{k\sigma}^\dagger b_{k\sigma}),$$

$$H_\Delta = \frac{1}{2} \sum_{k\sigma\sigma'} [\Delta(k) a_{k\sigma}^\dagger b_{-k\sigma'}^\dagger + \Delta^*(k) a_{-k\sigma} b_{k\sigma'}].$$

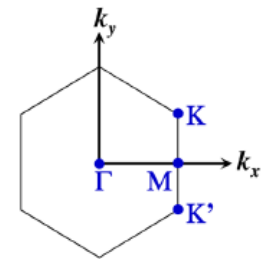
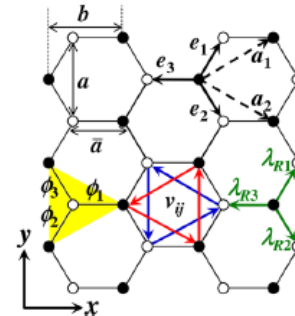
$$\tilde{R}_k = \sum_{l=1}^3 (-e_{l,y}, e_{l,x}) e^{i(k \cdot e_l + \pi/2)},$$

Singlet-triplet mixing superconducting pairing

$$\Delta(k) = i\Delta_d L_k \sigma_y + i\Delta_t \tilde{R}_k \cdot \tilde{\sigma} \sigma_y$$

