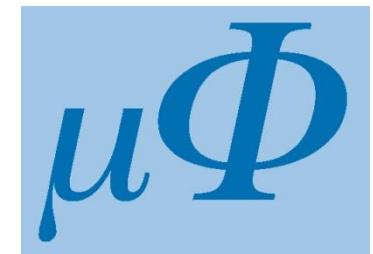


# How to make the Born-Oppenheimer approximation exact: A fresh look at potential energy surfaces and Berry phases

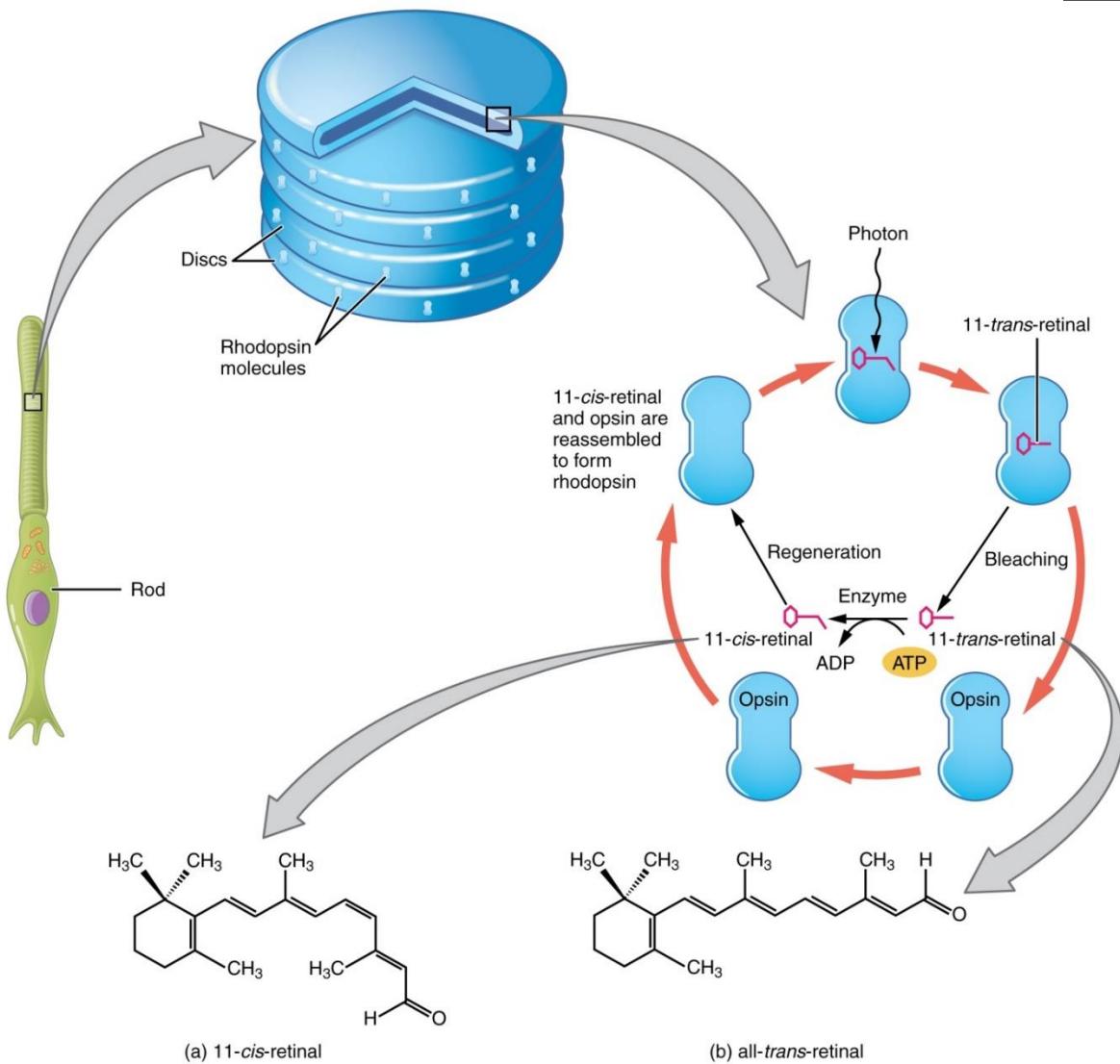


E.K.U. Gross

Max-Planck Institute of  
Microstructure Physics  
Halle (Saale)



# Process of vision

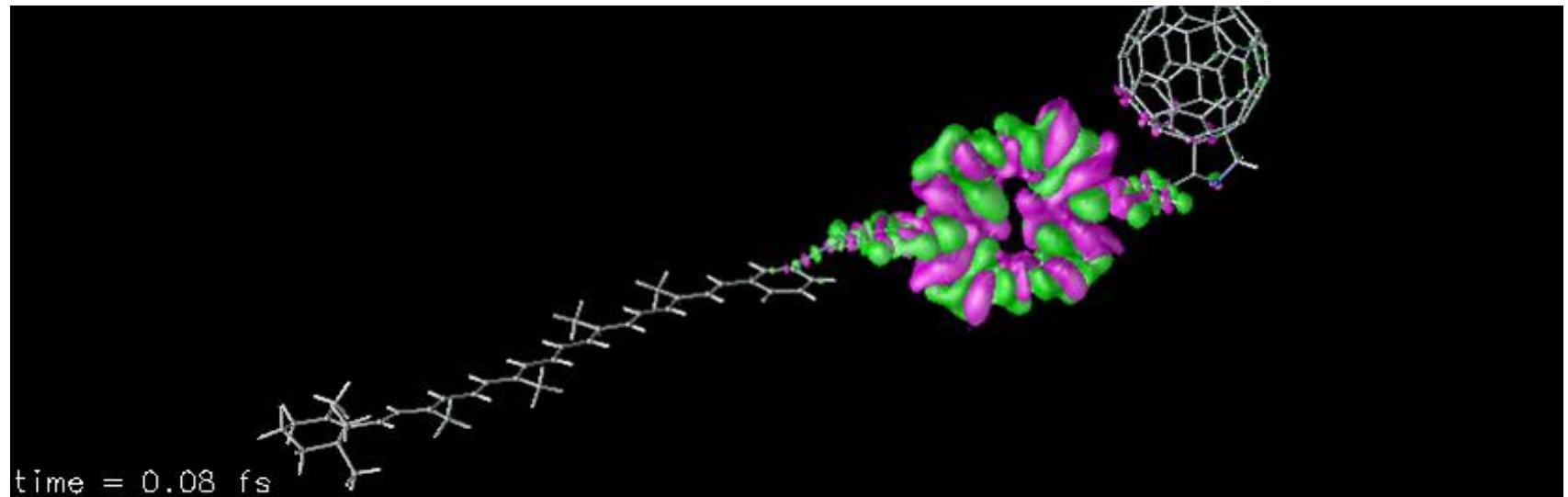


Light-induced  
isomerization

## **"Triad molecule": Candidate for photovoltaic applications**

C.A. Rozzi et al, Nature Communications 4, 1602 (2013)

S.M. Falke et al, Science 344, 1001 (2014)

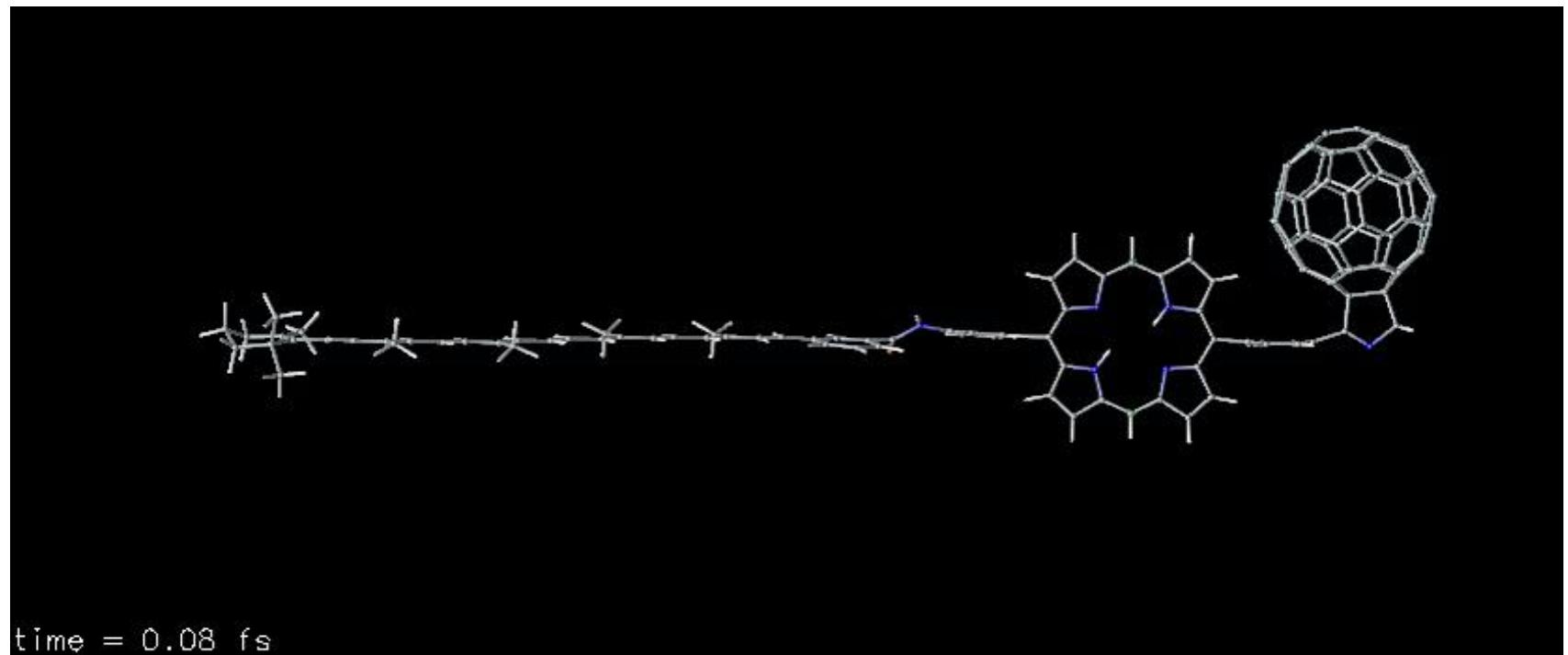


**TDDFT propagation with clamped nuclei**

# **"Triad molecule": Candidate for photovoltaic applications**

C.A. Rozzi et al, Nature Communications 4, 1602 (2013)

S.M. Falke et al, Science 344, 1001 (2014)



time = 0.08 fs

**Moving nuclei**

**Hamiltonian for the complete system of  $N_e$  electrons with coordinates  $(\underline{\underline{r}}_1 \cdots \underline{\underline{r}}_{N_e}) \equiv \underline{\underline{r}}$  and  $N_n$  nuclei with coordinates  $(\underline{\underline{R}}_1 \cdots \underline{\underline{R}}_{N_n}) \equiv \underline{\underline{R}}$**

$$\hat{H} = \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{T}_e(\underline{\underline{r}}) + \hat{W}_{ee}(\underline{\underline{r}}) + \hat{V}_{en}(\underline{\underline{R}}, \underline{\underline{r}})$$

with  $\hat{T}_n = \sum_{v=1}^{N_n} -\frac{\nabla_v^2}{2M_v}$      $\hat{T}_e = \sum_{i=1}^{N_e} -\frac{\nabla_i^2}{2m}$      $\hat{W}_{nn} = \frac{1}{2} \sum_{\substack{\mu, v \\ \mu \neq v}}^{N_n} \frac{Z_\mu Z_v}{|\underline{\underline{R}}_\mu - \underline{\underline{R}}_v|}$

$$\hat{W}_{ee} = \frac{1}{2} \sum_{\substack{j, k \\ j \neq k}}^{N_e} \frac{1}{|\underline{\underline{r}}_j - \underline{\underline{r}}_k|} \quad \hat{V}_{en} = \sum_{j=1}^{N_e} \sum_{v=1}^{N_n} -\frac{Z_v}{|\underline{\underline{r}}_j - \underline{\underline{R}}_v|}$$

**Stationary Schrödinger equation**

$$\hat{H}\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = E\Psi(\underline{\underline{r}}, \underline{\underline{R}})$$

**Hamiltonian for the complete system of  $N_e$  electrons with coordinates  $(\underline{\underline{r}}_1 \cdots \underline{\underline{r}}_{N_e}) \equiv \underline{\underline{r}}$  and  $N_n$  nuclei with coordinates  $(\underline{\underline{R}}_1 \cdots \underline{\underline{R}}_{N_n}) \equiv \underline{\underline{R}}$**

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## Time-dependent Schrödinger equation

$$i \frac{\partial}{\partial t} \Psi(\underline{\underline{r}}, \underline{\underline{R}}, t) = (H(\underline{\underline{r}}, \underline{\underline{R}}) + V_{laser}(\underline{\underline{r}}, \underline{\underline{R}}, t)) \Psi(\underline{\underline{r}}, \underline{\underline{R}}, t)$$

$$V_{laser}(\underline{\underline{r}}, \underline{\underline{R}}, t) = \left( \sum_{j=1}^{N_e} r_j - \sum_{v=1}^{N_n} Z_v R_v \right) \cdot E \cdot f(t) \cdot \cos \omega t$$

# Born-Oppenheimer approximation

solve

$$\left( \hat{T}_e(\underline{\underline{r}}) + \hat{W}_{ee}(\underline{\underline{r}}) + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}) + \hat{V}_{\text{en}}(\underline{\underline{r}}, \underline{\underline{R}}) \right) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) = \epsilon^{\text{BO}}(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}})$$

for each fixed nuclear configuration  $\underline{\underline{R}}$ .

Make adiabatic ansatz for the complete molecular wave function:

$$\Psi^{\text{BO}}(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) \cdot \chi^{\text{BO}}(\underline{\underline{R}})$$

and find best  $\chi^{\text{BO}}$  by minimizing  $\langle \Psi^{\text{BO}} | H | \Psi^{\text{BO}} \rangle$  w.r.t.  $\chi^{\text{BO}}$ :

## Born-Oppenheimer approximation

solve

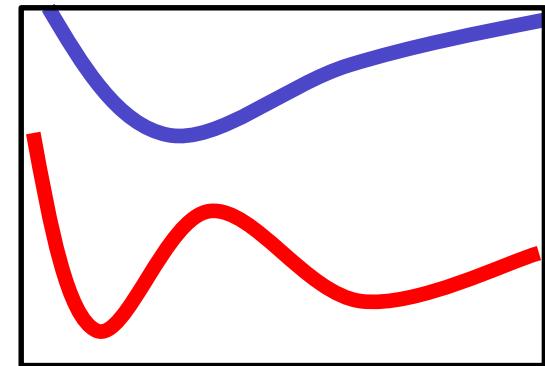
$$\left( \hat{T}_e(\underline{\underline{r}}) + \hat{W}_{ee}(\underline{\underline{r}}) + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}) + \hat{V}_{\text{en}}(\underline{\underline{r}}, \underline{\underline{R}}) \right) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) = \epsilon^{\text{BO}}(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}})$$

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# Nuclear equation

$$\left[ \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}) + \sum_v \frac{1}{M_v} A_v^{\text{BO}}(\underline{\underline{R}}) (-i\nabla_v) + \epsilon^{\text{BO}}(\underline{\underline{R}}) \right. \\ \left. + \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) \hat{T}_n(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}} \right] \chi^{\text{BO}}(\underline{\underline{R}}) = E \chi^{\text{BO}}(\underline{\underline{R}})$$

Berry connection ←

$$A_v^{\text{BO}}(\underline{\underline{R}}) = \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) (-i\nabla_v) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}}$$

$$\gamma^{\text{BO}}(C) = \oint_C \vec{A}^{\text{BO}}(\underline{\underline{R}}) \cdot d\vec{\underline{\underline{R}}} \text{ is a geometric phase}$$

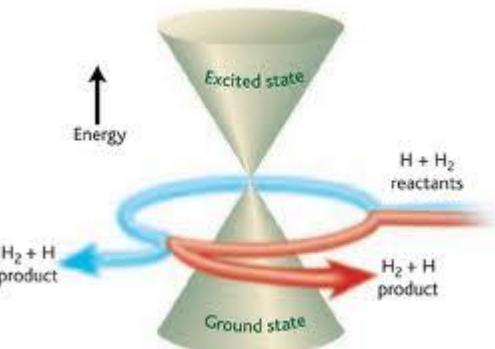
In this context, potential energy surfaces  $\epsilon^{\text{BO}}(\underline{\underline{R}})$  and the vector potential  $\vec{A}^{\text{BO}}(\underline{\underline{R}})$  follow from an APPROXIMATION (the BO approximation).

# Nuclear equation

$$\left[ \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}) + \sum_v \frac{1}{M_v} A_v^{\text{BO}}(\underline{\underline{R}}) (-i\nabla_v) + \epsilon^{\text{BO}}(\underline{\underline{R}}) \right. \\ \left. + \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) \hat{T}_n(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}} \right] \chi^{\text{BO}}(\underline{\underline{R}}) = E \chi^{\text{BO}}(\underline{\underline{R}})$$

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In this context, potential energy surfaces  $\epsilon^{\text{BO}}(\underline{\underline{R}})$  and the vector potential  $\vec{A}^{\text{BO}}(\underline{\underline{R}})$  follow from an APPROXIMATION (the BO approximation).

# Geometric Phases

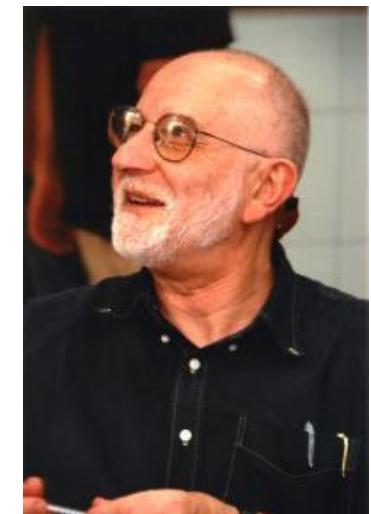


## Concept of geometric phase:

Discovered by **S. Pancharatnam** (1956)  
*Proc. Indian Acad. Sci. A* **44**: 247–262.

## In the context of quantum mechanics:

**Michael V. Berry** (1984) *Proc. Royal Society* **392** (1802), 45–57.



Whenever the Hamiltonian of a quantum system depends on a vector of parameters, R, the Berry phase is defined as:

$$\gamma[C] = i \oint_C \langle \Phi_{\vec{R}} | \vec{\nabla}_{\vec{R}} | \Phi_{\vec{R}} \rangle d\vec{R}$$

where the line integral is along a closed loop, C, in parameter space.

A non-vanishing value of  $\gamma$  only appears when C encircles some non-analyticity.

## Standard representation of the full TD wave function

Expand full molecular wave function in complete set of BO states:

$$\Psi(\underline{\underline{r}}, \underline{\underline{R}}, t) = \sum_J \Phi_{\underline{\underline{R}}, J}^{BO}(\underline{\underline{r}}) \cdot \chi_J(\underline{\underline{R}}, t)$$

and insert expansion in the full Schrödinger equation → standard non-adiabatic coupling terms from  $T_n$  acting on  $\Phi_{\underline{\underline{R}}, J}^{BO}(\underline{\underline{r}})$ .

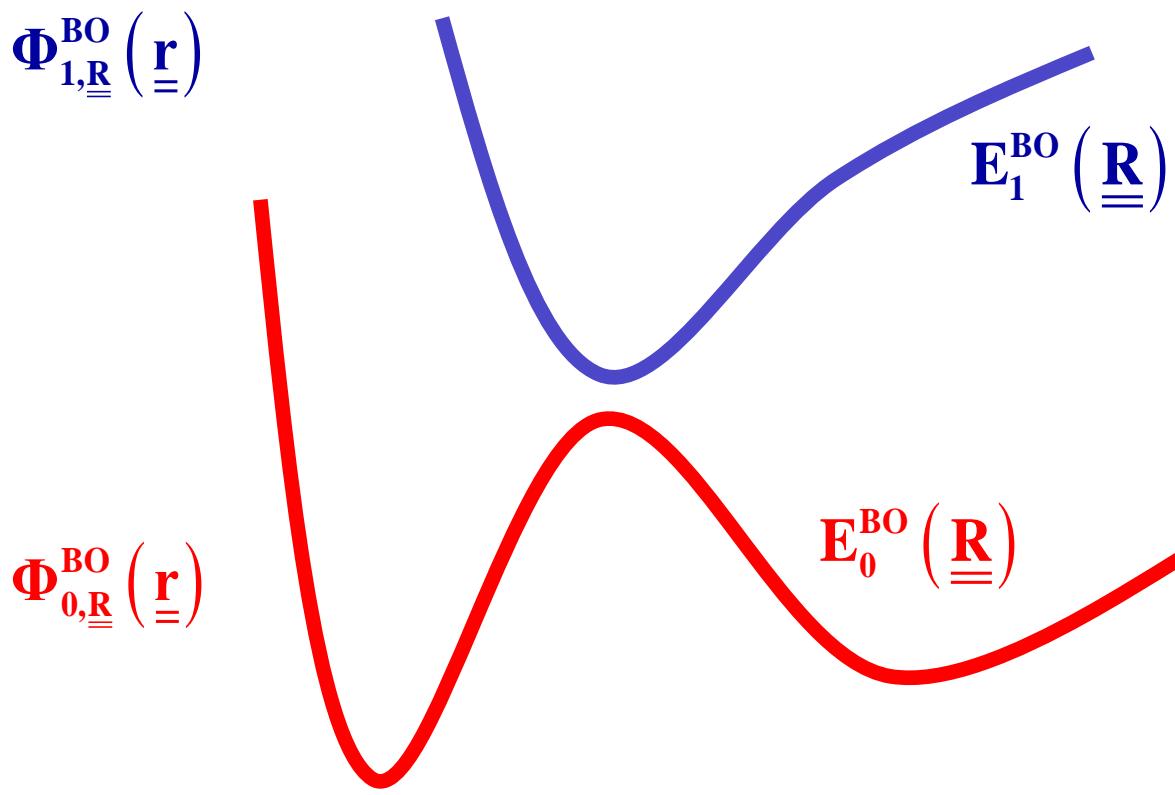
Plug Born-Huang expansion in full TDSE:

$$i\partial_t \chi_k(\underline{\underline{R}}, t) = T_n \chi_k(\underline{\underline{R}}, t) + \epsilon_k(\underline{\underline{R}}) \chi_k(\underline{\underline{R}}, t)$$

$$+ \sum_{j\alpha} \left( \frac{\hbar^2}{M_\alpha} \right) \underbrace{\left\langle \phi_{\underline{\underline{R}},k}^{\text{BO}} \left| -i\nabla_{\underline{\underline{R}}_\alpha} \right| \phi_{\underline{\underline{R}},j}^{\text{BO}} \right\rangle}_{\text{NAC-1}} \left( -i\nabla_{\underline{\underline{R}}_\alpha} \chi_j(\underline{\underline{R}}, t) \right)$$

$$+ \sum_{j\alpha} \left( -\frac{\hbar^2}{2M_\alpha} \right) \underbrace{\left\langle \phi_{\underline{\underline{R}},k}^{\text{BO}} \left| \nabla_{\underline{\underline{R}}_\alpha}^2 \right| \phi_{\underline{\underline{R}},j}^{\text{BO}} \right\rangle}_{\text{NAC-2}} \chi_j(\underline{\underline{R}}, t)$$