Δ_d : **d + i d-wave singlet pairing**

Δ_t : **p + i p-wave triplet pairing**

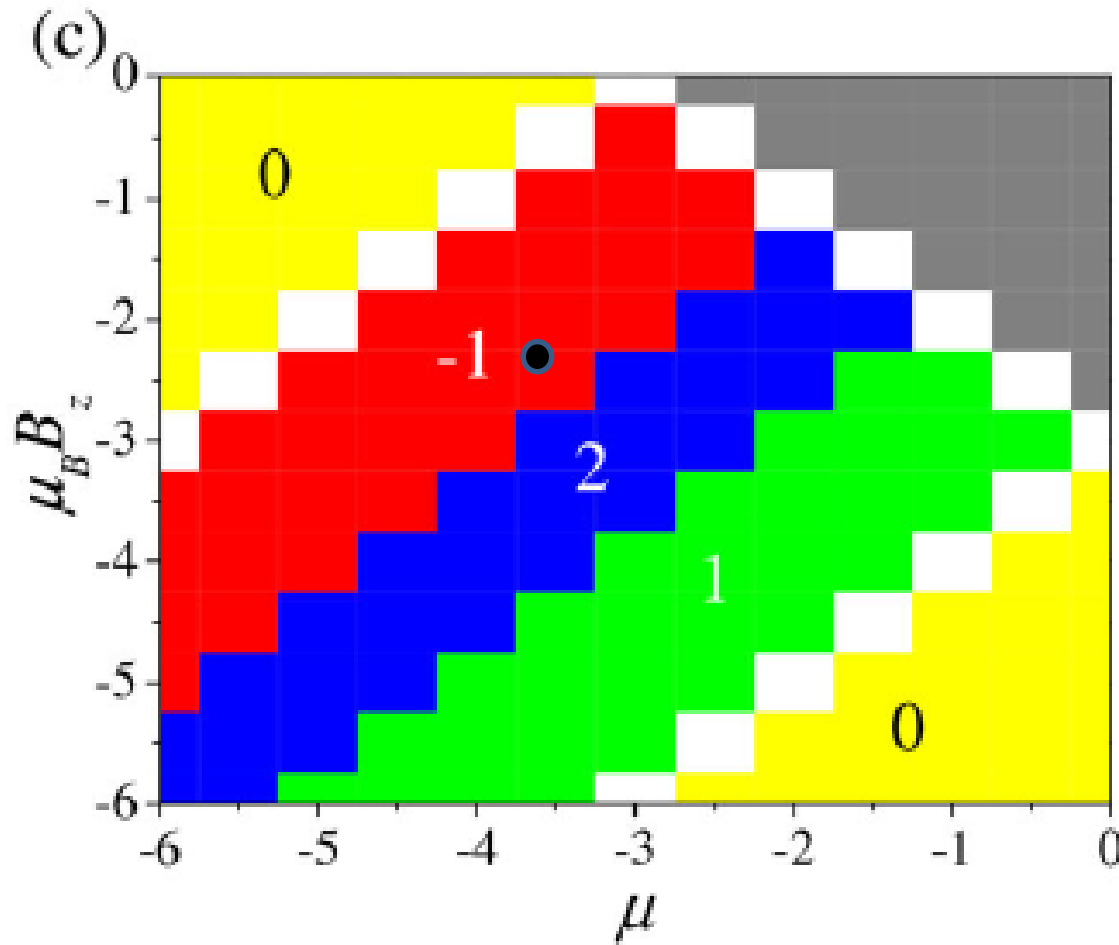


Topological phase diagram

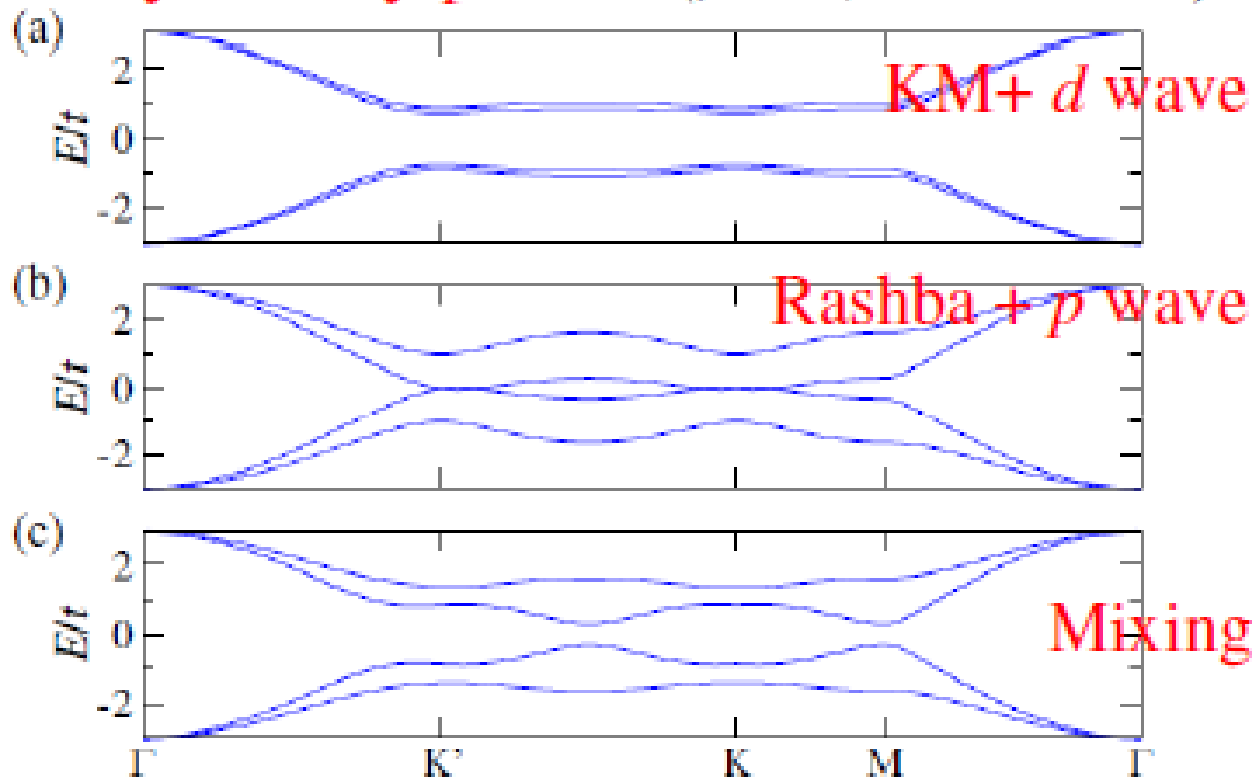
CH Chung et al pssb 2018

charge Chern number

$$C = -\frac{1}{\pi} \int \int dk_x dk_y \sum_{E_\alpha < 0 < E_\beta} \frac{\text{Im} \langle \alpha | \partial_{k_y} \tilde{h}(k) | \beta \rangle \langle \beta | \partial_{k_x} \tilde{h}(k) | \alpha \rangle}{(E_\alpha - E_\beta)^2}$$



Dispersion relation along high symmetry points ($\mu = \mu_B B_z = -3$)

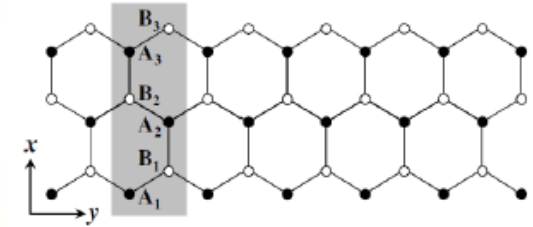
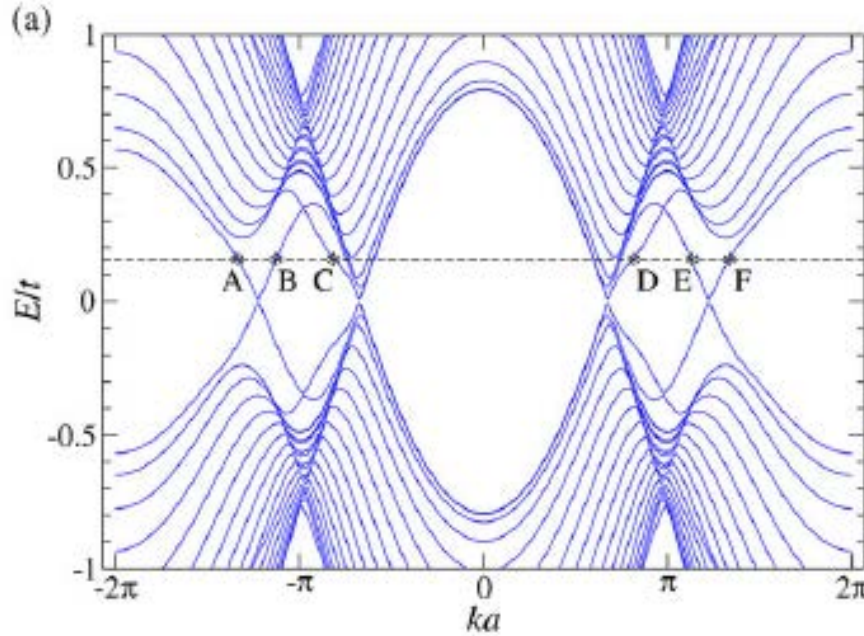
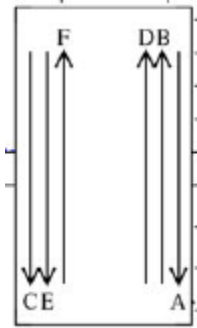