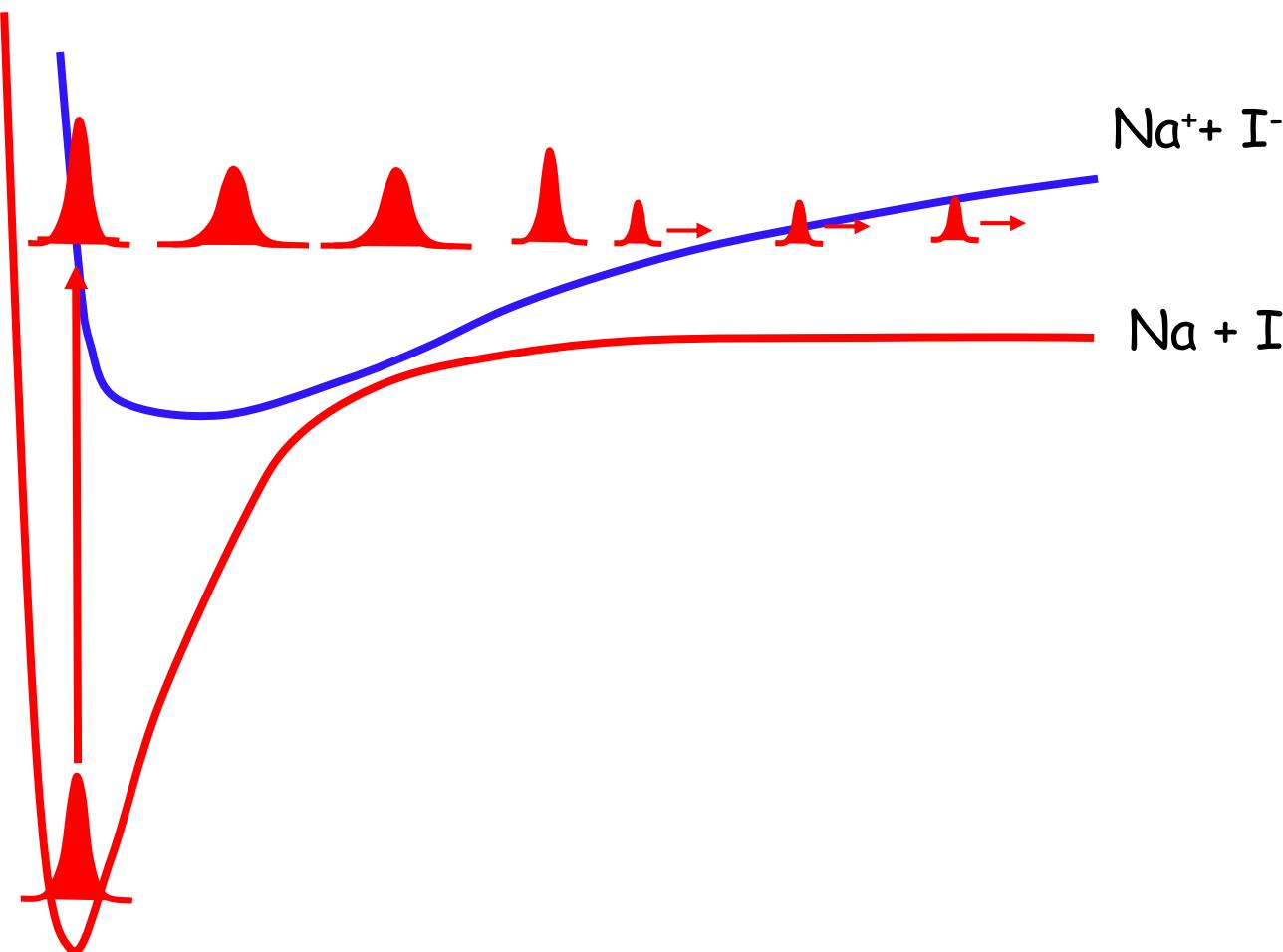
The dynamics is "non-adiabatic" when the NAC terms cannot be neglected



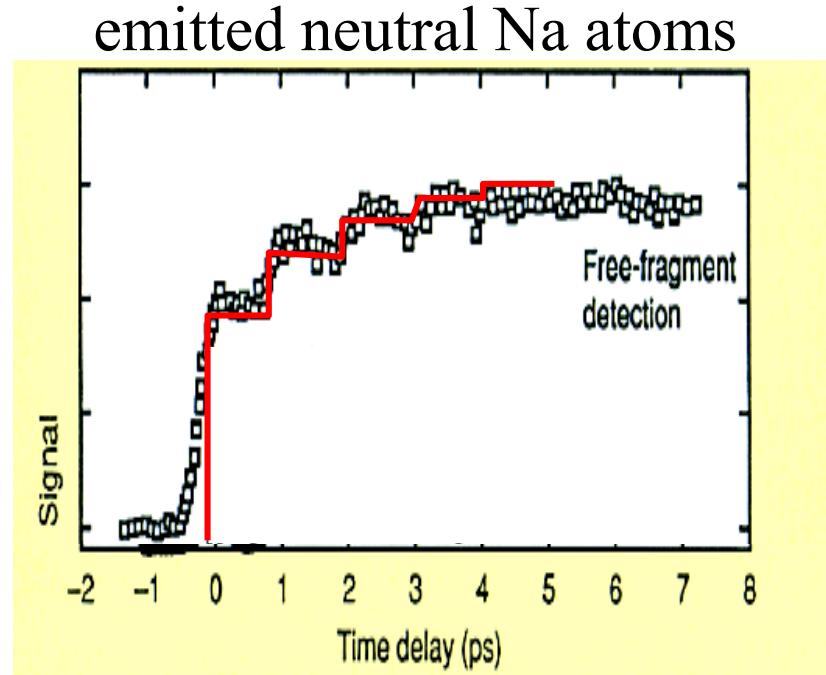
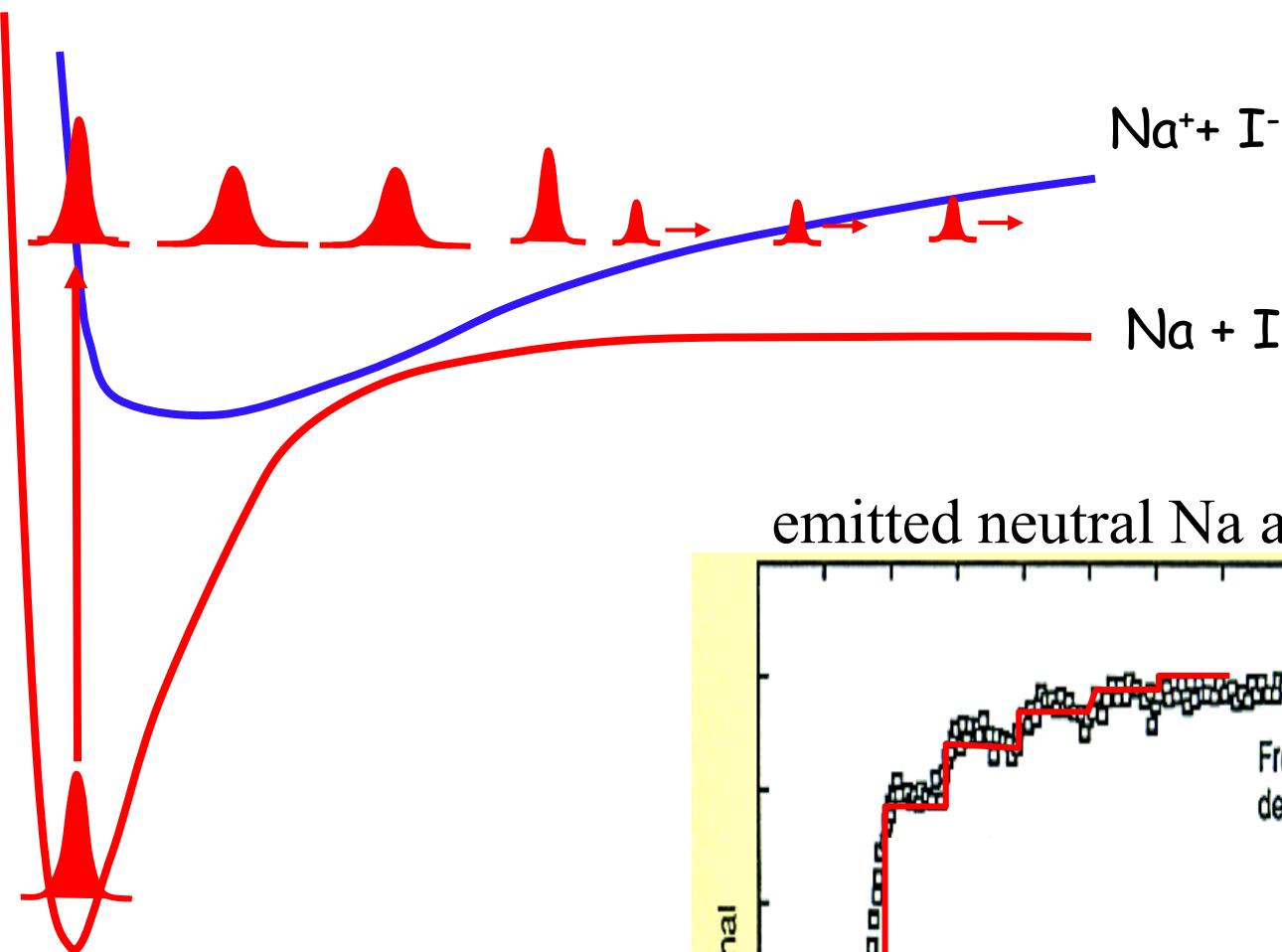
$$\Psi_0(\underline{\underline{r}}, \underline{\underline{R}}, t) \approx \chi_{00}(\underline{\underline{R}}, t) \Phi_{0,R}^{\text{BO}}(\underline{\underline{r}}) + \chi_{01}(\underline{\underline{R}}, t) \Phi_{1,R}^{\text{BO}}(\underline{\underline{r}})$$

When only few BO-PES are important, the BO expansion gives a perfectly clear picture of the dynamics

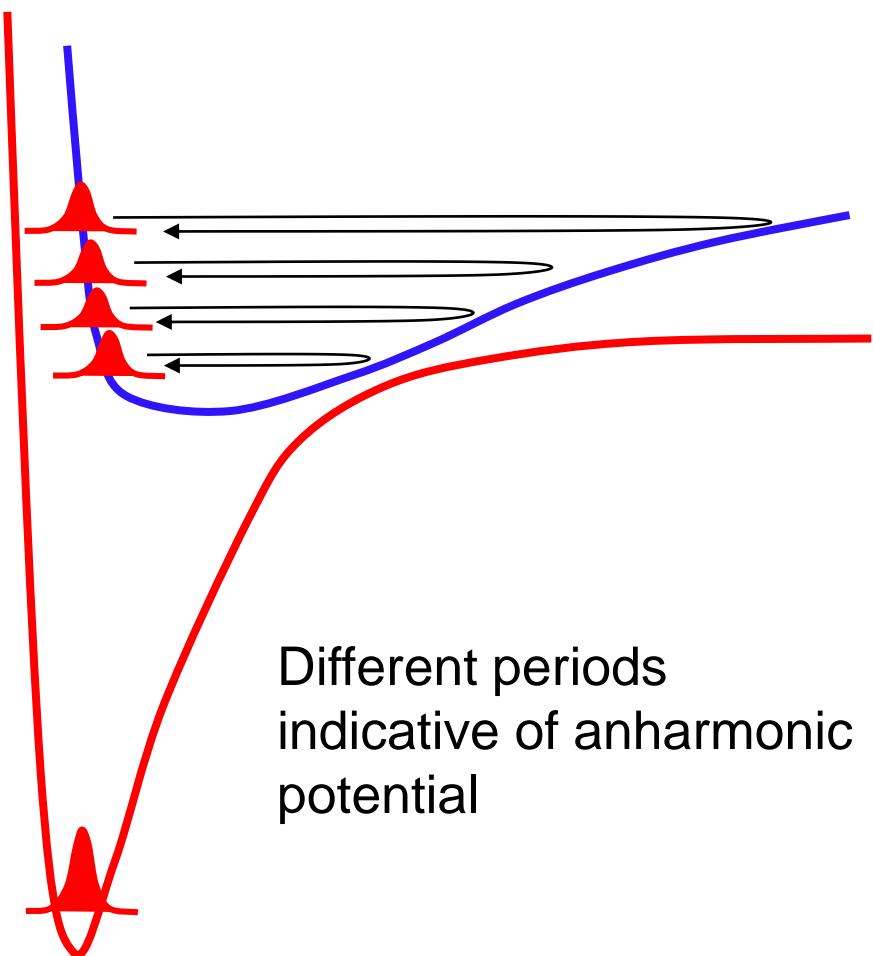
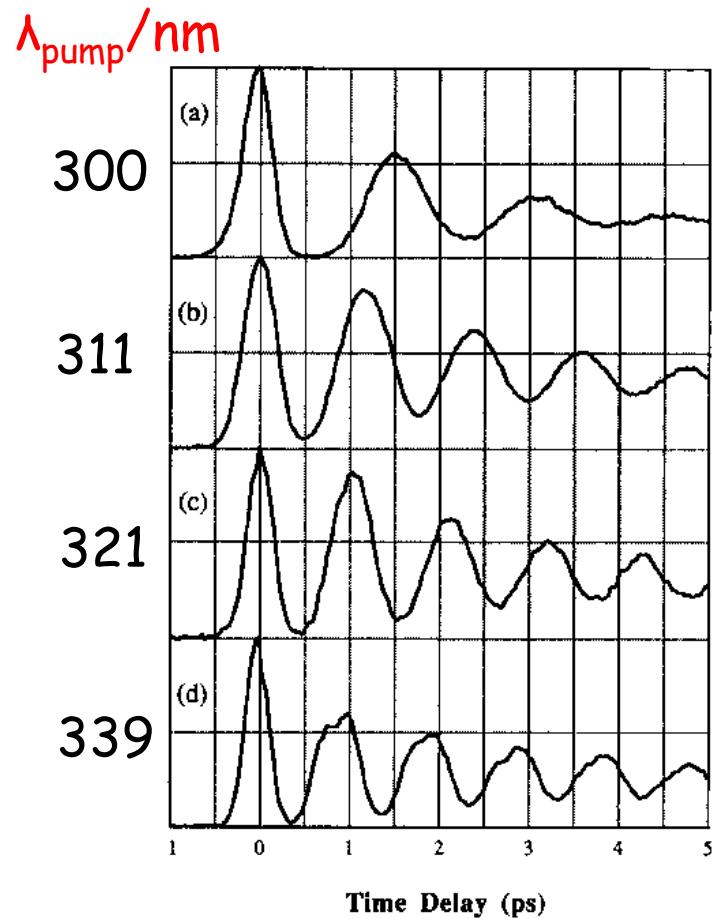
# Example: NaI femtochemistry



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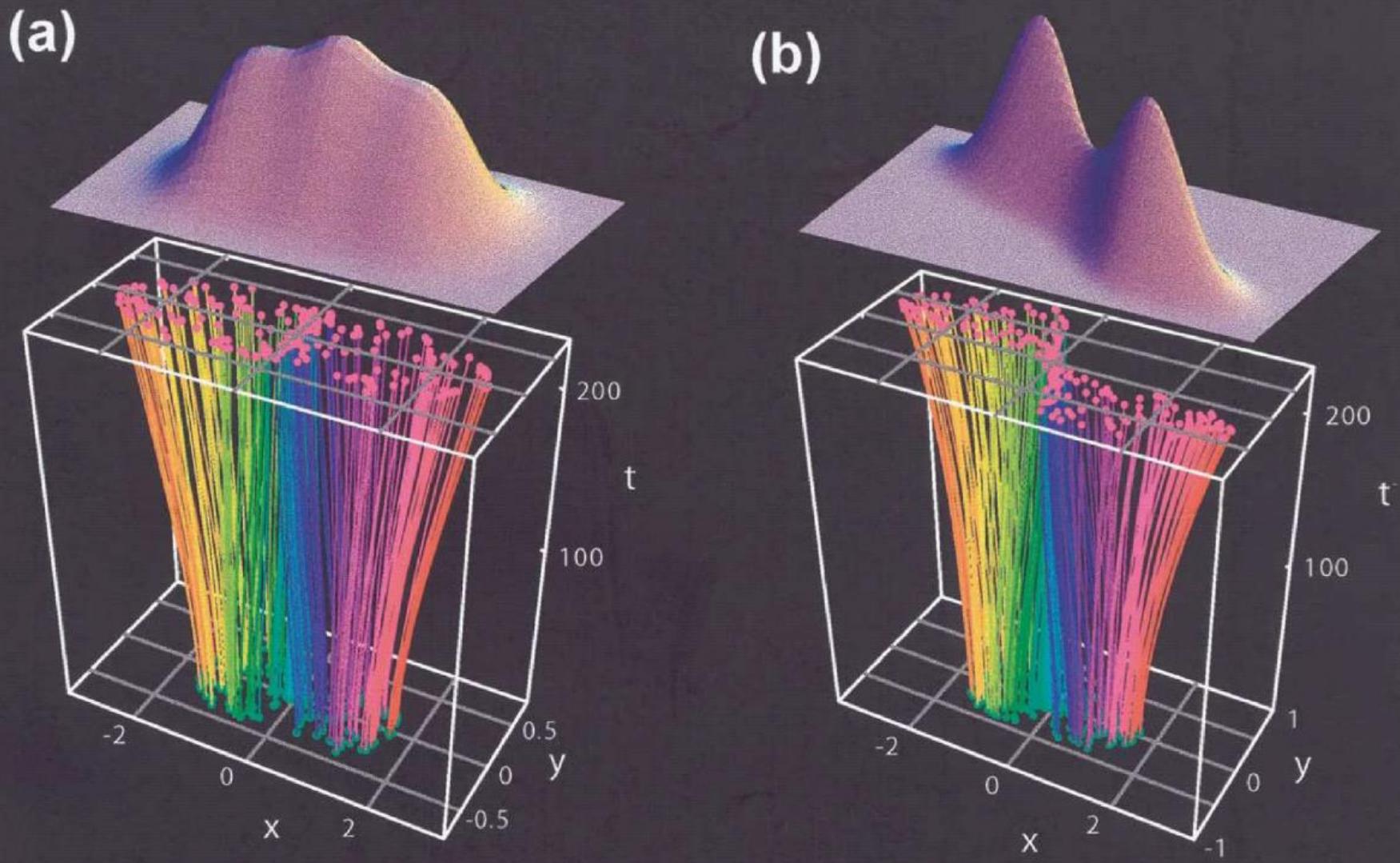
# Effect of tuning pump wavelength (exciting to different points on excited surface)



T.S. Rose, M.J. Rosker, A. Zewail, JCP 91, 7415 (1989)

For larger systems one would like to (one has to) treat the nuclei classically.

# Trajectory-based quantum dynamics

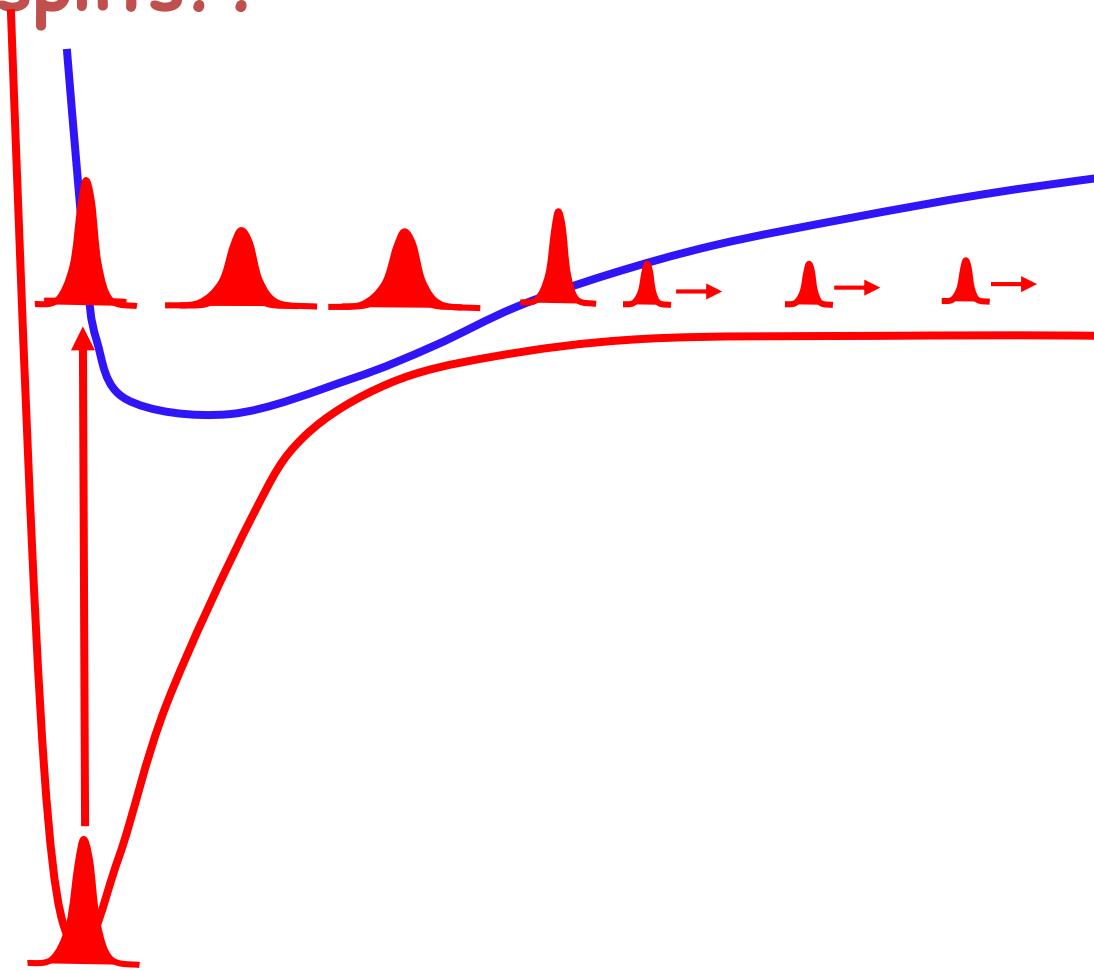


For larger systems one would like to (one has to) treat the nuclei classically.

But what's the classical force when the nuclear wave packet splits??