Edge states and mixed helical/chiral Majorana modes on a ribbon

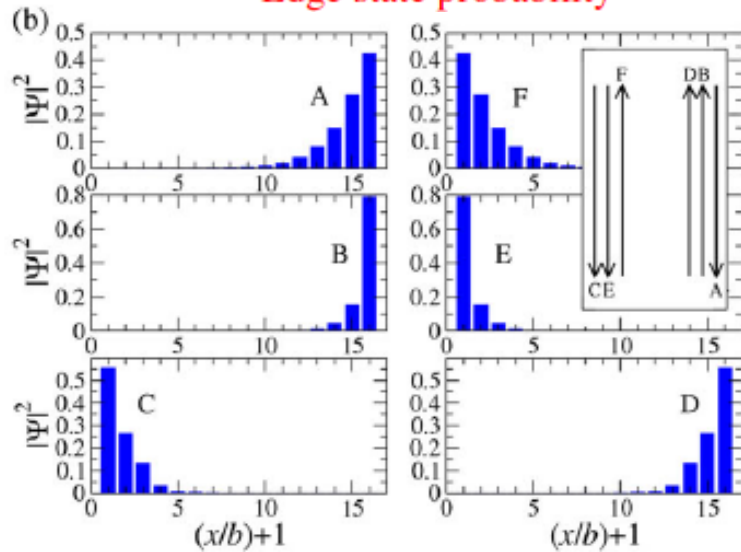
(A,B), (E,F):
helical Majorana

(C,D):
chiral Majorana

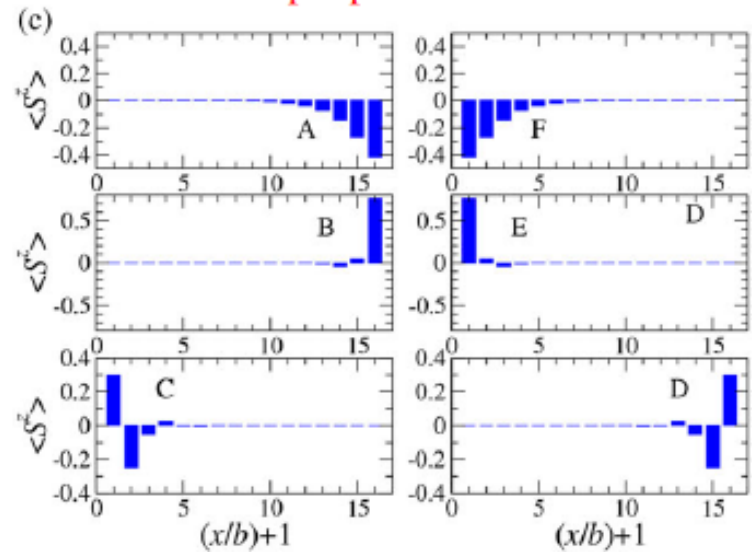
CH Chung et al pssb 2018



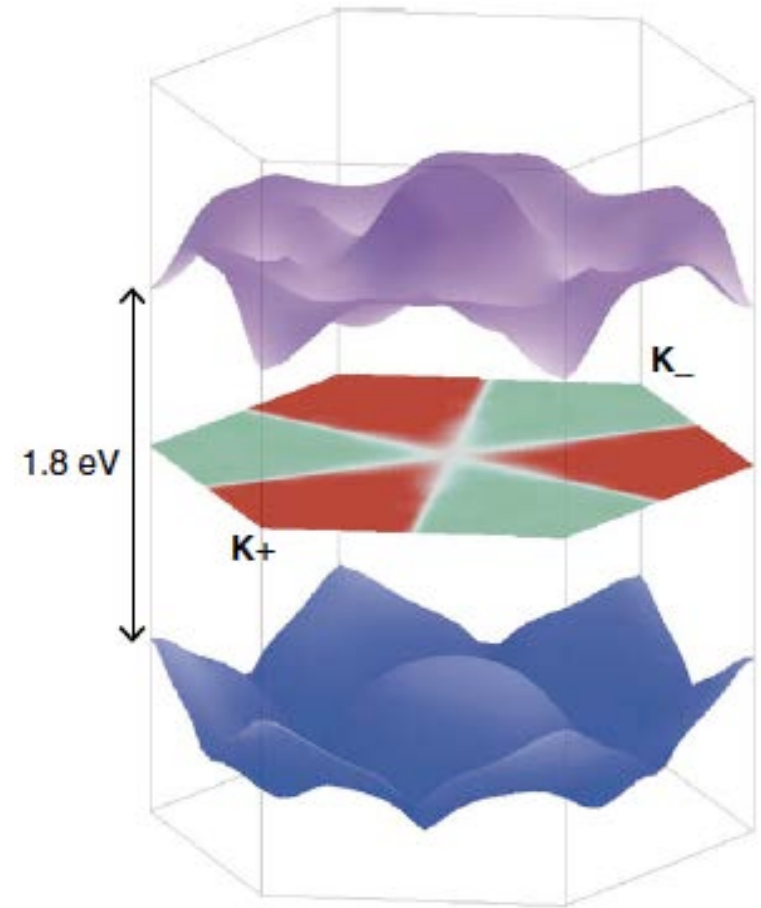
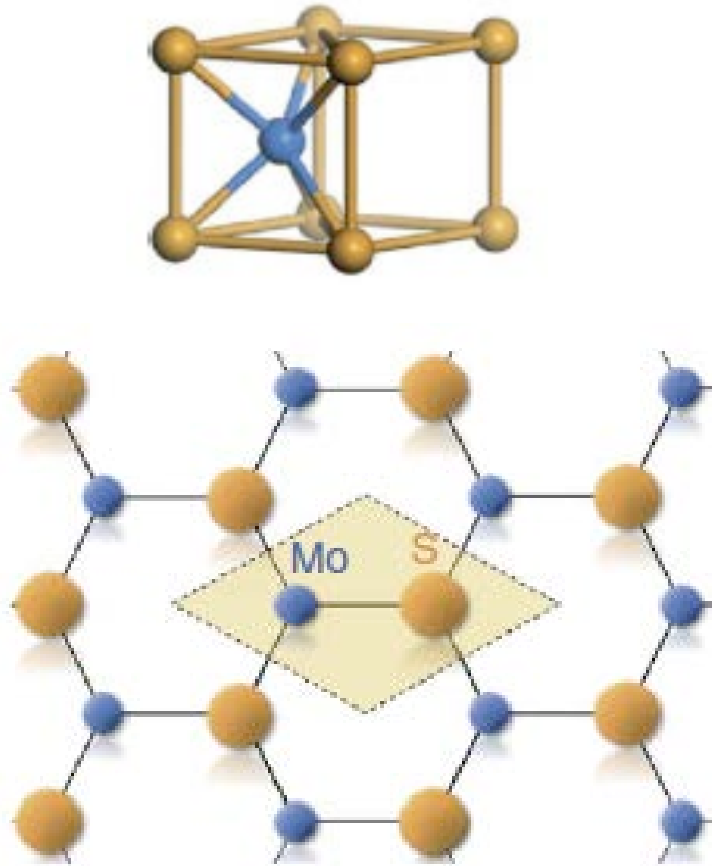
Edge state probability