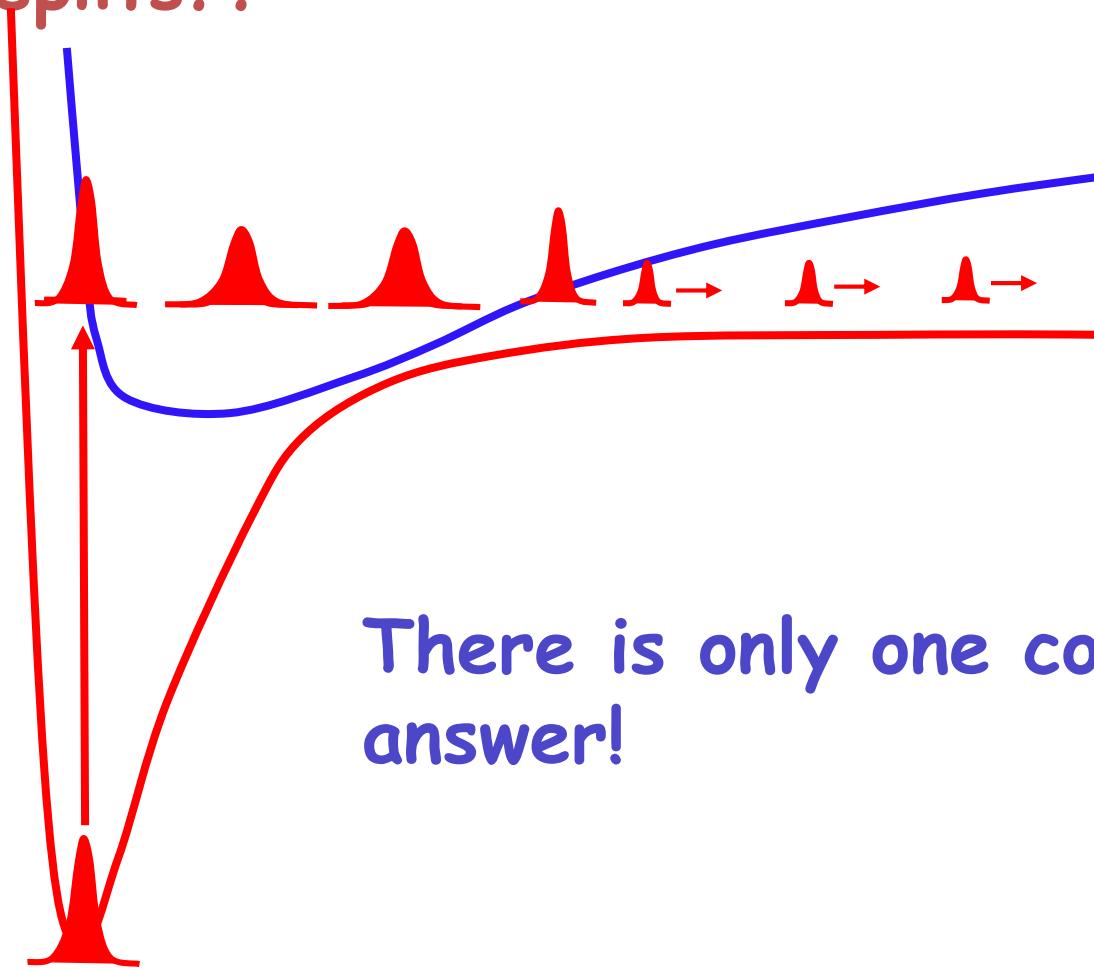
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For larger systems one would like to (one has to) treat the nuclei classically.

But what's the classical force when the nuclear wave packet splits??



There is only one correct answer!

# Outline

- Show that the factorisation
$$\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \cdot \chi(\underline{\underline{R}})$$
can be made exact
- Concept of exact PES and exact Berry phase
- Concept of exact and unique time-dependent PES
- Mixed quantum-classical treatment

# THANKS



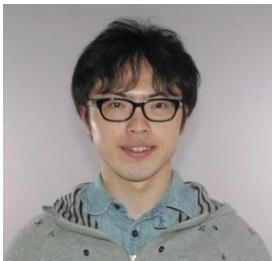
**Axel Schild**



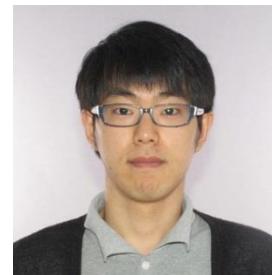
**Ali Abedi**



**Federica Agostini**



**Yasumitsu Suzuki**



**Seung Kyu Min**



**Neepa Maitra  
(Hunter College, CUNY)**



**Ryan Requist**



**Nikitas Gidopoulos  
(Durham University, UK)**

## Theorem I

**The exact solutions of**

$$\hat{H}\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = E\Psi(\underline{\underline{r}}, \underline{\underline{R}})$$

**can be written in the form**

$$\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \cdot \chi(\underline{\underline{R}})$$

**where**  $\int d\underline{\underline{r}} |\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})|^2 = 1$  **for each fixed  $\underline{\underline{R}}$ .**

**N.I. Gidopoulos, E.K.U. Gross,  
Phil. Trans. R. Soc. 372, 20130059 (2014)**

## Proof of Theorem I:

**Given the exact electron-nuclear wavefuncion**  $\Psi(\underline{r}, \underline{\underline{R}})$

**Choose:**  $\chi(\underline{\underline{R}}) := e^{iS(\underline{\underline{R}})} \sqrt{\int d\underline{r} |\Psi(\underline{r}, \underline{\underline{R}})|^2}$

**with some real-valued funcion**  $S(\underline{\underline{R}})$

$$\Phi_{\underline{\underline{R}}}(\underline{r}) := \Psi(\underline{r}, \underline{\underline{R}}) / \chi(\underline{\underline{R}})$$

**Then, by construction,**  $\int d\underline{r} |\Phi_{\underline{\underline{R}}}(\underline{r})|^2 = 1$

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Then, by construction,  $\int d\underline{r} |\Phi_{\underline{\underline{R}}}(\underline{r})|^2 = 1$

**Note:** If we want  $\chi(\underline{\underline{R}})$  to be smooth,  $S(\underline{\underline{R}})$  may be discontinuous

## Immediate consequences of Theorem I:

1. The diagonal  $\Gamma(\underline{\underline{R}})$  of the nuclear  $N_n$ -body density matrix is identical with  $|\chi(\underline{\underline{R}})|^2$

proof: 
$$\Gamma(\underline{\underline{R}}) = \int d\underline{\underline{r}} |\Psi(\underline{\underline{r}}, \underline{\underline{R}})|^2 = \underbrace{\int d\underline{\underline{r}} |\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})|^2}_{1} |\chi(\underline{\underline{R}})|^2 = |\chi(\underline{\underline{R}})|^2$$

$\Rightarrow$  in this sense,  $\chi(\underline{\underline{R}})$  can be interpreted as a proper nuclear wavefunction.

**Theorem II:**  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$  and  $\chi(\underline{\underline{R}})$  satisfy the following equations:

Eq. ①

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}} + \hat{V}_{en}}_{\hat{H}_{BO}} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v)^2 + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi}{\chi} + A_v \right) (-i\nabla_v - A_v) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = \in(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$$

Eq. ②

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \in(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E\chi(\underline{\underline{R}})$$

where

$$A_v(\underline{\underline{R}}) = -i \int \Phi_{\underline{\underline{R}}}^*(\underline{\underline{r}}) \nabla_v \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) d\underline{\underline{r}}$$

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$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \in(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E \chi(\underline{\underline{R}})$$

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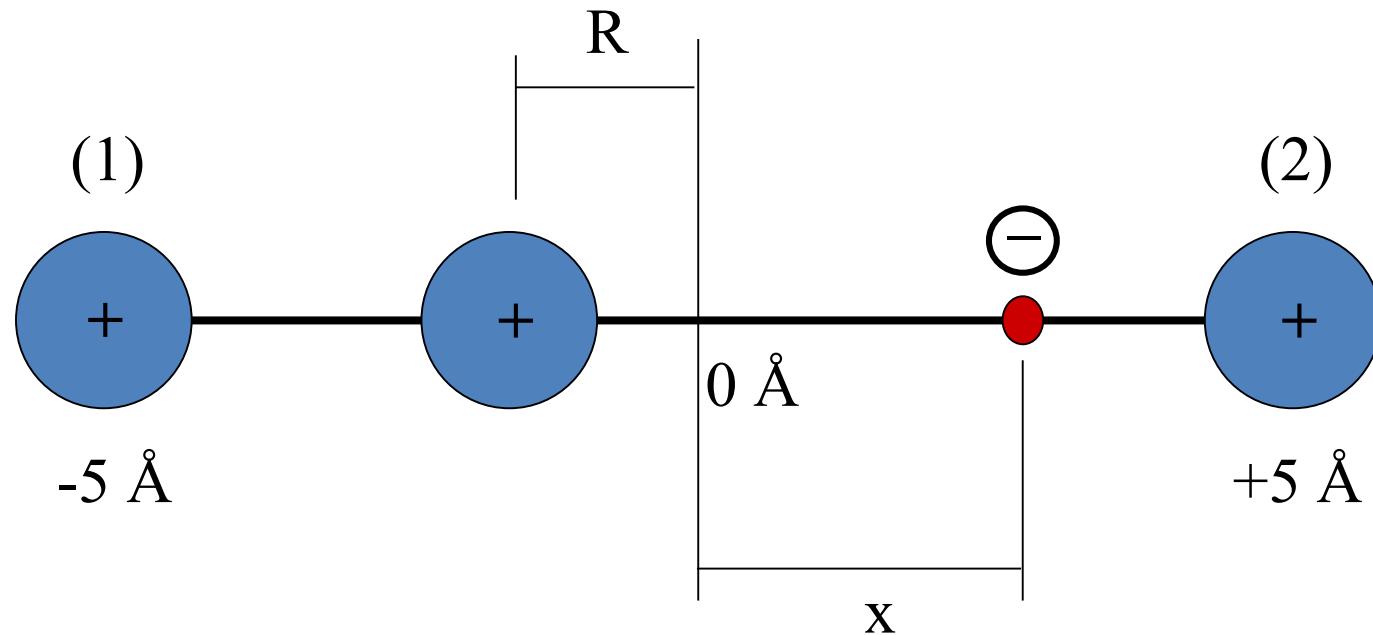
**Exact PES**

**Exact Berry potential**

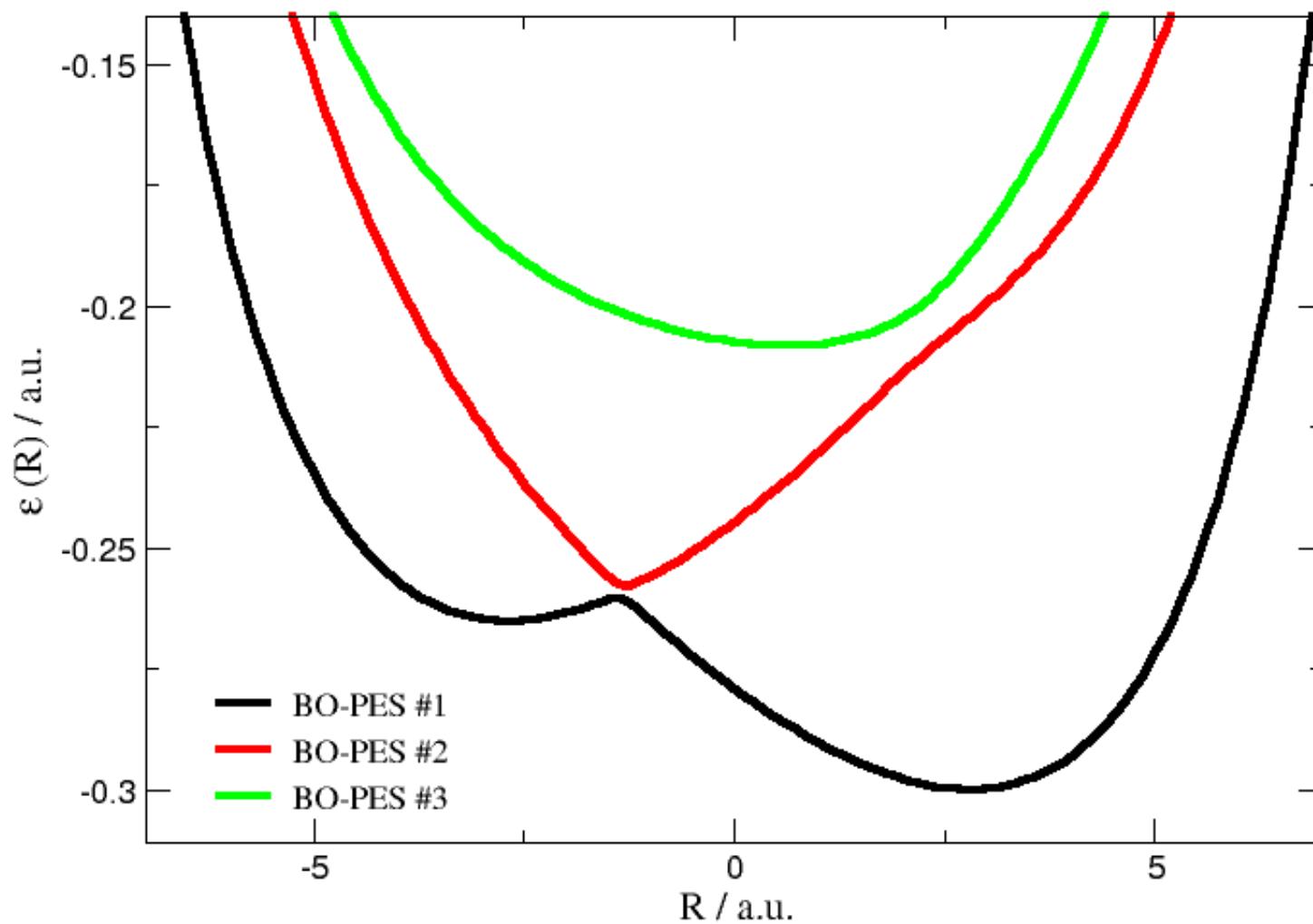
**How do the exact PES look like?**

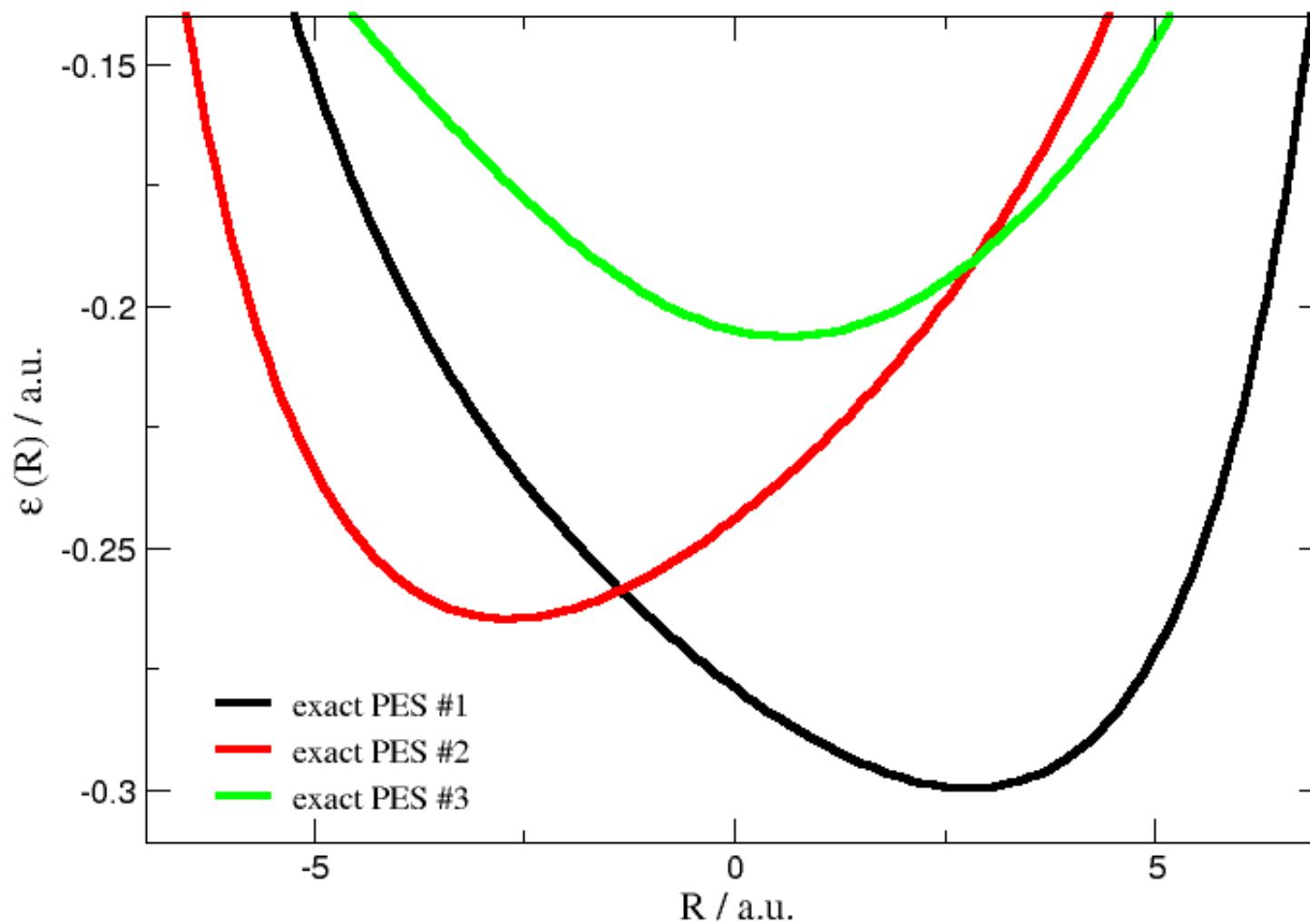
# MODEL

S. Shin, H. Metiu, JCP 102, 9285 (1995), JPC 100, 7867 (1996)



Nuclei (1) and (2) are heavy: Their positions are fixed





## Exact Berry connection

$$A_v(\underline{\underline{R}}) = \int d\underline{\underline{r}} \Phi_{\underline{\underline{R}}}^*(\underline{\underline{r}}) (-i\nabla_v) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$$

**Insert:**  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = \Psi(\underline{\underline{r}}, \underline{\underline{R}}) / \chi(\underline{\underline{R}})$

$$\chi(\underline{\underline{R}}) := e^{i\theta(\underline{\underline{R}})} |\chi(\underline{\underline{R}})|$$

$$A_v(\underline{\underline{R}}) = \text{Im} \left\{ \int d\underline{\underline{r}} \Psi^*(\underline{\underline{r}}, \underline{\underline{R}}) \nabla_v \Psi(\underline{\underline{r}}, \underline{\underline{R}}) \right\} / |\chi(\underline{\underline{R}})|^2 - \nabla_v \theta(\underline{\underline{R}})$$

$$A_v(\underline{\underline{R}}) = J_v(\underline{\underline{R}}) / |\chi(\underline{\underline{R}})|^2 - \nabla_v \theta(\underline{\underline{R}})$$

with the exact nuclear current density  $J_v$

Another way of reading this equation:

$$J_v(\underline{\underline{R}}) = |\chi(\underline{\underline{R}})|^2 \{ A_v(\underline{\underline{R}}) + \nabla_v \theta(\underline{\underline{R}}) \}$$

Conclusion: The nuclear Schrödinger equation

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \epsilon(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E \chi(\underline{\underline{R}})$$

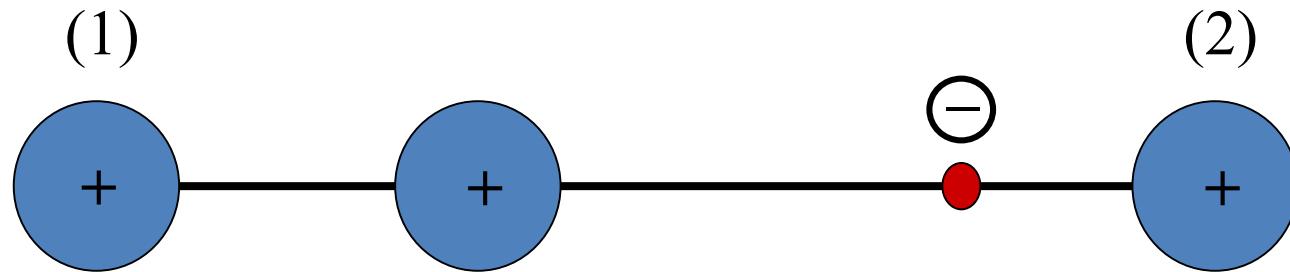
yields both the exact nuclear N-body density and the exact nuclear N-body current density

A. Abedi, N.T. Maitra, E.K.U. Gross, JCP 137, 22A530 (2012)

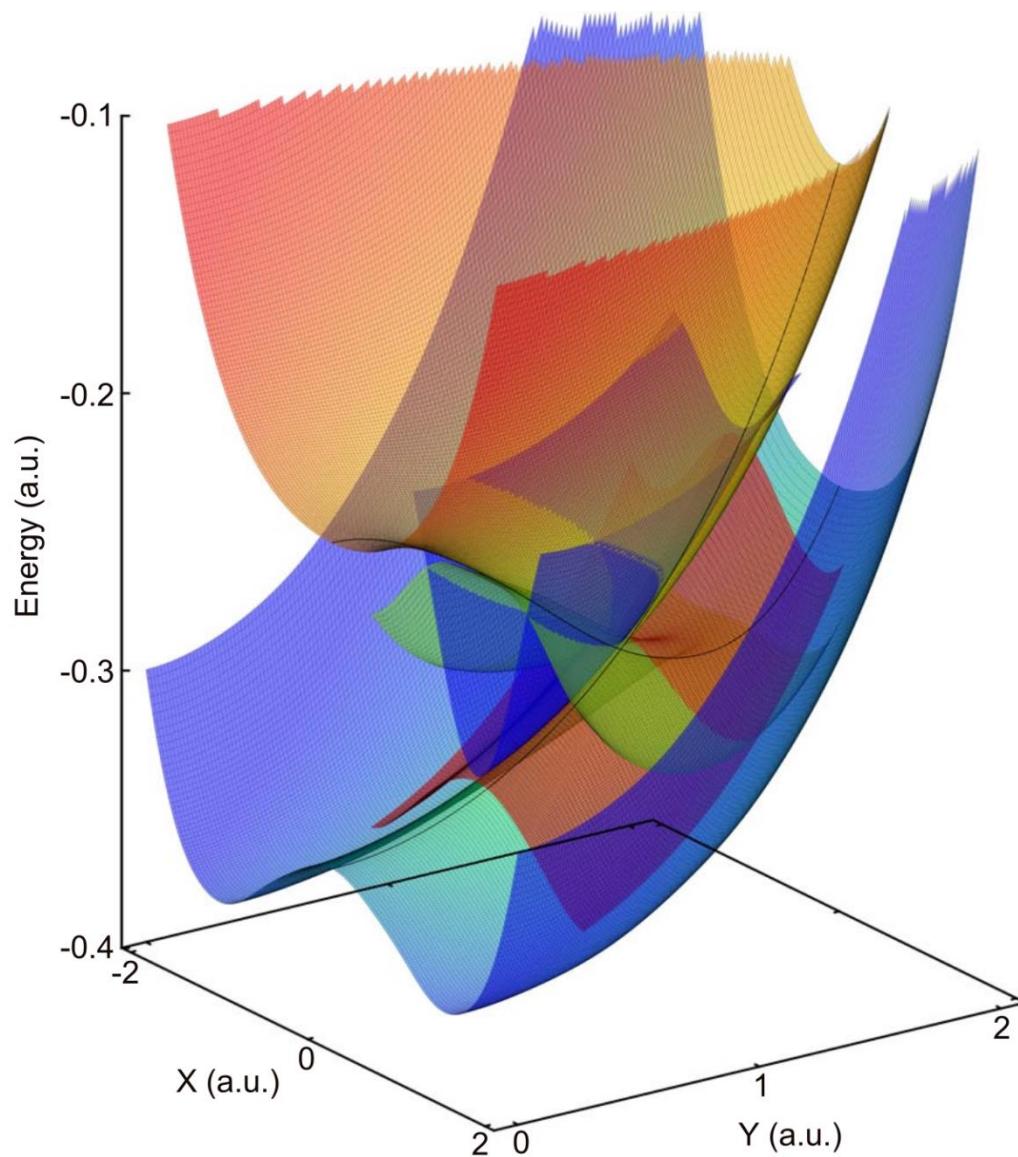
**Question:** Can the true vector potential be gauged away,  
i.e. is the true Berry phase zero?

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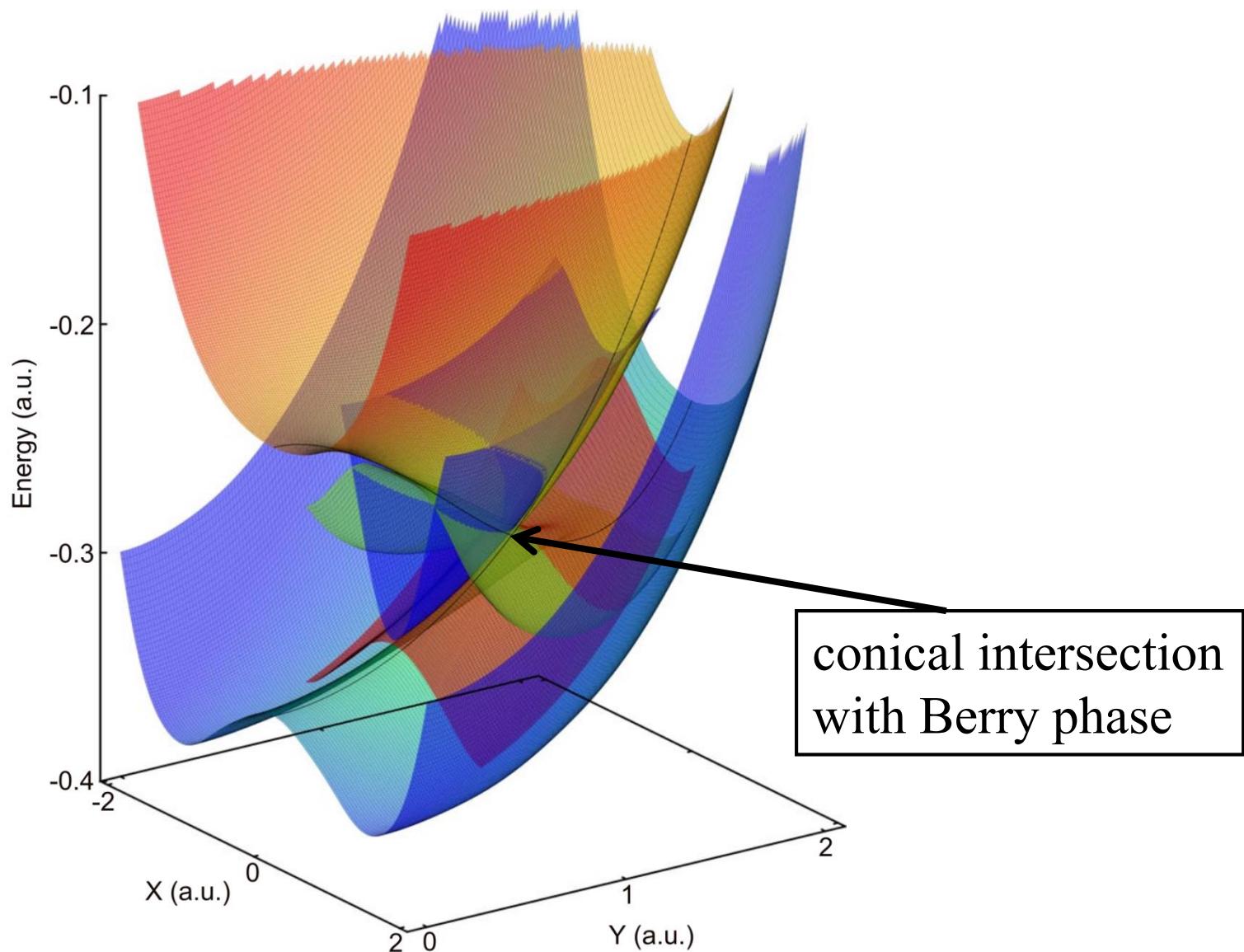
**Look at Shin-Metiu model in 2D:**



## BO-PES of 2D Shin-Metiu model



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- Non-vanishing Berry phase results from a non-analyticity in the electronic wave function  $\Phi_{\underline{\mathbf{R}}}^{\text{BO}}(\underline{\mathbf{r}})$  as function of  $\mathbf{R}$ .
- Such non-analyticity is found in BO approximation.

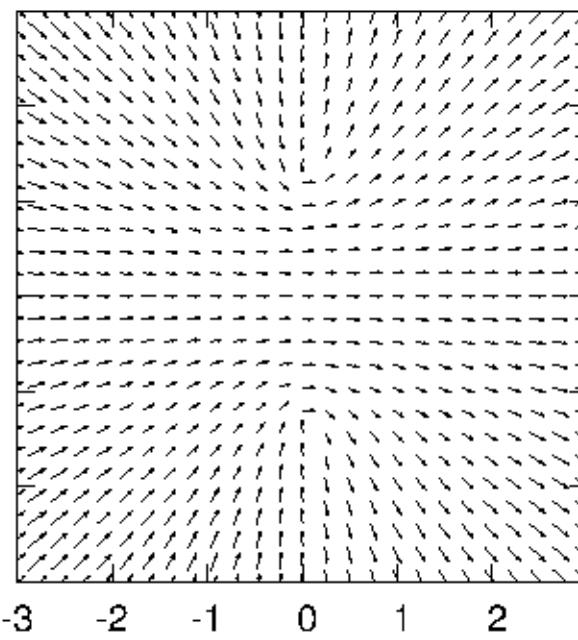
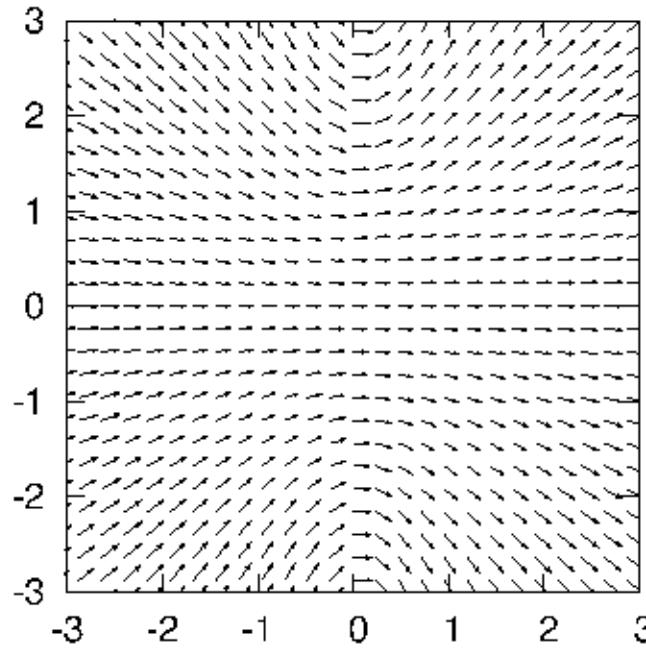
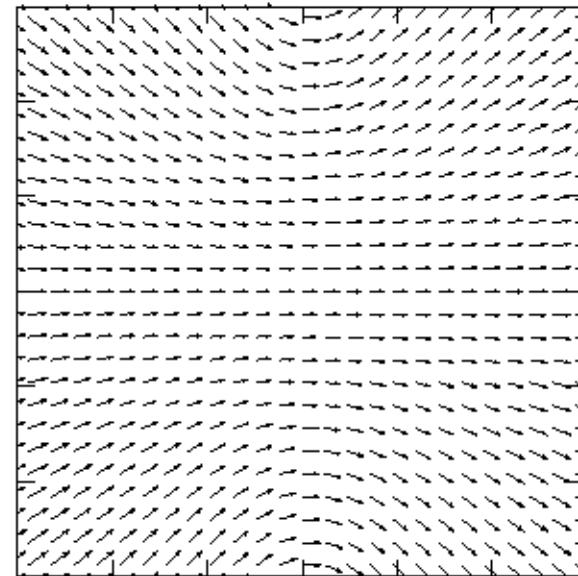
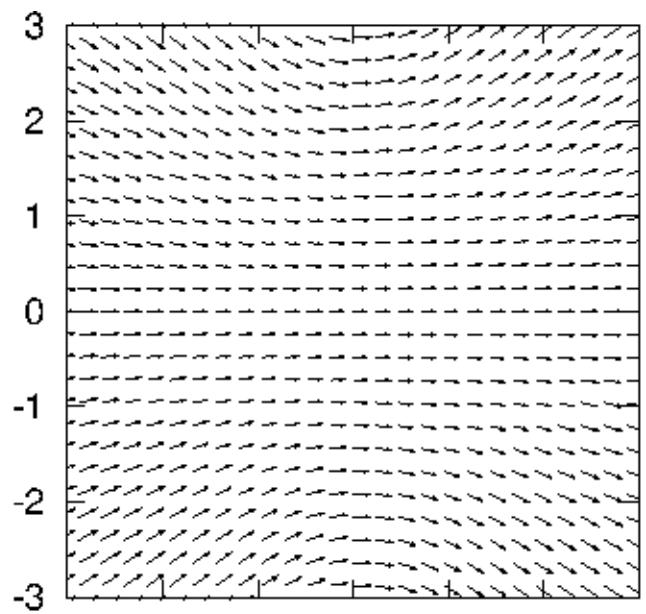
- Non-vanishing Berry phase results from a non-analyticity in the electronic wave function  $\Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}})$  as function of  $\underline{\underline{R}}$ .
- Such non-analyticity is found in BO approximation.

**Does the exact electronic wave function show such non-analyticity as well (in 2D Shin-Metiu model)?**

**Look at**  $D(\underline{\underline{R}}) = \int \underline{\underline{r}} \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) d\underline{\underline{r}}$

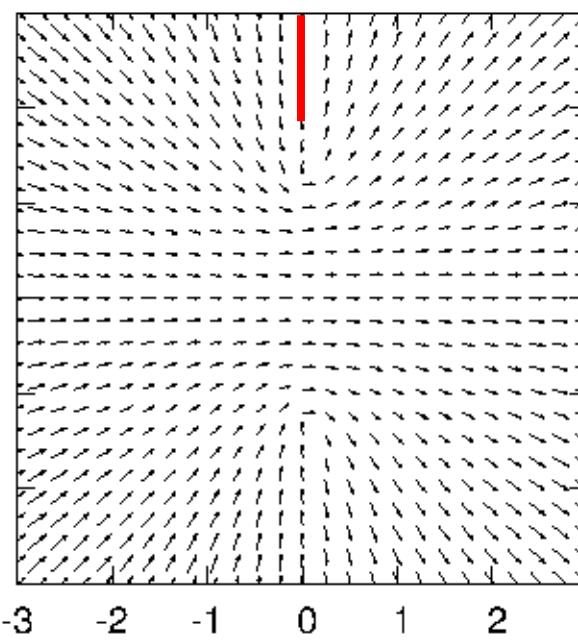
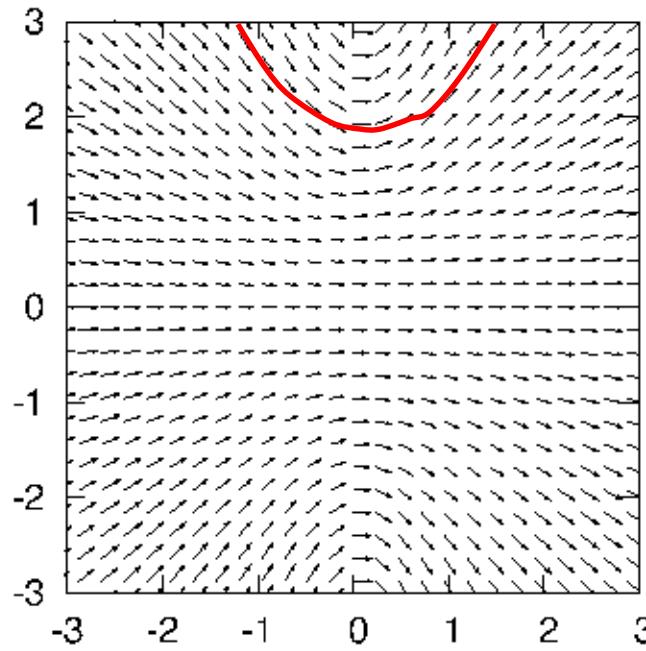
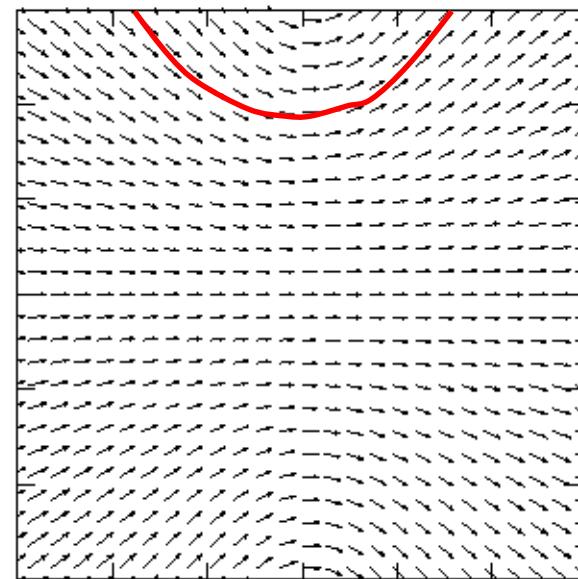
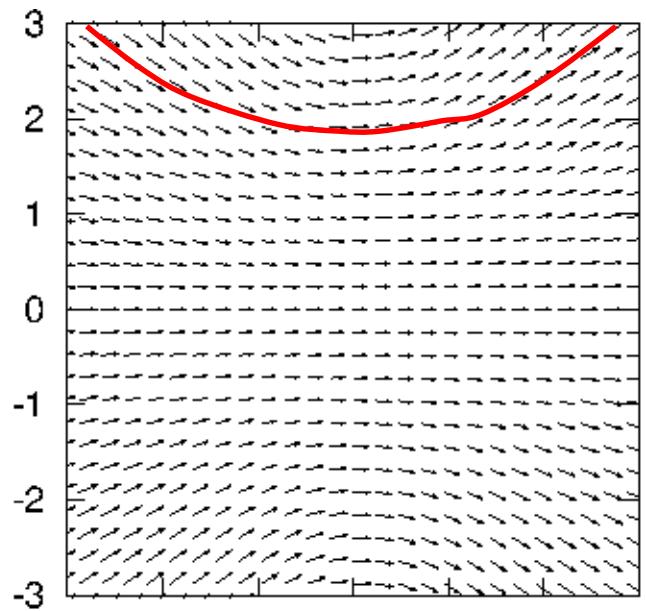
**as function of nuclear mass  $M$ .**

**D(R)**



**M =  $\infty$**

**D(R)**



**M =  $\infty$**

**Question:** Can one prove in general that the exact molecular Berry phase vanishes?

**Question:** Can one prove in general that the exact molecular Berry phase vanishes?

**Answer:** No! There are cases where a nontrivial Berry phase appears in the exact treatment.

R. Requist, F. Tandetzky, EKU Gross,  
Phys. Rev. A 93, 042108 (2016).

## Time-dependent case

## Theorem T-I

**The exact solution of**

$$i\partial_t \Psi(\underline{r}, \underline{\underline{R}}, t) = H(\underline{r}, \underline{\underline{R}}, t) \Psi(\underline{r}, \underline{\underline{R}}, t)$$

**can be written in the form**

$$\Psi(\underline{r}, \underline{\underline{R}}, t) = \Phi_{\underline{\underline{R}}}(\underline{r}, t) \chi(\underline{\underline{R}}, t)$$

**where  $\int d\underline{r} |\Phi_{\underline{\underline{R}}}(\underline{r}, t)|^2 = 1$  for any fixed  $\underline{\underline{R}}, t$**  .

## Theorem T-II

$\Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$  and  $\chi(\underline{\underline{R}}, t)$  satisfy the following equations

Eq. 1

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}, t) + \hat{V}_{en}(\underline{\underline{r}}, \underline{\underline{R}})}_{\hat{H}_{BO}(t)} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}}, t))^2 \right. \\ \left. + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi(\underline{\underline{R}}, t)}{\chi(\underline{\underline{R}}, t)} + A_v(\underline{\underline{R}}, t) \right) (-i\nabla_v - A_v) - \epsilon(\underline{\underline{R}}, t) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = i\partial_t \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$$

Eq. 2

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) + \epsilon(\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

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Eq. 2

**Exact Berry potential**

**Exact TDPES**

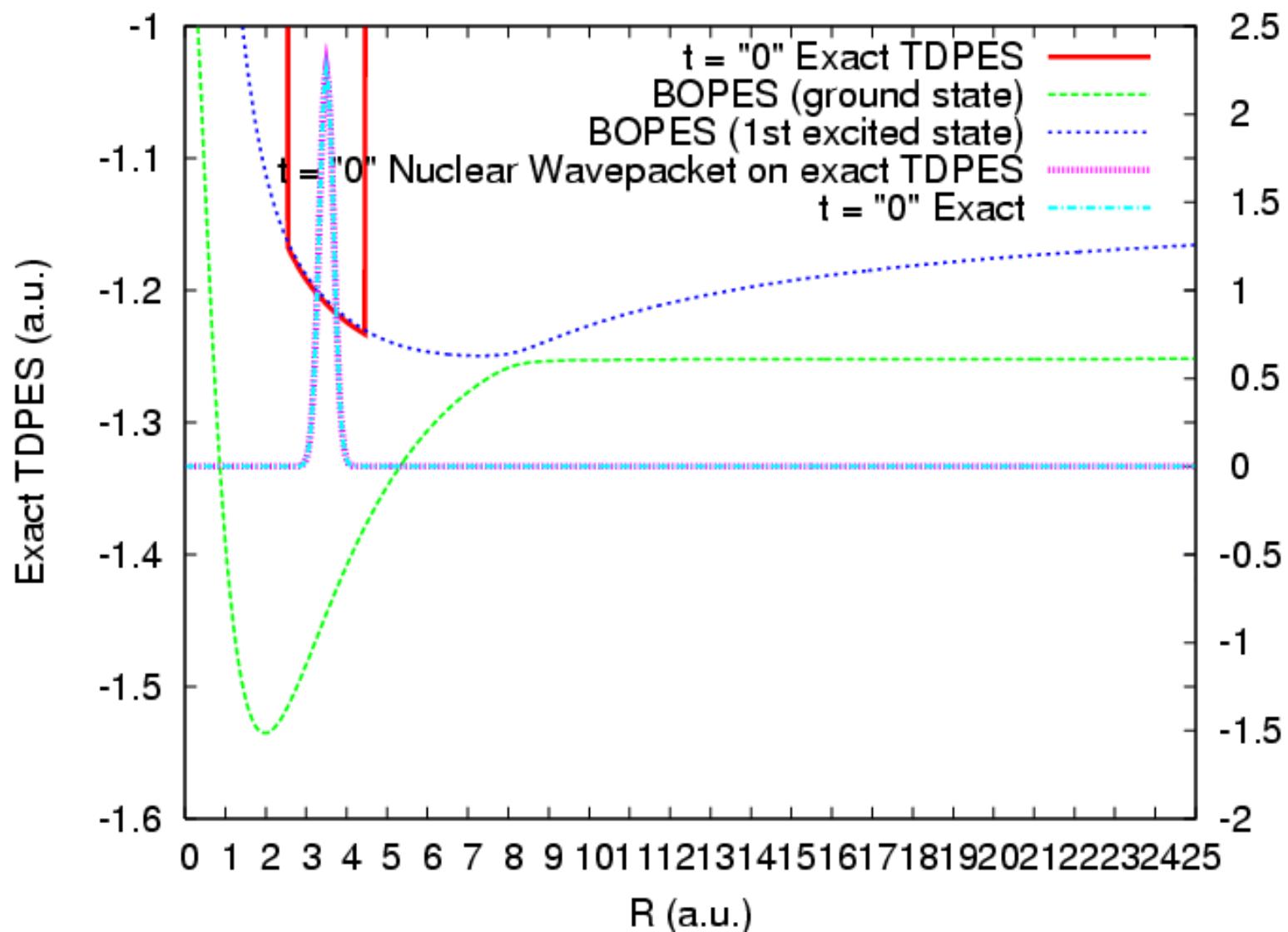
$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) + \epsilon(\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

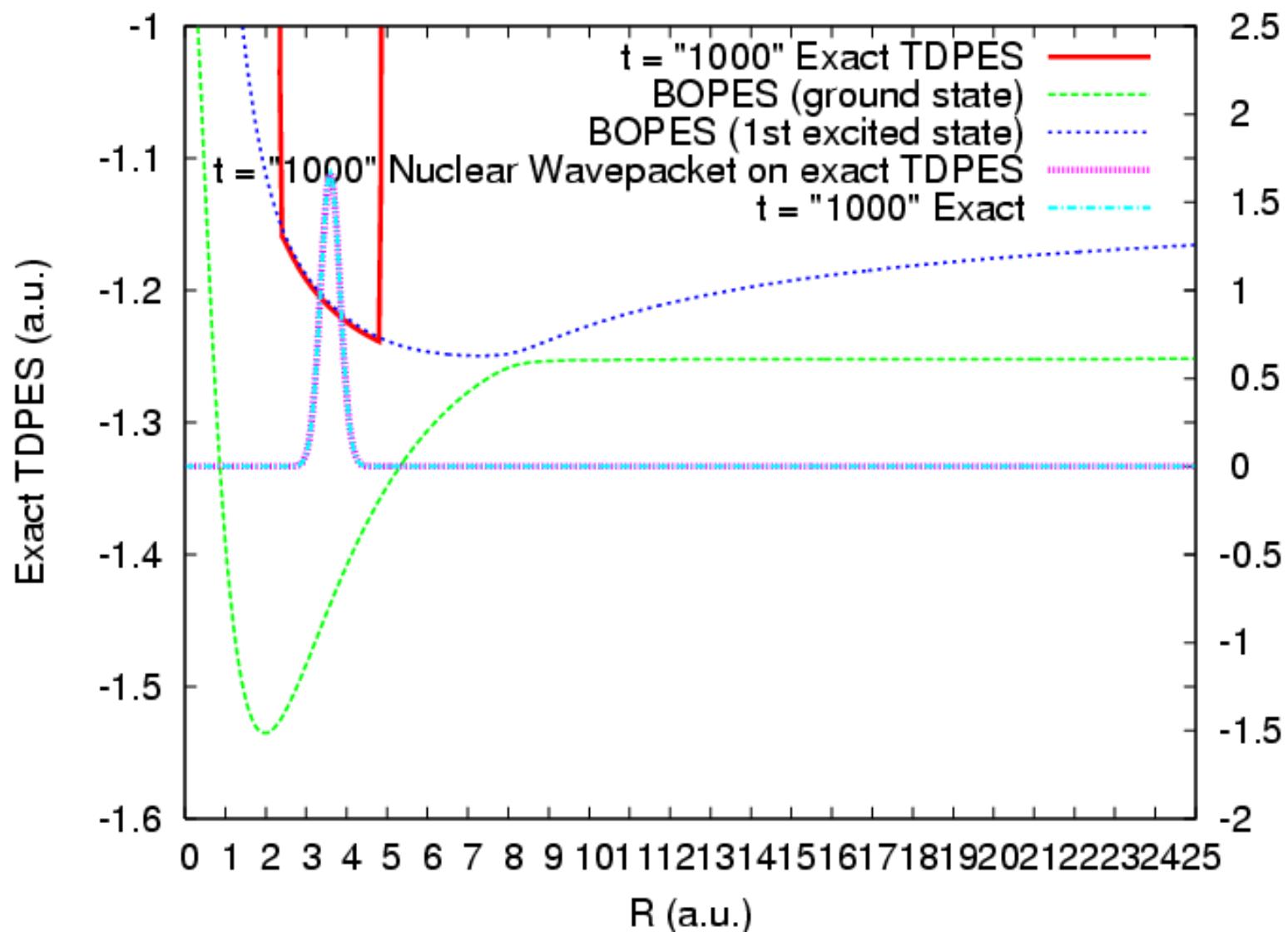
**How does the exact  
time-dependent PES look like?**