Spin polarization



Monolayer MoS₂



Direct band gap 1.8eV
at Dirac points

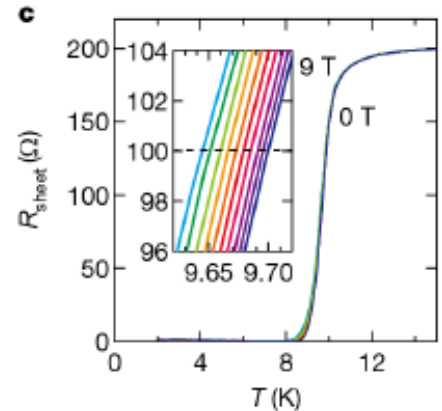
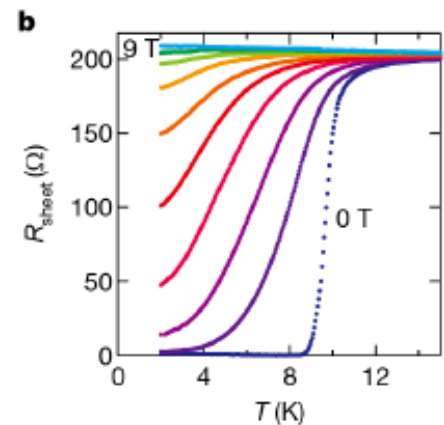
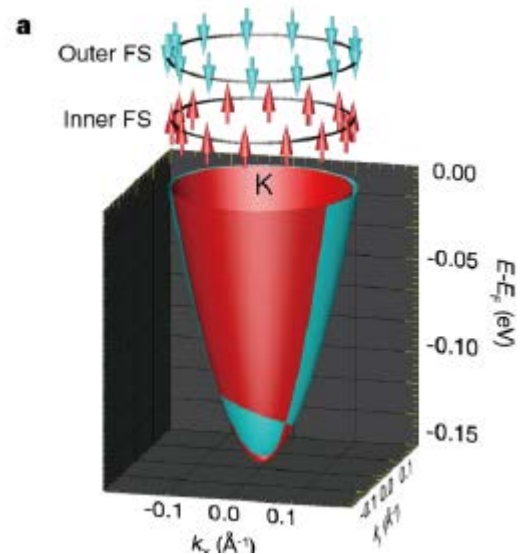
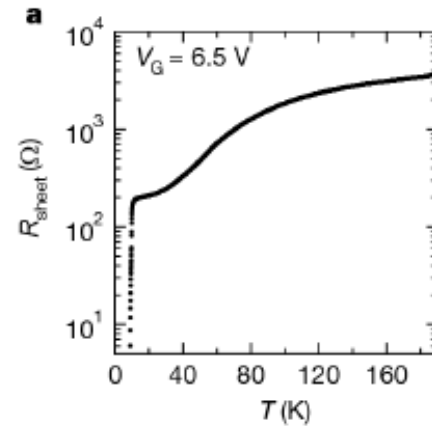
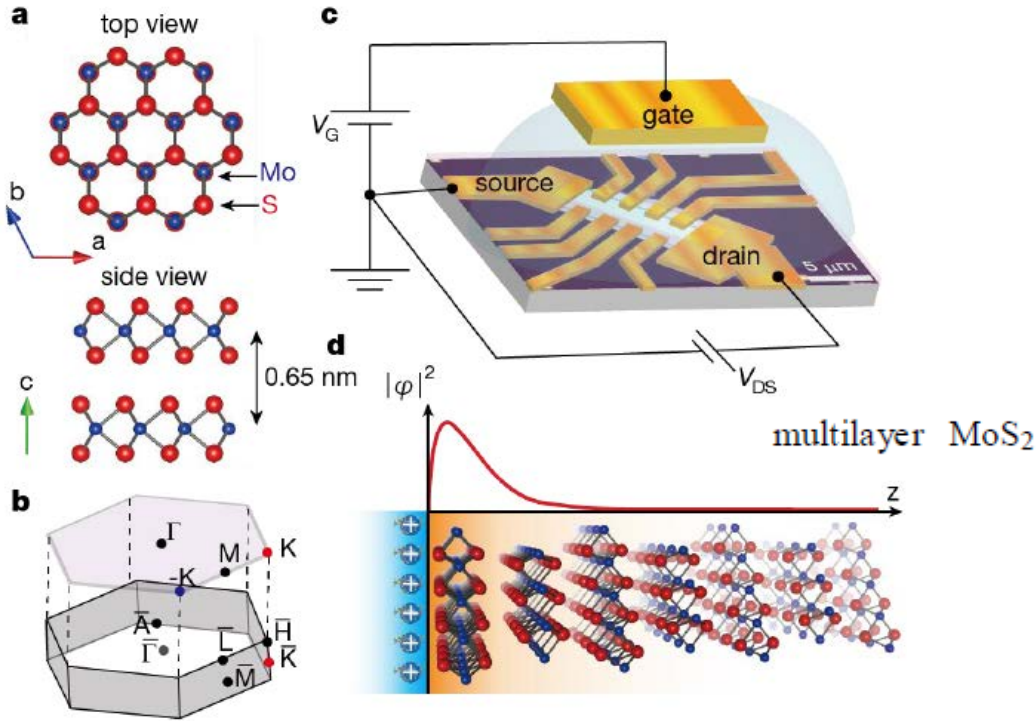
K. F. Mak et al., Phys. Rev. Lett. 105, 136805 (2010)