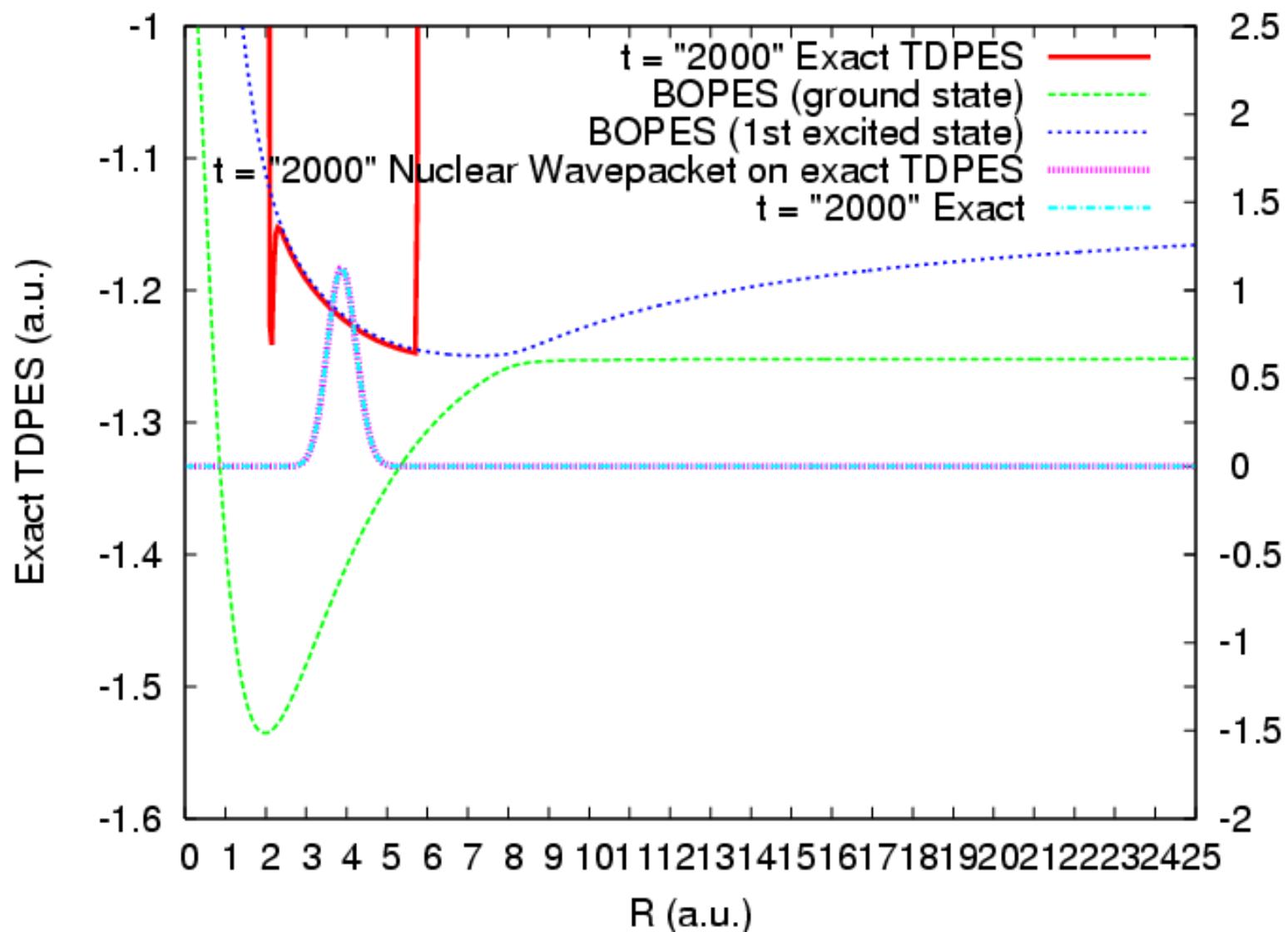
## Example: Nuclear wave packet going through an avoided crossing (Zewail experiment)

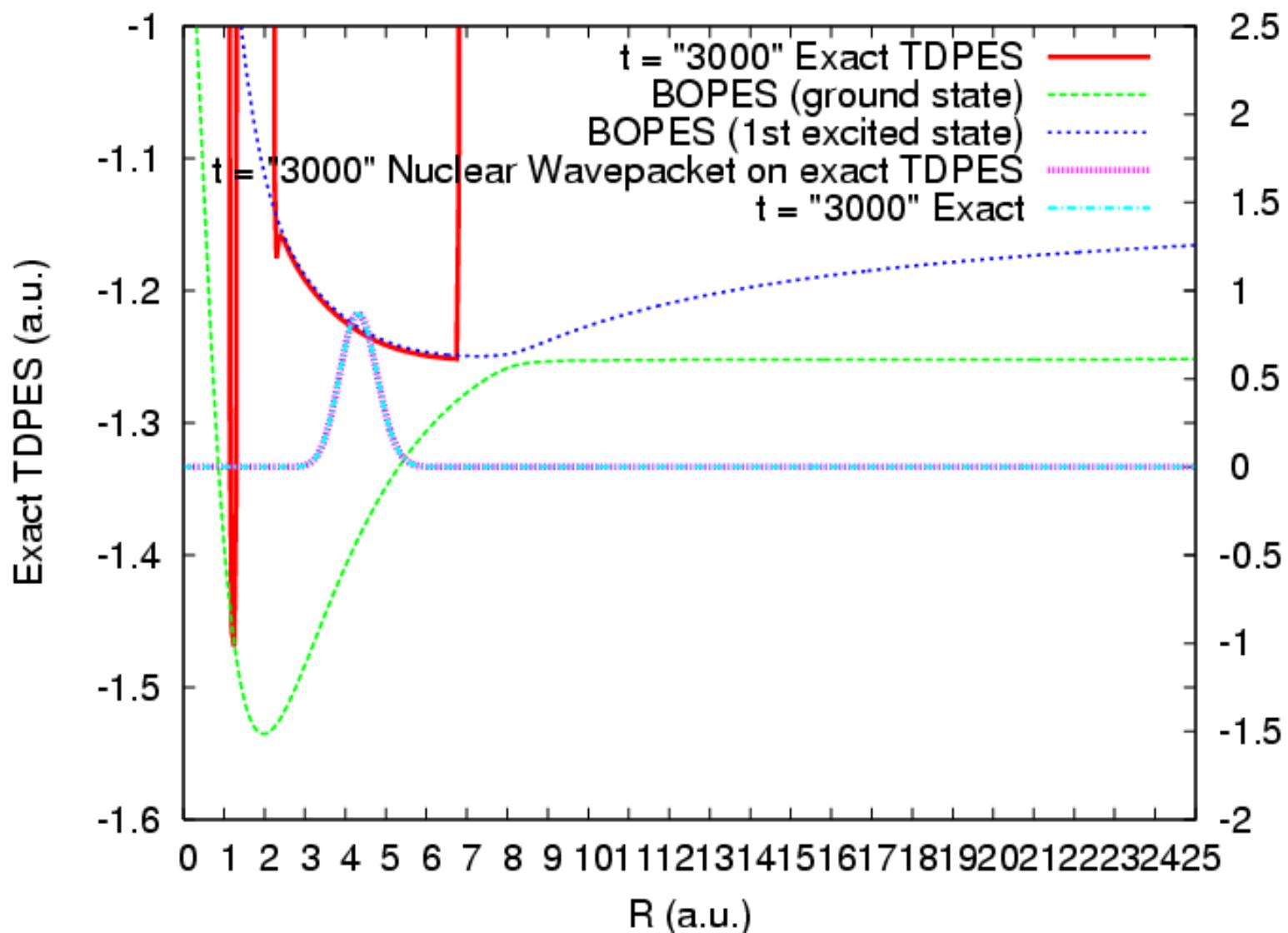
A. Abedi, F. Agostini, Y. Suzuki, E.K.U.Gross,  
**PRL 110, 263001 (2013)**

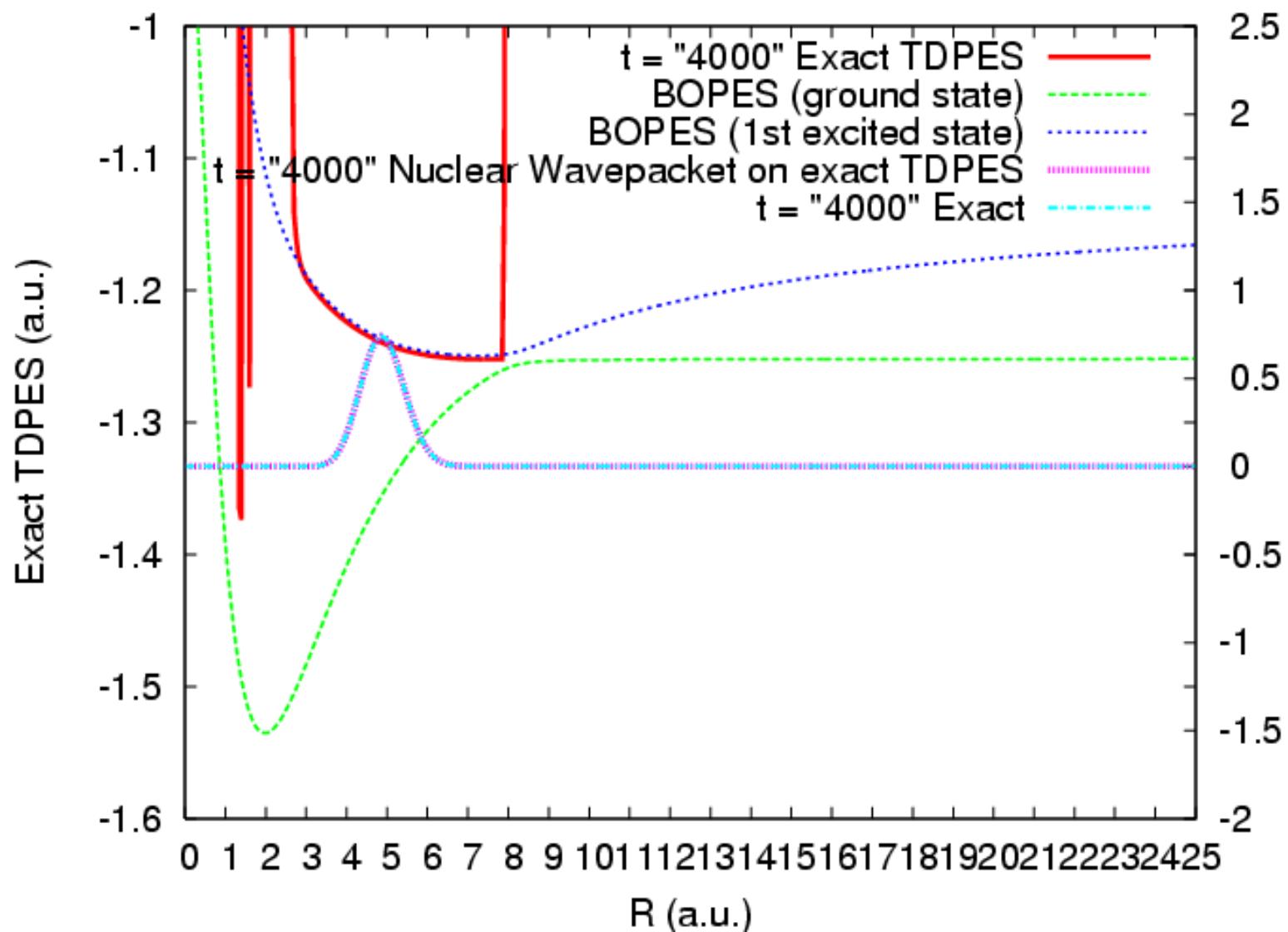
F. Agostini, A. Abedi, Y. Suzuki, E.K.U. Gross,  
**Mol. Phys. 111, 3625 (2013)**