T. Cao et al. Nature Communication, 3, 887 (2012)

Gate-tuned superconductivity in MoS₂

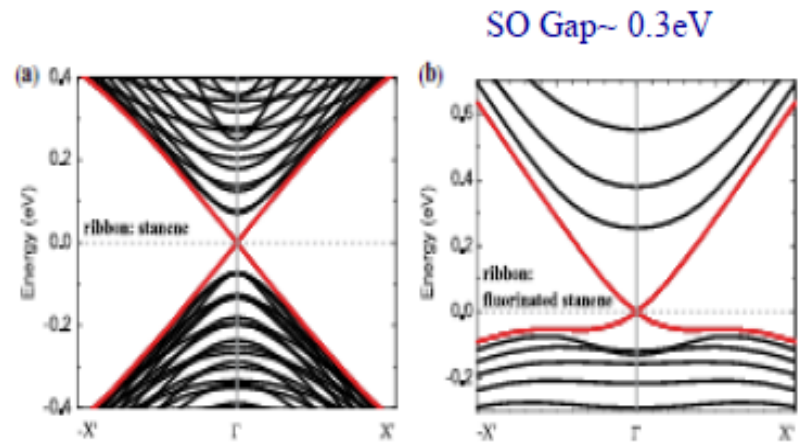
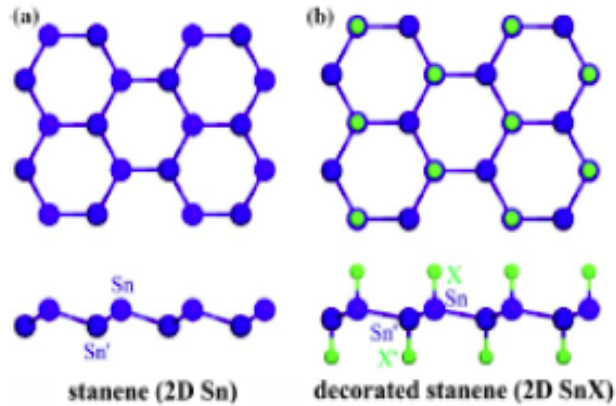
Y. Saito et al. *Nature*

Physics volume 12, pages 144-149 (2016)