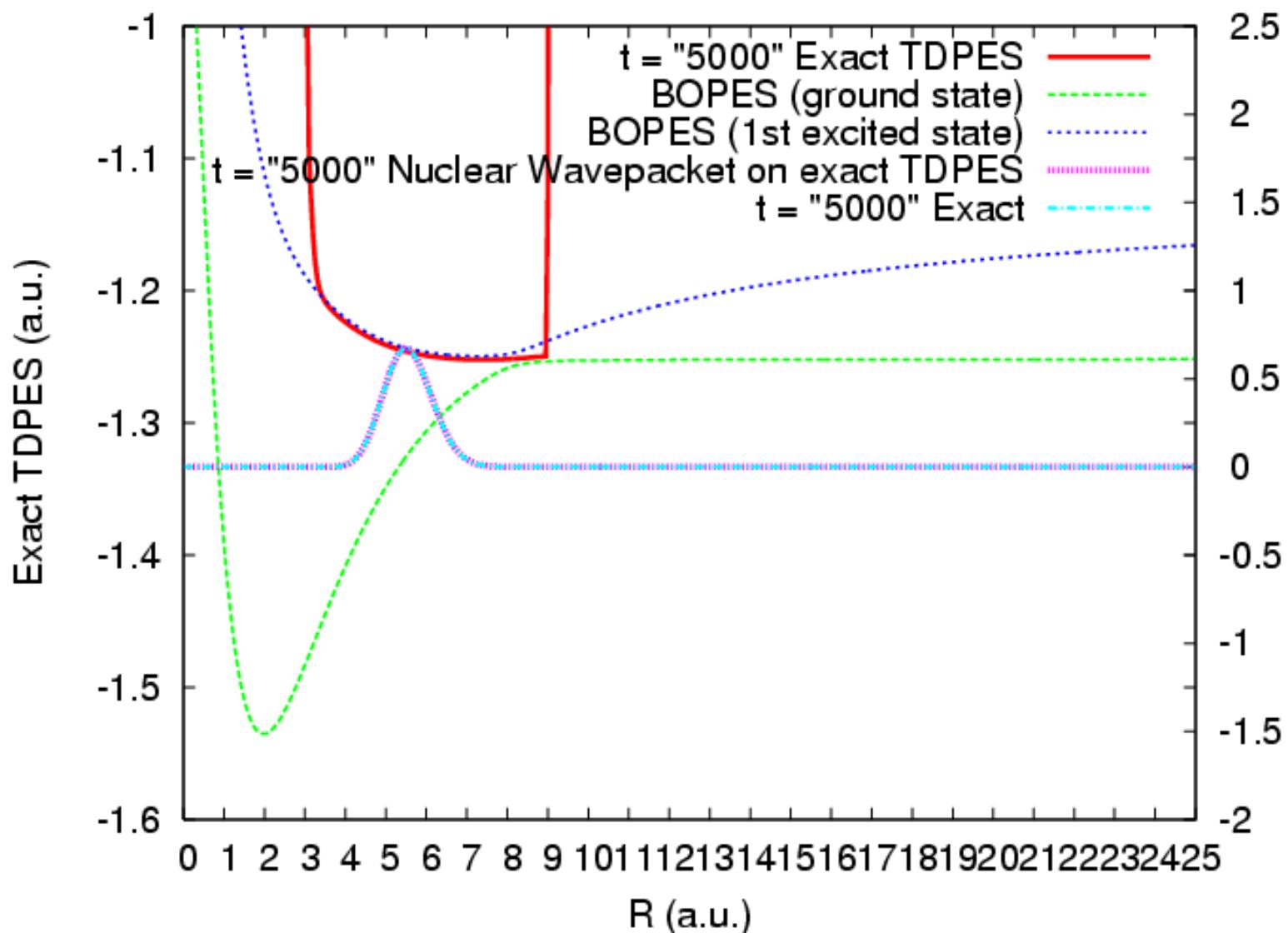


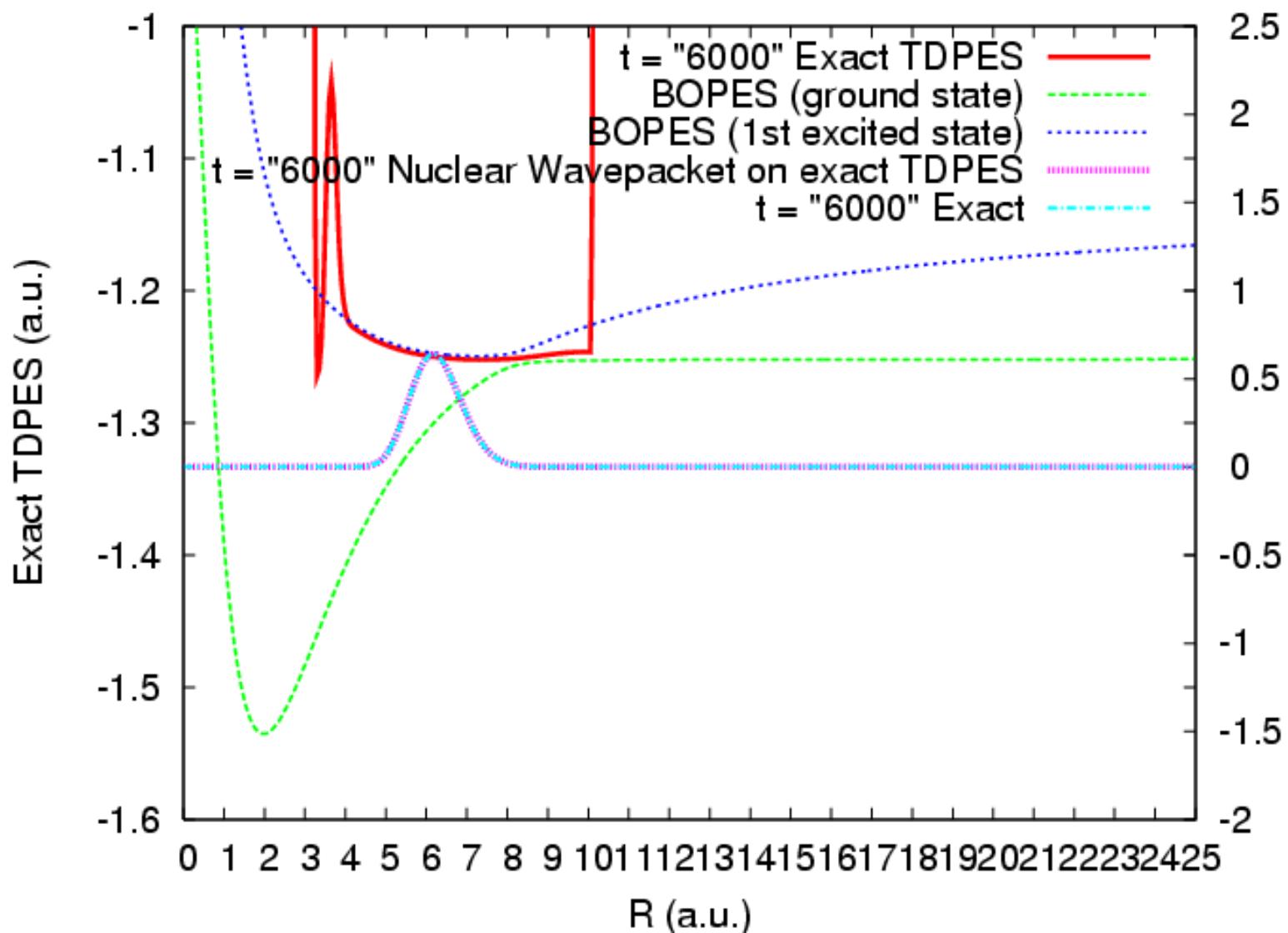


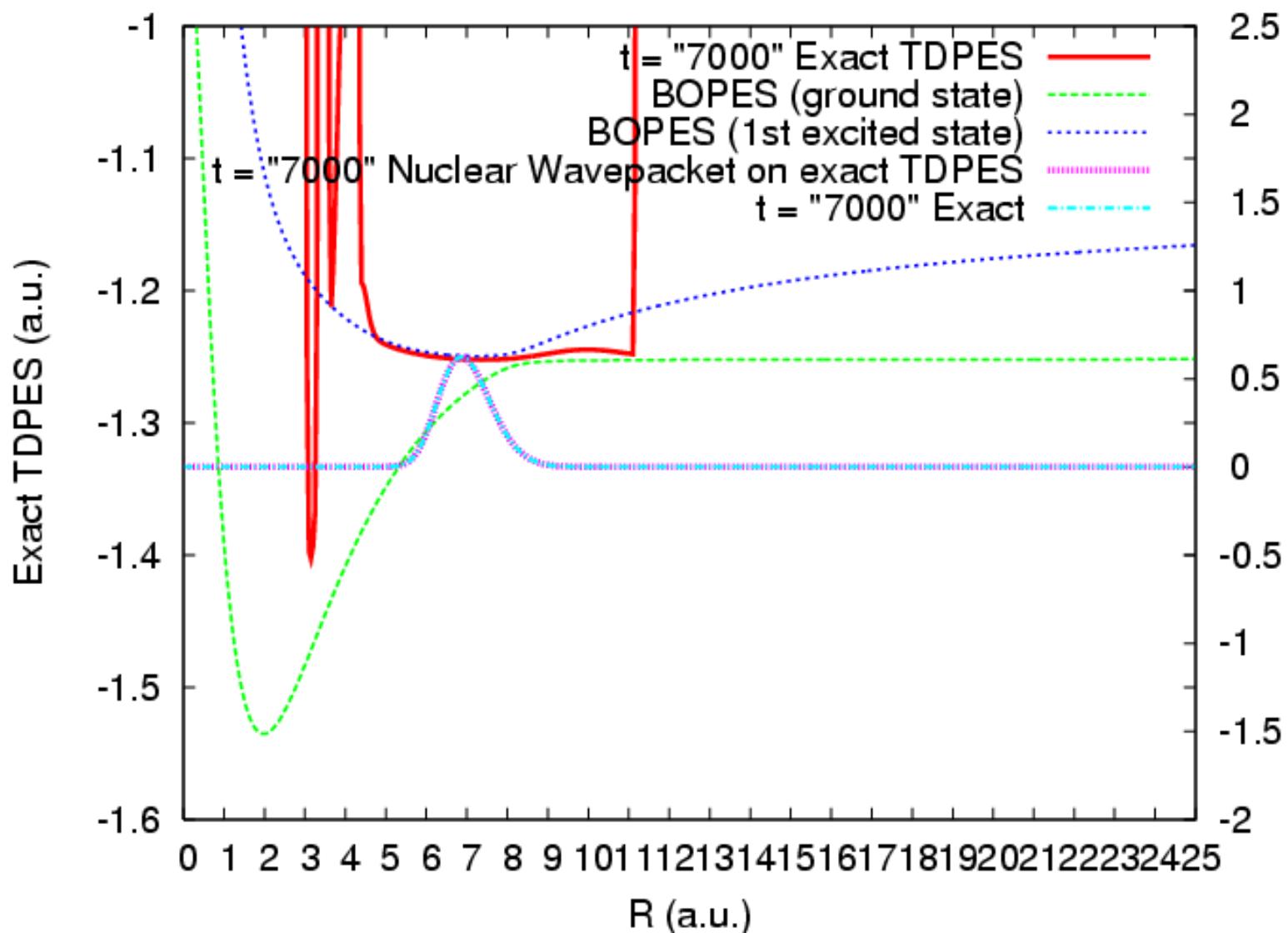


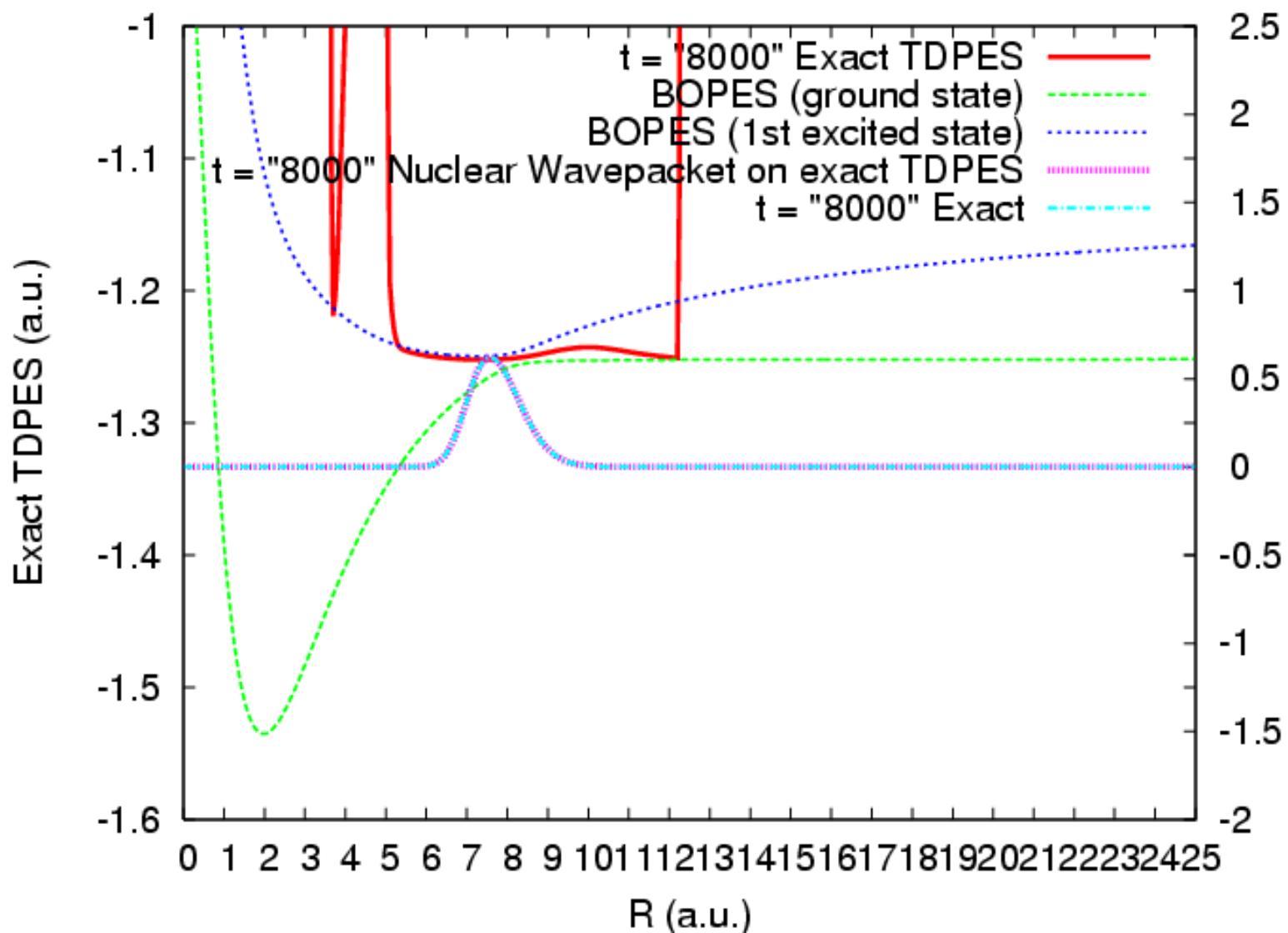


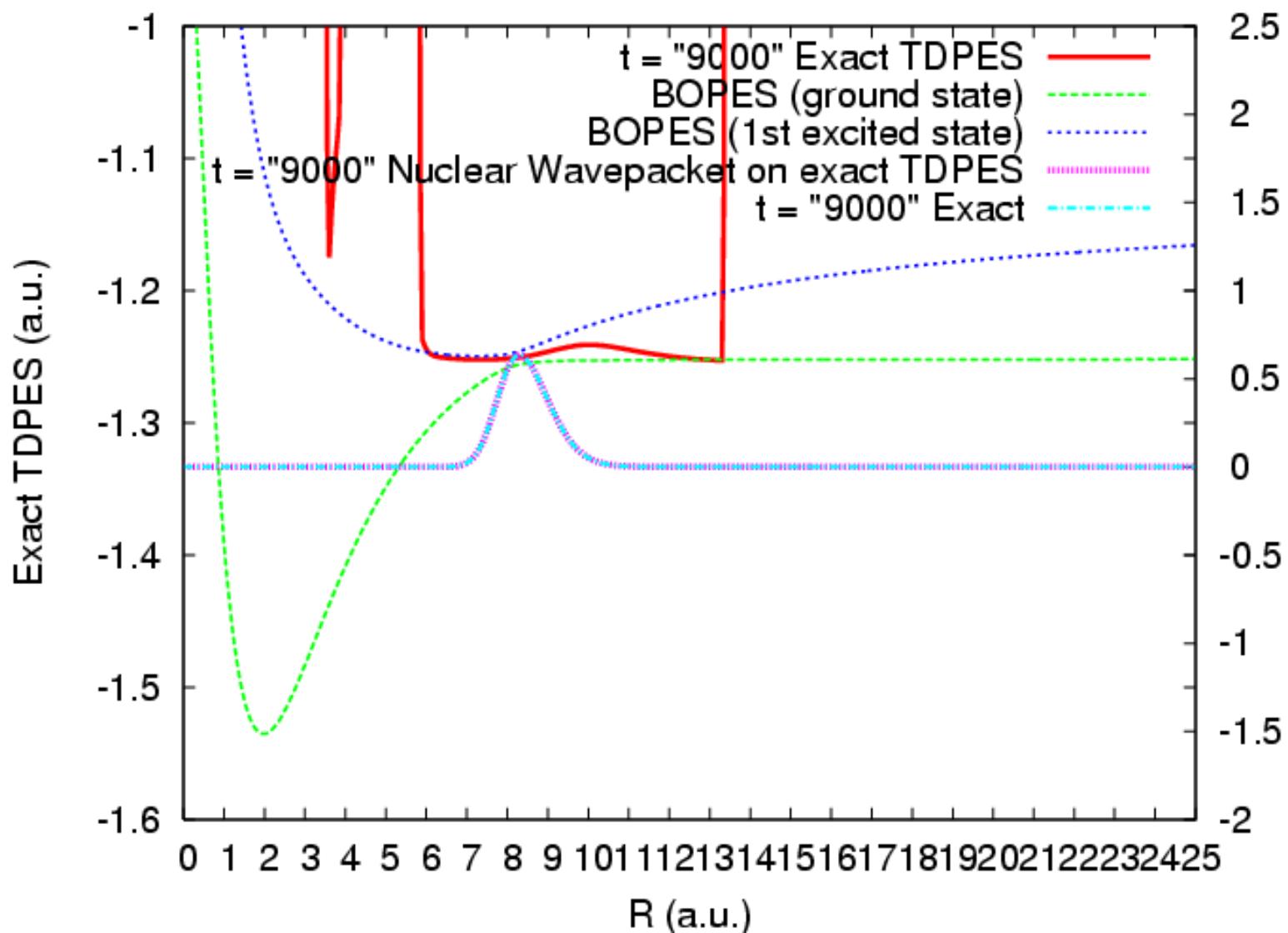


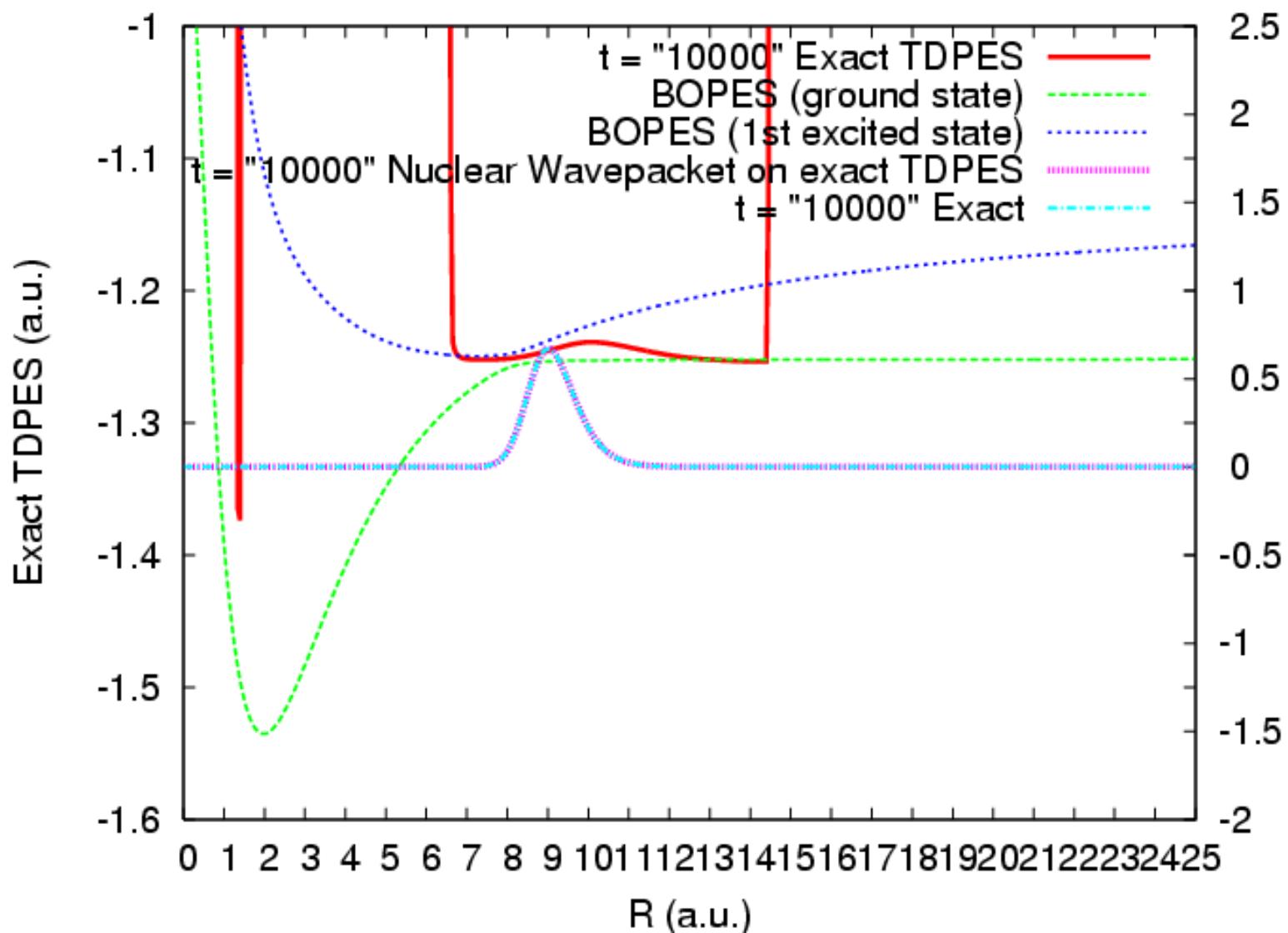


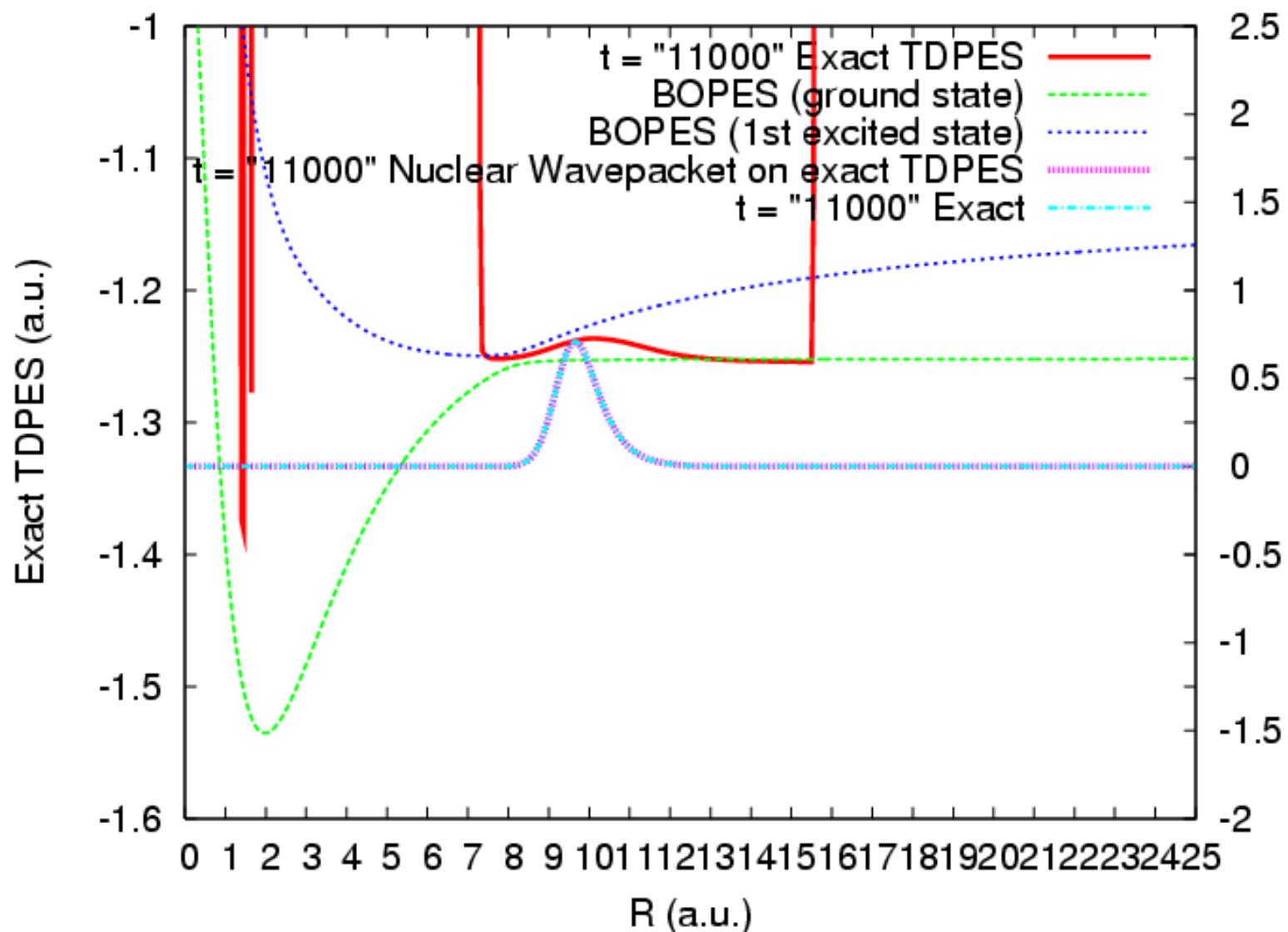


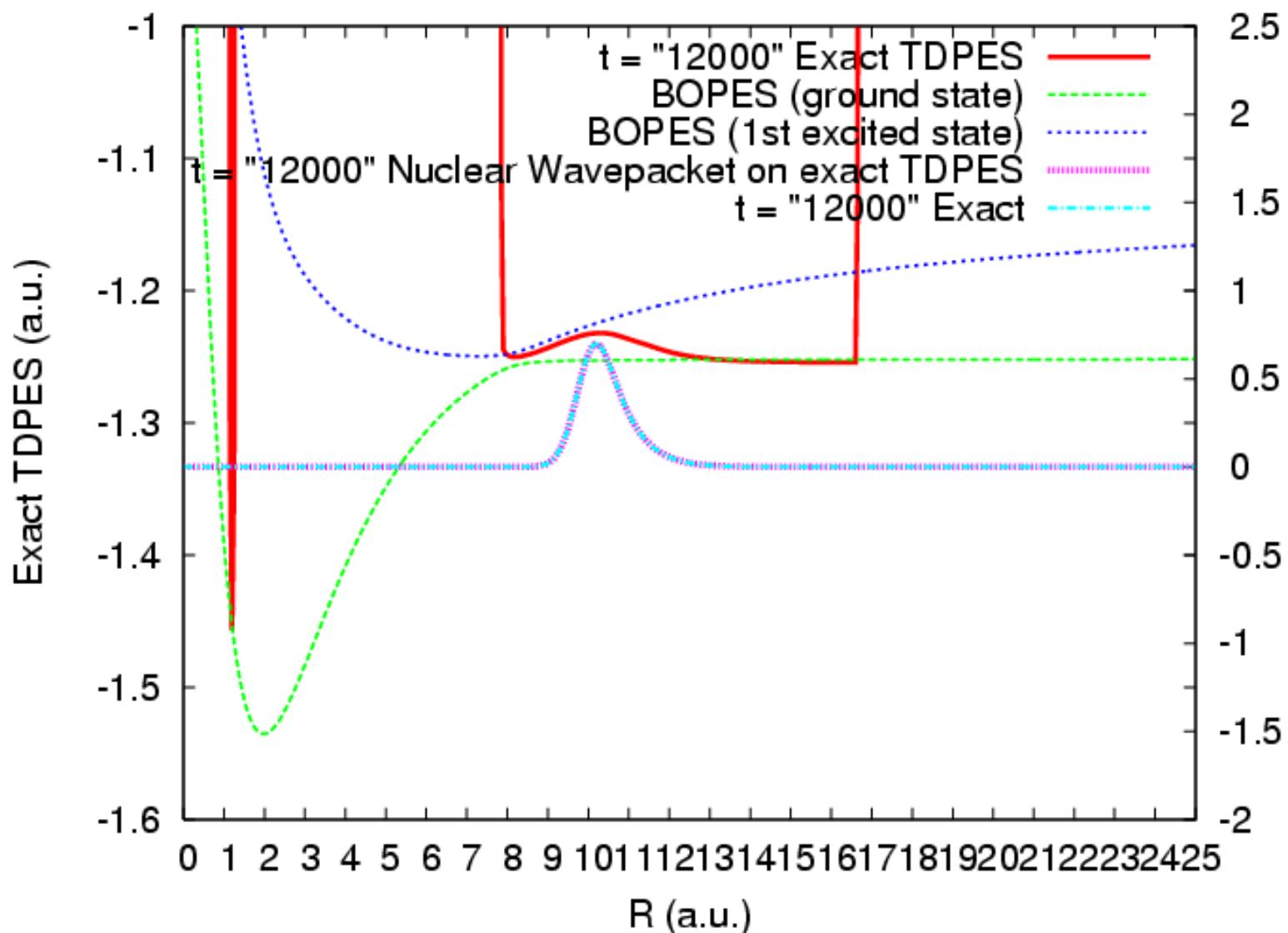


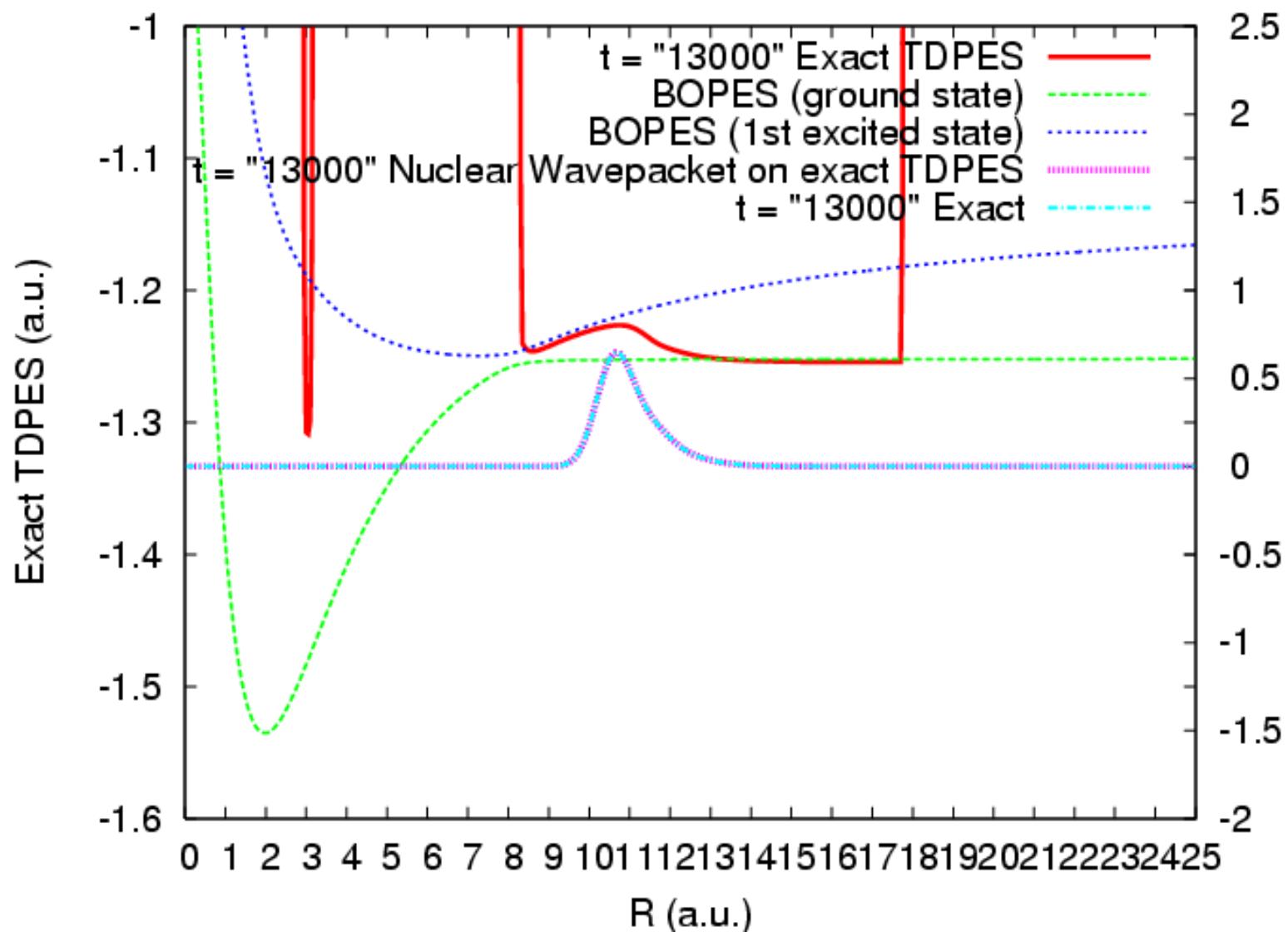


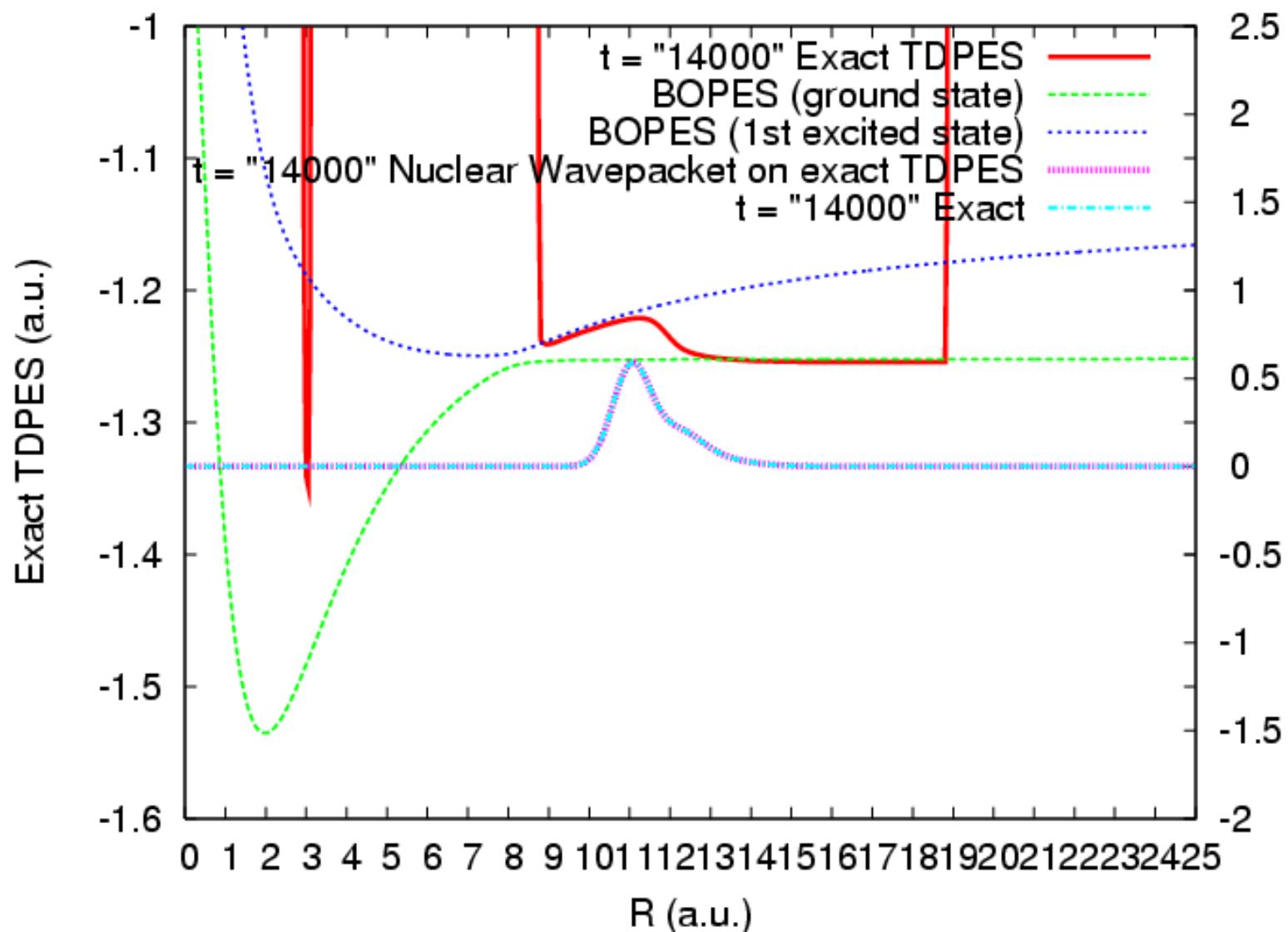


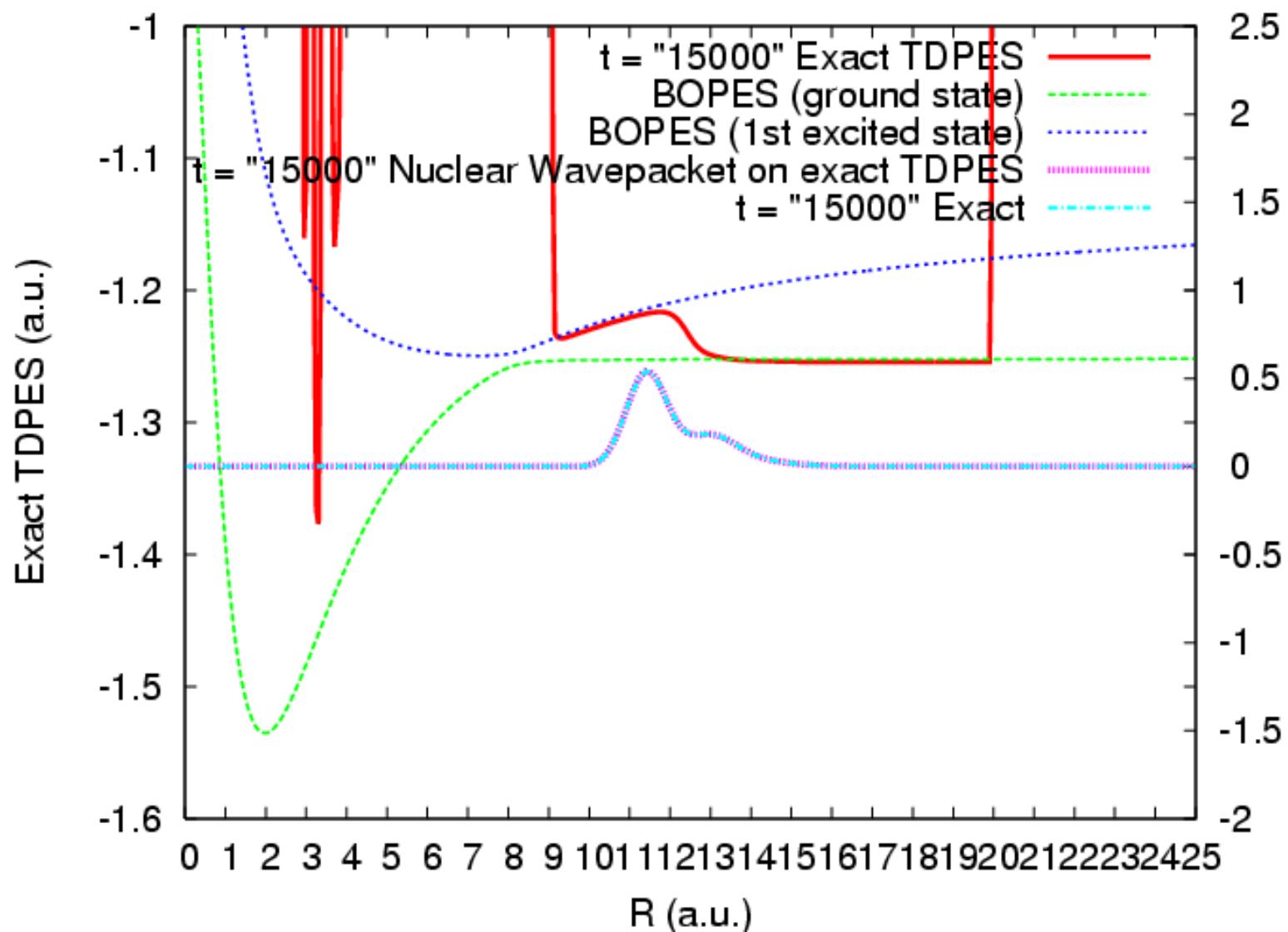


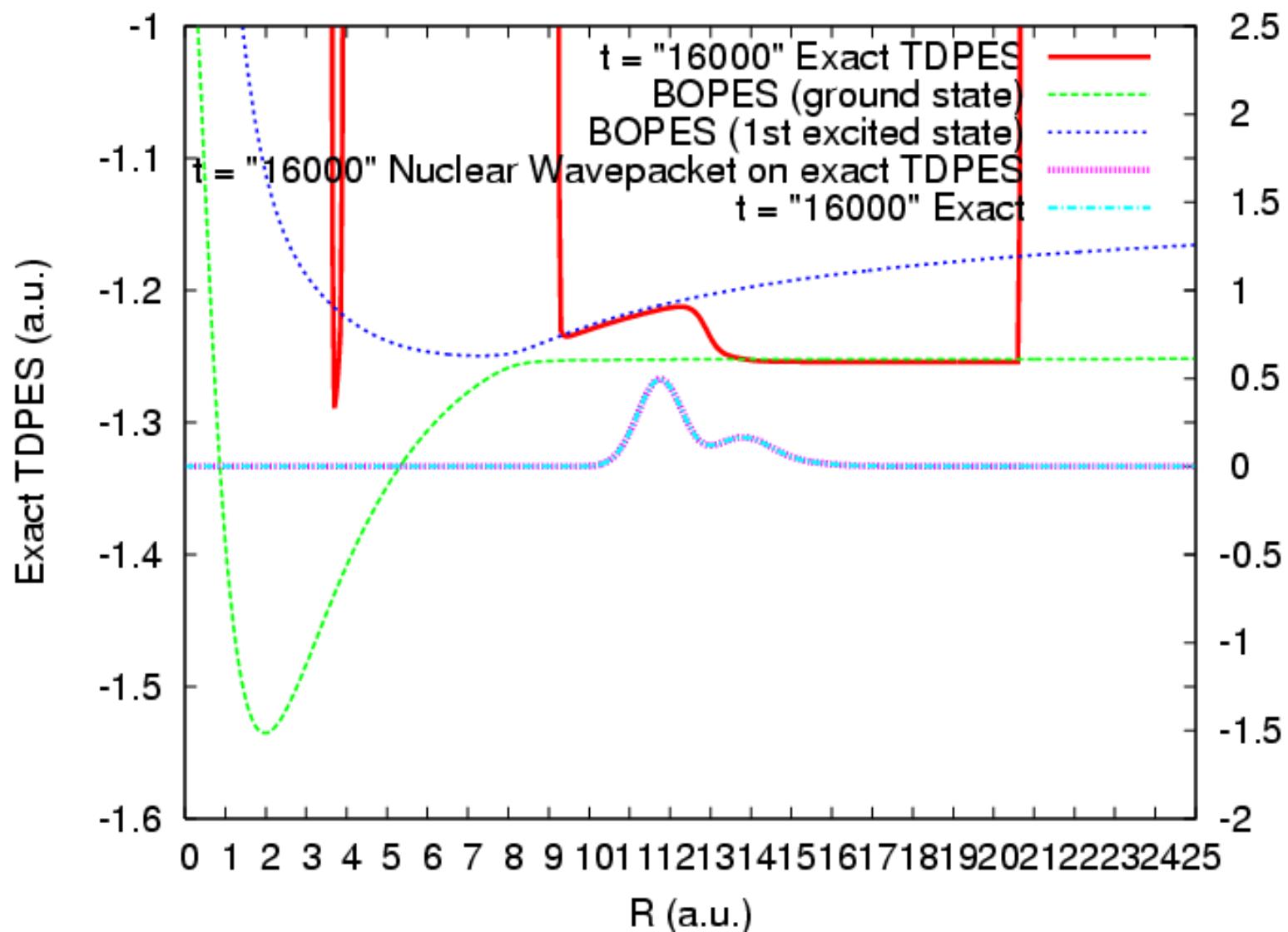


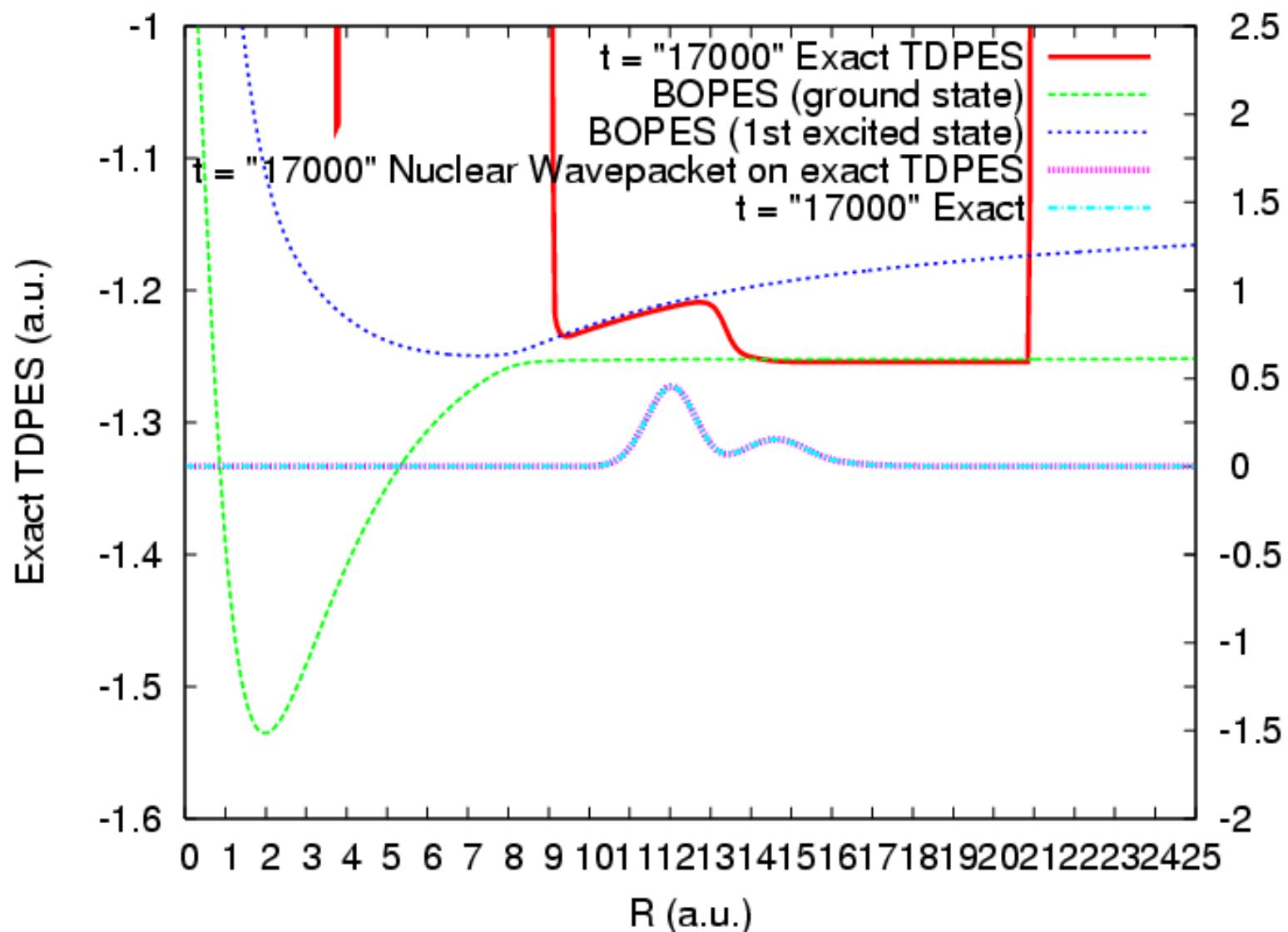


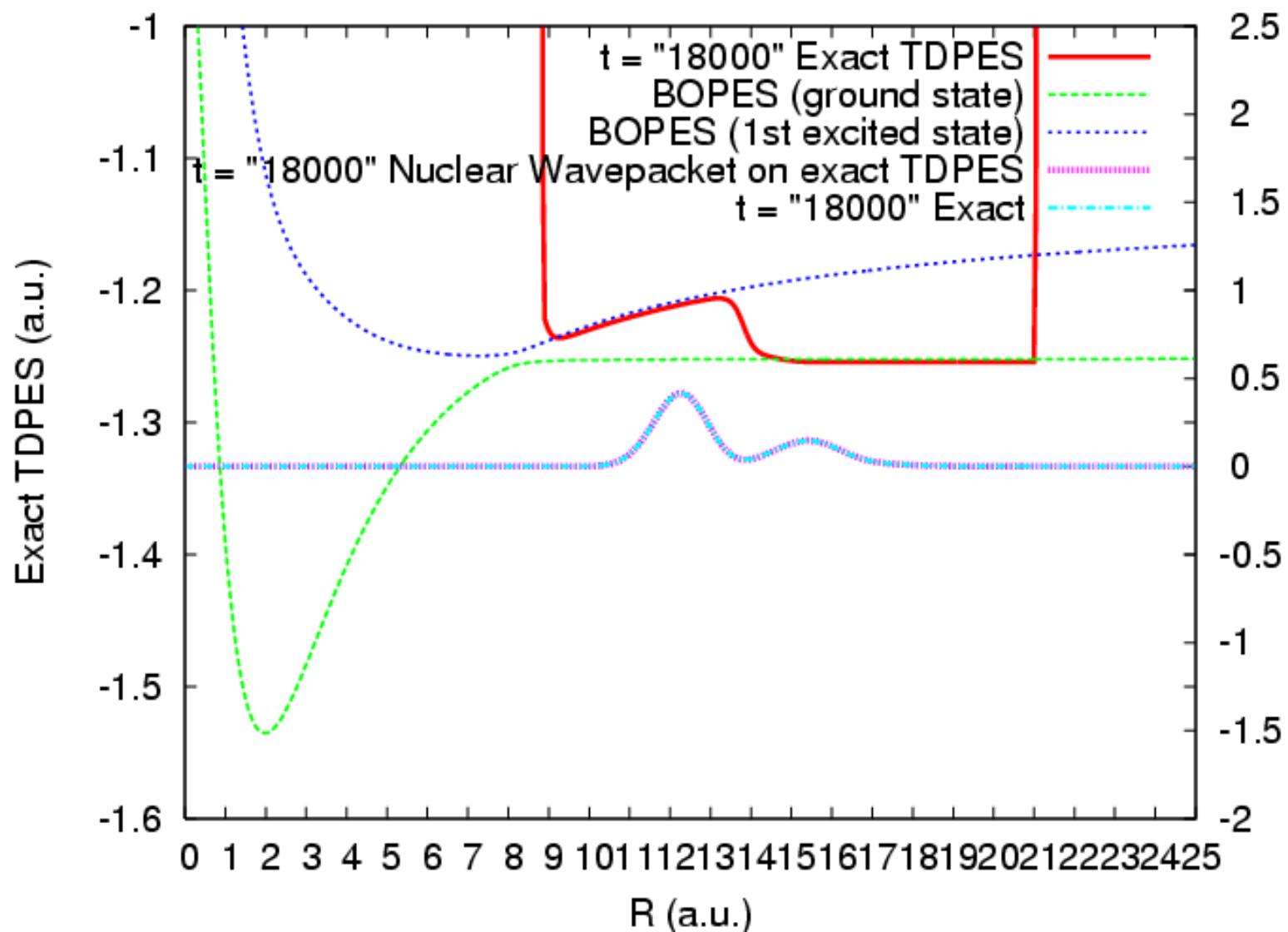


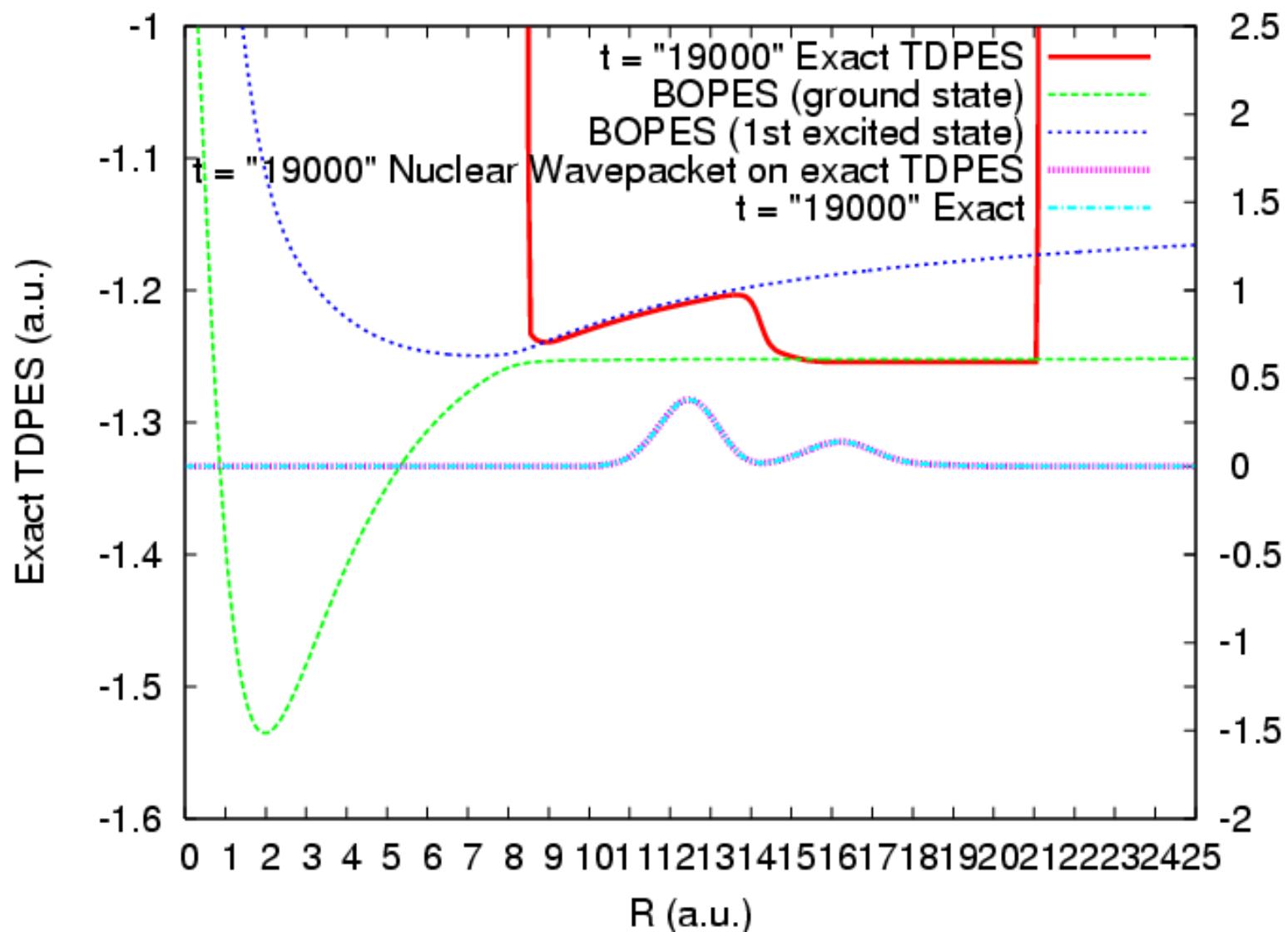


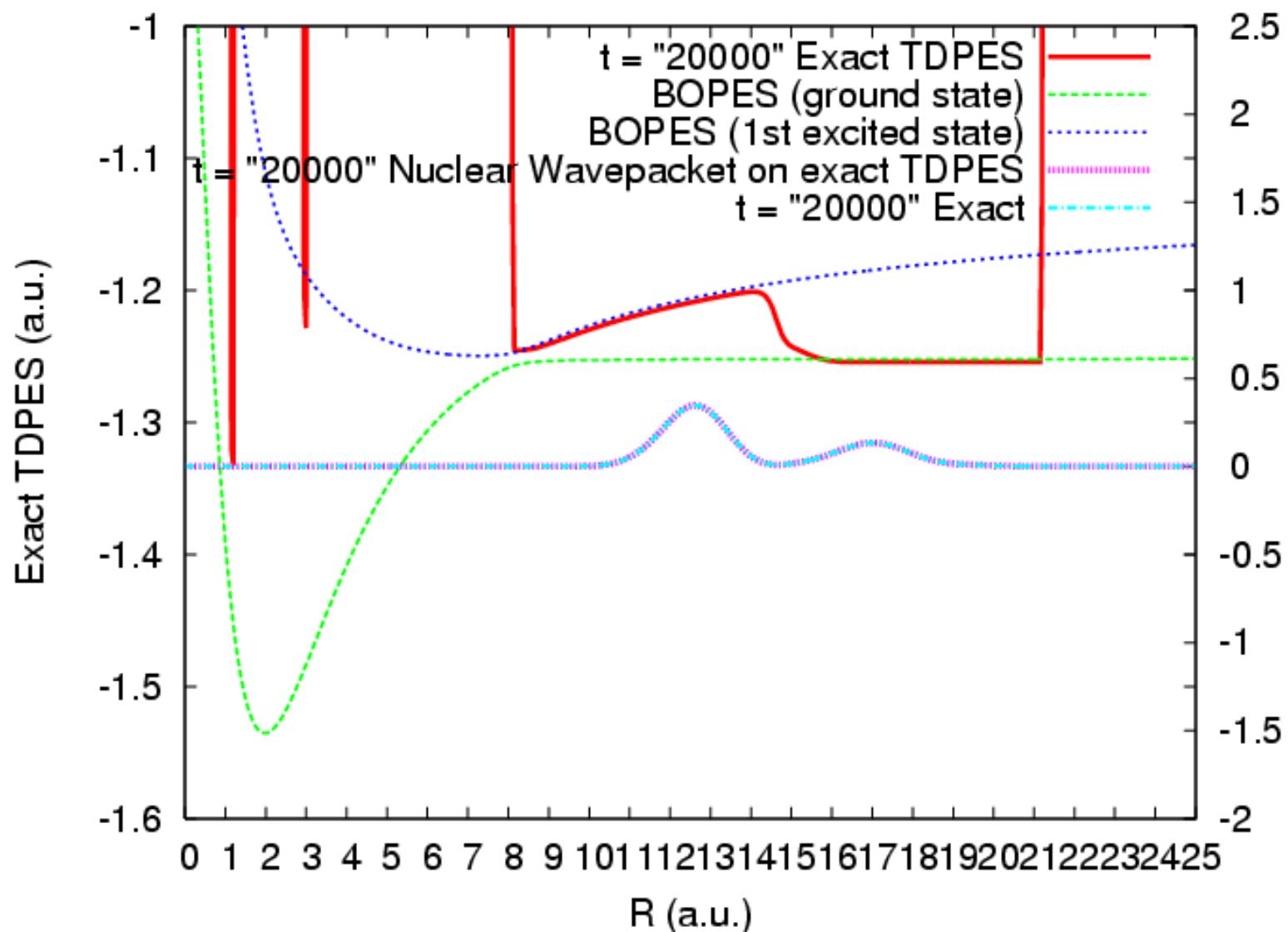












## **New MD scheme:**

Perform classical limit of the nuclear equation, but retain the quantum treatment of the electronic degrees of freedom.