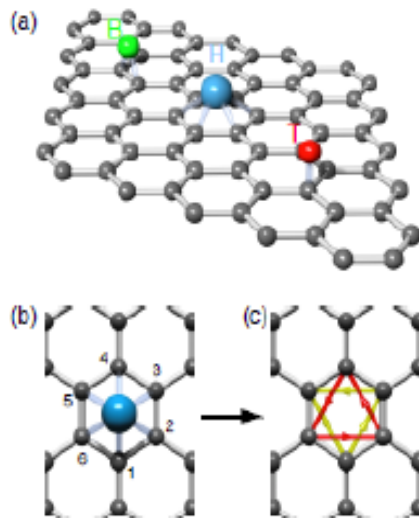
Possible realizations of QSHIs

Sn (Tin) film: Stanene

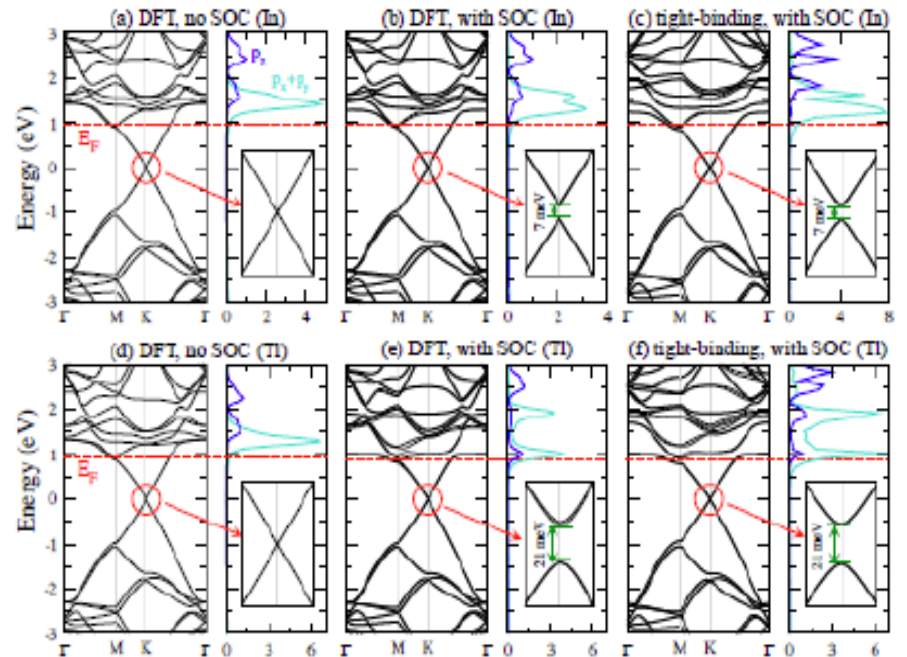


SC Zhang et al, PRL 2013

Adatom (Indium, Thallium doped) in graphene



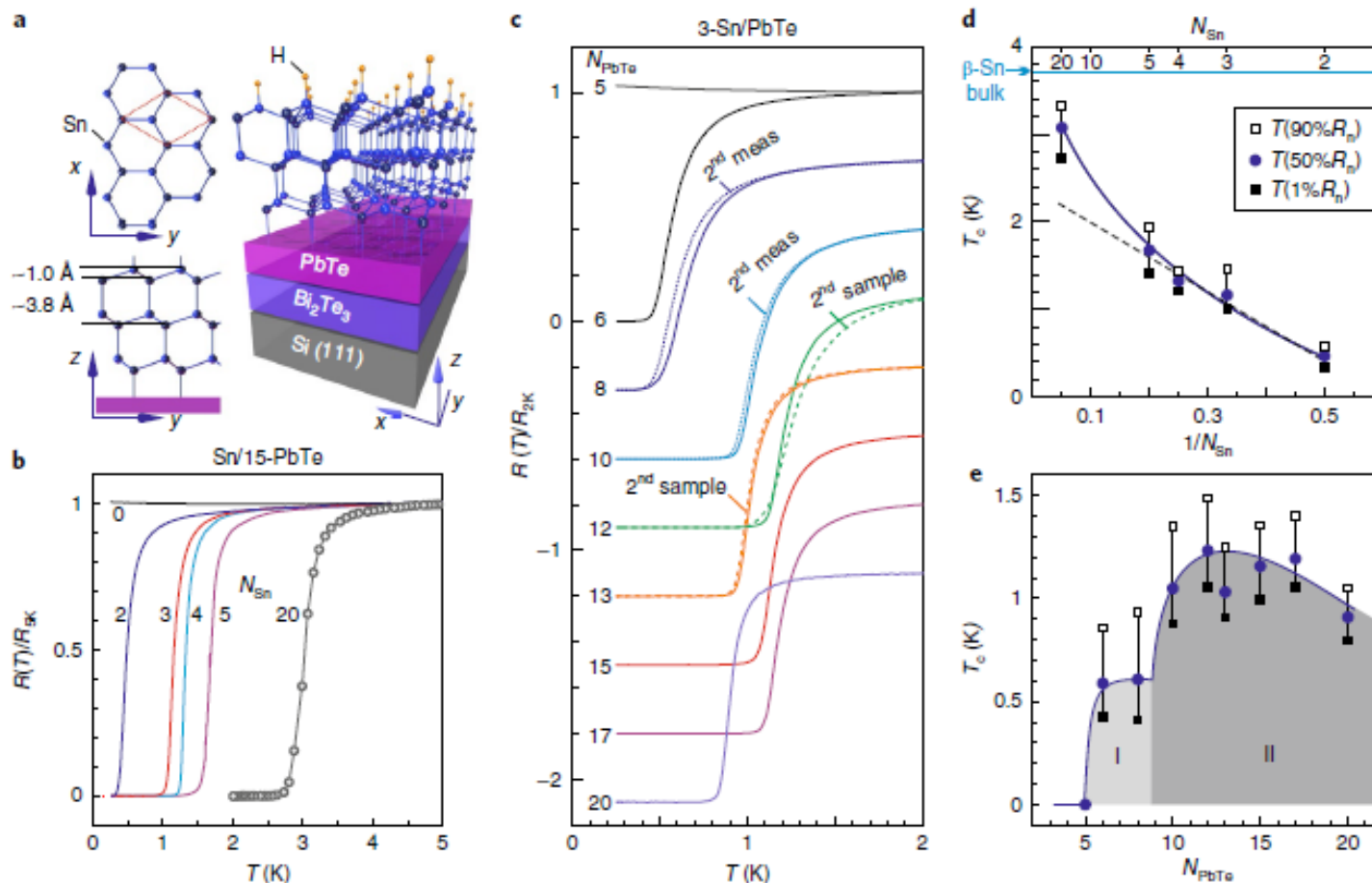
SO Gap $\sim 7\sim 21\text{meV}$



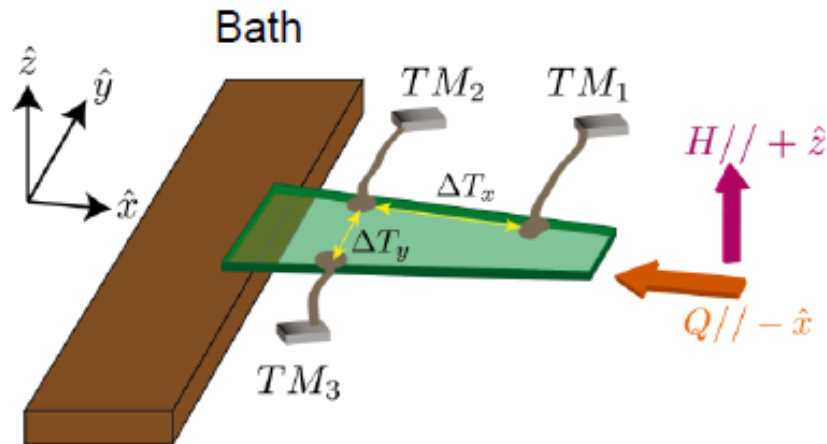
J. Alicea et al, Phys. Rev. X (2011)

Superconductivity in few-layer stanene

Menghan Liao¹, Yunyi Zang¹, Zhaoyong Guan¹, Haiwei Li¹, Yan Gong¹, Kejing Zhu¹, Xiao-Peng Hu^{1,2}, Ding Zhang^{1,2*}, Yong Xu^{1,2,3*}, Ya-Yu Wang^{1,2}, Ke He^{1,2}, Xu-Cun Ma^{1,2}, Shou-Cheng Zhang⁴ and Qi-Kun Xue^{1,2*}



Thermal and thermal Hall conductivities



$$\mathbf{j}_T = \kappa(-\nabla T)$$

$$\kappa = \begin{pmatrix} \kappa_{xx} & \kappa_{xy} \\ -\kappa_{xy} & \kappa_{xx} \end{pmatrix}$$

Thermal conductivity $\kappa_{xx} = Cv_s \ell$
 Thermal Hall conductivity κ_{xy}

Thermal analog of electronic Hall effect

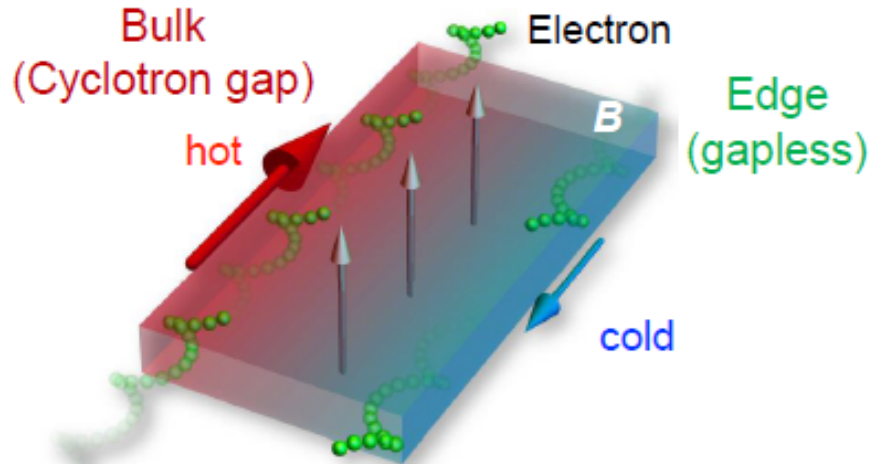
Thermal conductivity can sensitively probe **low energy “itinerant” excitations** at low temperature.

Not contaminated by localized impurities

Thermal Hall conductivity may be a unique probe to study **nontrivial excitations** in spin liquid states

2D electron gas and Kitaev spin liquid

2D electron gas
Chern insulator



$$\sigma_{xy}^{2D} = \nu \frac{e^2}{h} \quad \nu = 1, 2, 3 \dots$$

Chiral edge currents of **electrons**

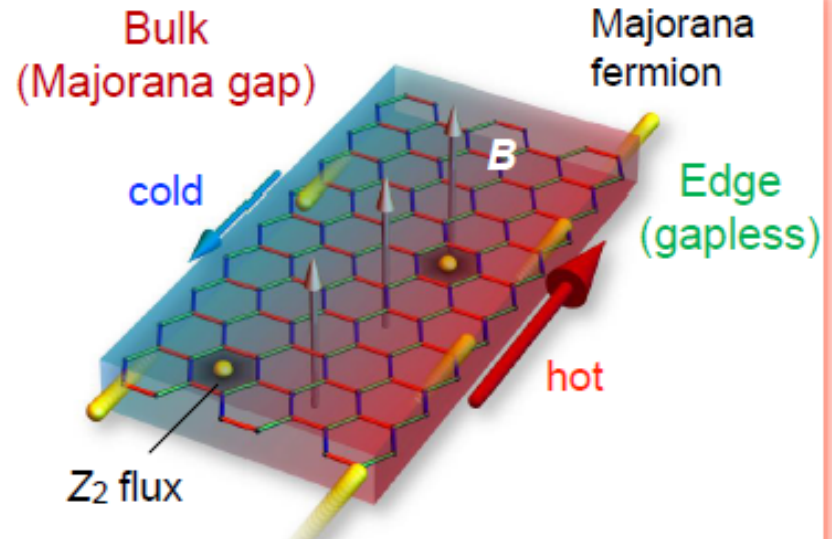
Integer QHE

$$\frac{\kappa_{xy}^{2D}}{T} = \nu \left(\frac{\pi}{6} \frac{k_B^2}{\hbar} \right)$$

Integer thermal QHE

M. Banerjee *et al.* Nature **545**, 75 (2017).

Kitaev quantum spin liquid
Majorana Chern insulator



Chiral edge currents of **neutral Majorana fermions**

$$\frac{\kappa_{xy}^{2D}}{T} = \frac{1}{2} \left(\frac{\pi}{6} \frac{k_B^2}{\hbar} \right)$$

Half integer thermal QHE

One Majorana = "half" of a fermion
Non-Abelian anyon

Summary

- Doped Kane-Mele t-J model

- TRB $d+d'$ -wave singlet superconductivity in doped Kane-Mele t-J model, protected by pseudospin symmetry due to A-B sublattice

- Topological phase transition chiral \rightarrow helical Majorana fermions with increasing KM SO

- Rashba SO on correlated honeycomb lattice favors $p+ip$ -wave triplet superconductivity

- Doped Kane-Mele + Rashba t-J model on honeycomb lattice

- coexistence between $d+id$ singlet and $p+ip$ -wave triplet superconductivity

- coexistence between helical and chiral Majorana zero modes