**A. Abedi, F. Agostini, E.K.U.Gross, EPL 106, 33001 (2014)**

## Theorem T-II

Eq. 1

$$\underbrace{\left( \hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}, t) + \hat{V}_{en}(\underline{\underline{r}}, \underline{\underline{R}}) + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}}, t))^2 \right)}_{\hat{H}_{BO}(t)} + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi(\underline{\underline{R}}, t)}{\chi(\underline{\underline{R}}, t)} + A_v(\underline{\underline{R}}, t) \right) (-i\nabla_v - A_v) \in (\underline{\underline{R}}, t) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = i\partial_t \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$$

Eq. 2

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) + \in(\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

## Theorem T-II

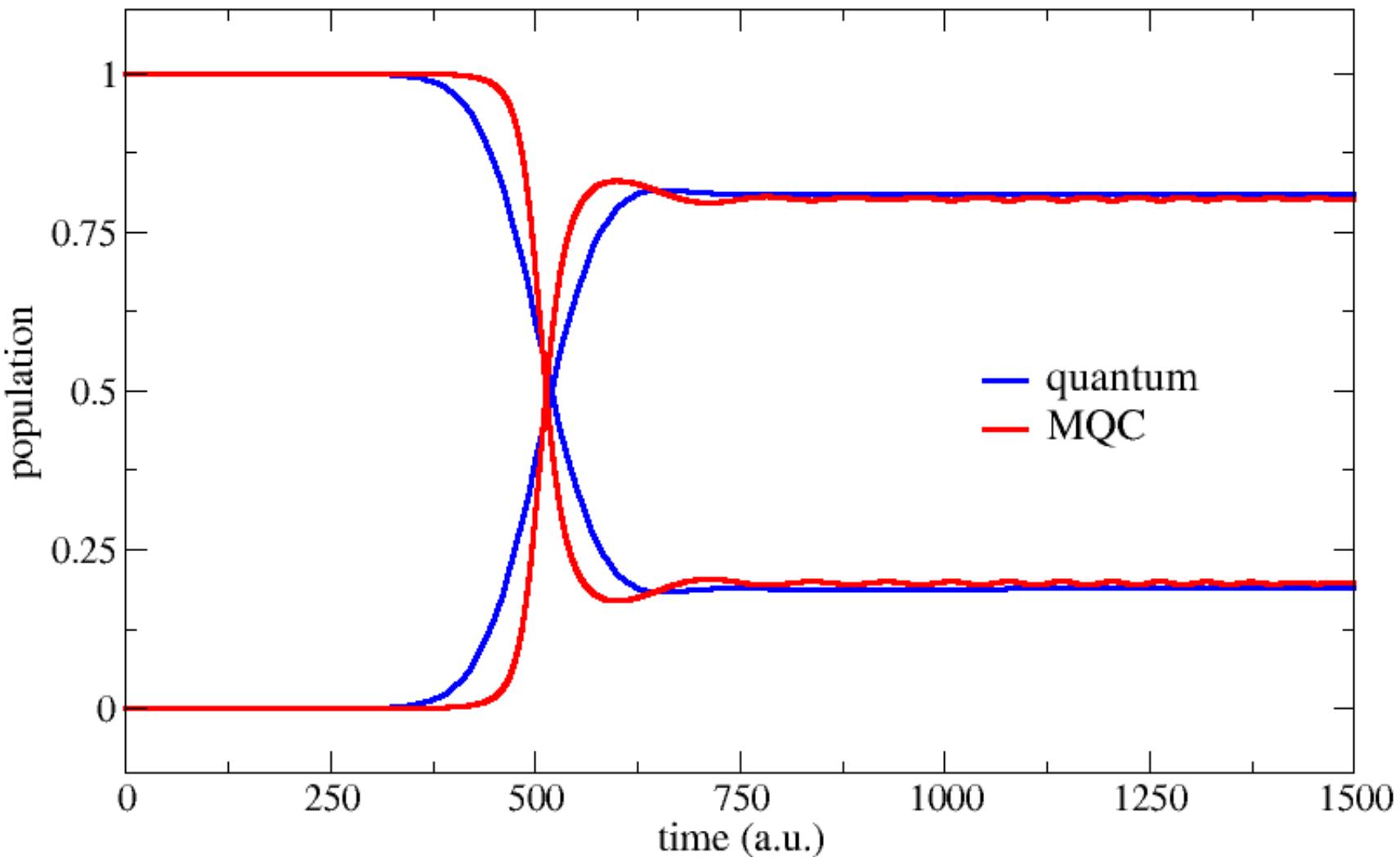
**Eq. 1**

$$\begin{aligned}
 & \underbrace{\left( \hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}, t) + \hat{V}_{en}(\underline{\underline{r}}, \underline{\underline{R}}) + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}}, t))^2 \right)}_{\hat{H}_{BO}(t)} \\
 & + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi(\underline{\underline{R}}, t)}{\chi(\underline{\underline{R}}, t)} + A_v(\underline{\underline{R}}, t) \right) (-i\nabla_v - A_v) \in (\underline{\underline{R}}, t) \Phi_R(\underline{\underline{r}}) = i\partial_t \Phi_R(\underline{\underline{r}}, t)
 \end{aligned}$$

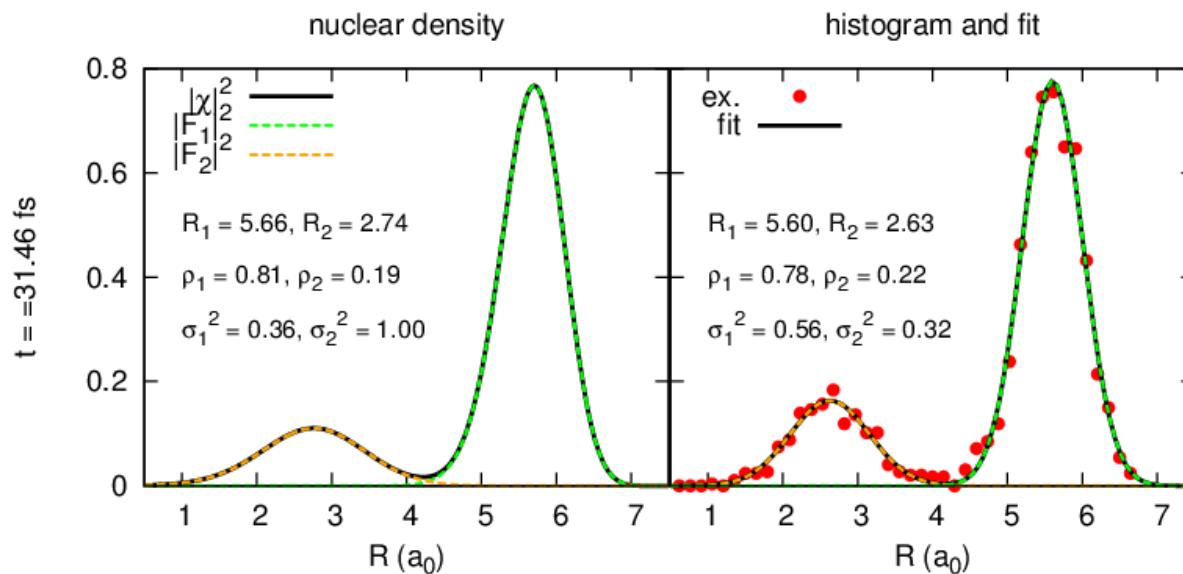
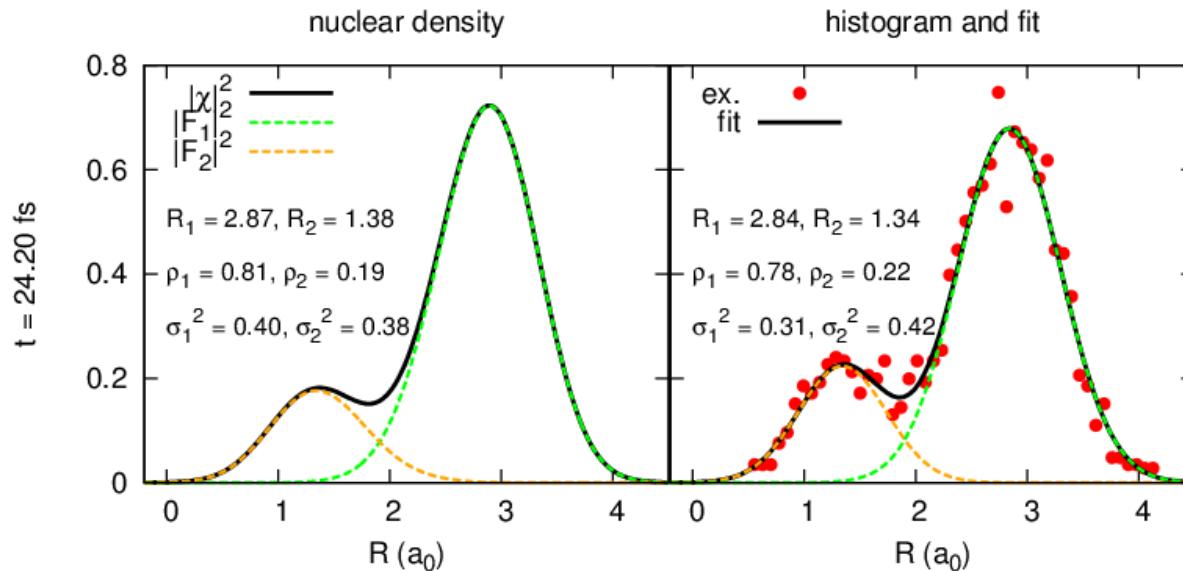
**Eq. 2**

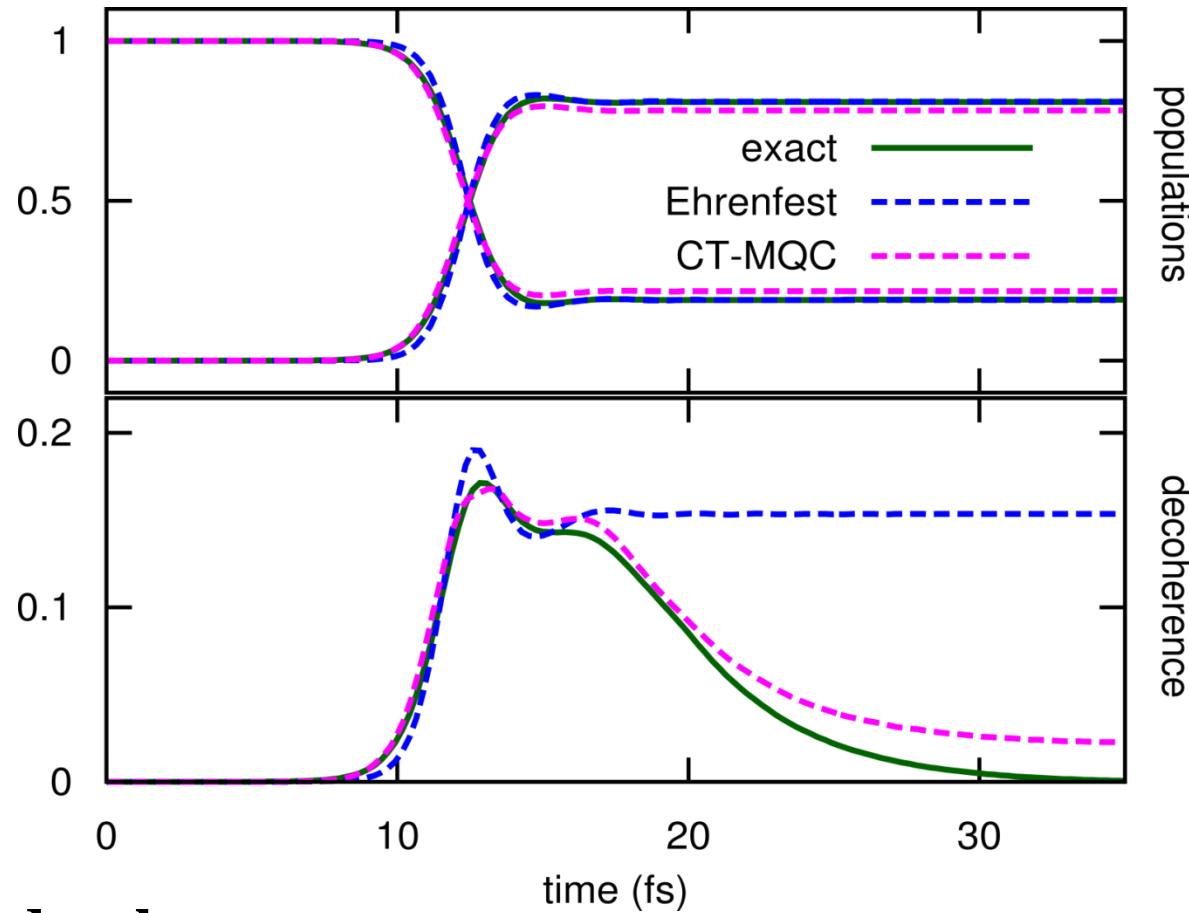
$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) + \in(\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

Shin-Metiu model  
populations of the BO states as functions of time



# Propagation of classical nuclei on exact TDPES





## Measure of decoherence:

Quantum:

$$\int d\mathbf{R} |c_1(\mathbf{R}, t)|^2 |c_2(\mathbf{R}, t)|^2 |\chi(\mathbf{R}, t)|^2$$

Trajectories

$$N_{\text{tra}}^{-1} \sum_I |c_1^{(I)}(t)|^2 |c_2^{(I)}(t)|^2$$

**Algorithm implemented in:**



# The "right" electron-phonon interaction

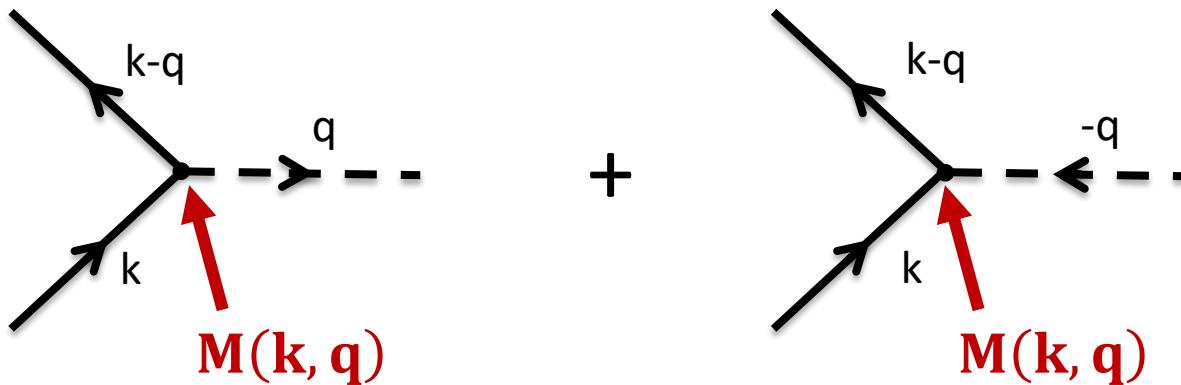
## electron-phonon interaction

$$\hat{H}_{e-ph} = \sum_{k,q,\lambda} M_\lambda(k, q) c_{k-q}^\dagger c_k (b_{q\lambda} + b_{-q\lambda})$$



## electron-phonon interaction

$$\hat{H}_{e-ph} = \sum_{k,q,\lambda} M_\lambda(k, q) c_{k-q}^\dagger c_k (b_{q\lambda} + b_{-\bar{q}\lambda})$$



**In a genuine ab-initio description, what is the exact coupling  $M(k, q)$  ?**

## LITERATURE on $M(\mathbf{k}, \mathbf{q})$ :

- **What everybody uses:**  $M_{\mathbf{q}\lambda}(\mathbf{n}\mathbf{p}, \mathbf{n}'\mathbf{p}') = \delta_{g, \mathbf{p}' - \mathbf{p} + \mathbf{q}} \frac{\xi_{\mathbf{q}\lambda} \cdot \langle \mathbf{n}\mathbf{p} | \nabla_{\mathbf{R}} V_{KS} | \mathbf{n}'\mathbf{p}' \rangle}{(2MN_c \omega_{\mathbf{q}\lambda} / \hbar)^{1/2}}$
- **Robert van Leeuwen, PRB 69, 115110 (2004):**

$$M_{\mathbf{q}\lambda}(\mathbf{r}, \omega) = (2MN_c \omega_{\mathbf{q}\lambda} / \hbar)^{-1/2} \sum_{\alpha} \int d\mathbf{r}_1 \epsilon_e^{-1}(\mathbf{r}, \mathbf{r}_1; \omega) \xi_{\mathbf{q}, \lambda} \cdot \nabla \frac{Z}{|\mathbf{r}_1 - \mathbf{R}_{0,\alpha}|} e^{i\mathbf{r} \cdot \mathbf{R}_{0,\alpha}}$$

**Many textbooks neglect  $\epsilon^{-1}$  completely (no screening).**

- **Higher-order terms (Marini, Ponce, Gonze, PRB 91, 224310 (2015) (using DFPT):**

$$\hat{H}_{e-ph}^{(2)}(\mathbf{R}) = \sum_{\mathbf{k}, \mathbf{q}\lambda, \mathbf{q}'\lambda'} \left[ \theta_{\mathbf{q}\lambda, \mathbf{q}'\lambda'}(\mathbf{k}) \mathcal{C}_{\mathbf{k}-\mathbf{q}-\mathbf{q}'}^? c_{\mathbf{k}-\mathbf{q}-\mathbf{q}'} \right] \left( b_{-\mathbf{q}\lambda}^? + b_{\mathbf{q}\lambda} \right) \left( b_{-\mathbf{q}'\lambda'}^? + b_{\mathbf{q}'\lambda'} \right)$$

**Theorem II:**  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$  and  $\chi(\underline{\underline{R}})$  satisfy the following equations:

Eq. ①

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}} + \hat{V}_{en}}_{\hat{H}_{\text{BO}}} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v)^2 + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi}{\chi} + A_v \right) (-i\nabla_v - A_v) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = \epsilon(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$$

Eq. ②

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \epsilon(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E \chi(\underline{\underline{R}})$$

**Exact phonons**

Expand  $\epsilon(\underline{\underline{R}})$  around equilibrium positions (to second order):

Eq. ②



$$\hat{H}_{\text{ph}} = \sum_{q\lambda} \hbar\omega_{q\lambda}(k) \left( b_{q\lambda}^\dagger b_{q\lambda} + \frac{1}{2} \right)$$

**Theorem II:**  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$  and  $\chi(\underline{\underline{R}})$  satisfy the following equations:

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**Exact el-ph interaction**

Eq. ②

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \epsilon(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E \chi(\underline{\underline{R}})$$

**Exact phonons**

Expand  $\epsilon(\underline{\underline{R}})$  around equilibrium positions (to second order):

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$$\hat{H}_{\text{ph}} = \sum_{q\lambda} \hbar\omega_{q\lambda}(k) \left( b_{q\lambda}^\dagger b_{q\lambda} + \frac{1}{2} \right)$$

$$M_{q\lambda}(n\mathbf{p}, n'\mathbf{p}') = \delta_{g, \mathbf{p}' - \mathbf{p} + \mathbf{q}} \frac{\xi_{q\lambda} \cdot \left\langle n\mathbf{p} \left| \nabla_{u_\alpha} \hat{V}_{KS} \right| n'\mathbf{p}' \right\rangle}{\left( 2MN_n \omega_{q\lambda} / \hbar \right)^{1/2}} \times \left( 1 + 3 \left\langle n\mathbf{p}, n'\mathbf{p}' \left| f_{HXC} \right| n\mathbf{p}, n'\mathbf{p}' \right\rangle \right)$$

**Traditional term**

## Summary on exact factorisation

- $\Psi(\underline{\underline{r}}, \underline{\underline{R}}, t) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t) \cdot \chi(\underline{\underline{R}}, t)$  is exact  
**A. Abedi, N.T. Maitra, E.K.U. Gross, PRL 105, 123002 (2010)**
- Exact Berry phase vanishes  
**S.K. Min, A. Abedi, K.S. Kim, E.K.U. Gross, PRL 113, 263004 (2014)**
- TD-PES shows jumps resembling surface hopping  
**A. Abedi, F. Agostini, Y. Suzuki, E.K.U.Gross, PRL 110, 263001 (2013)**
- mixed quantum classical algorithms  
**S.K. Min, F Agostini, E.K.U. Gross, PRL 115, 073001 (2015)**
- correct electron-phonon interaction shows new terms  
(in addition to standard expression)

# Thanks!



SFB 450  
SFB 685  
SFB 762  
SPP 1145